At 1:32 a.m. a man we will call Fred Snyder dials 911 from a downtown corner phone booth. The dispatcher notes his location and calls the nearest patrol unit. Officer Knox arrives 4 minutes later.

Snyder says he was beaten and robbed 20 minutes before but didn't see the robber. Under persistent questioning Snyder admits he was with a prostitute, picked up in a bar. Later, in a hotel room, he discovered the prostitute was actually a man, who then beat Snyder and took his wallet.

Snyder wants to let the whole matter drop. He refuses medical treatment for his injuries. Knox finishes his report and lets Snyder go home. Later that day Knox's report reaches Detective Alexander's desk. She knows from experience the case will go nowhere, but she calls Snyder at work.

Snyder confirms the report but refuses to cooperate further. Knox and Alexander go on to other cases. Months later, reviewing crime statistics, the city council deplores the difficulty of attracting businesses or people downtown.

From the Director

Many calls to police are repeated requests for help. They have a history and a future—sometimes tragic. Rather than treat the call as a 30-minute event and go on to the next incident, police need to intervene in the cycle and try to eliminate the source of the problem.

A wealth of research sponsored by the National Institute of Justice has led to an approach that does just that.

The problem-solving approach to policing described in this Research in Brief represents a significant evolutionary step in helping law enforcement work smarter not harder. Rather than approaching calls for help or service as separate, individual events to be processed by traditional methods, problem-oriented policing emphasizes analyzing groups of incidents and deriving solutions that draw upon a wide variety of public and private resources.

Careful followup and assessment of police performance in dealing with the problem completes the systematic process.

But problem-oriented policing is as much a philosophy of policing as a set of techniques and procedures. The approach can be applied to whatever type of problem is consuming police time and resources.

While many problems are likely to be crime-oriented, disorderly behavior, situations that contribute to neighborhood deterioration, and other incidents that contribute to fear and insecurity in urban neighborhoods are also targets for the problem-solving approach.

In devising research to test the idea, the National Institute wanted to move crime analysis beyond pin-maps. We were fortunate to find a receptive collaborator in Darrel Stephens, then Chief of Police in Newport News, Virginia.

The National Institute is indebted to the Newport News Police Department for serving as a laboratory for testing problem-oriented policing. The results achieved in solving problems and reducing target crimes are encouraging.

Problem-oriented policing integrates knowledge from past research on police operations that has converged on two main themes: increased operational effectiveness and closer involvement with the community. The evolution of ideas will go on.

Under the Institute's sponsorship, the Police Executive Research Forum will implement problem-oriented policing in three other cities. The test will enable us to learn whether the results are the same under different management styles and in dealing with different local problems. This is how national research benefits local communities—by providing tested new options they can consider.

The full potential of problem-oriented policing still must be assessed. For now, the approach offers promise. It doesn't cost a fortune but can be developed within the resources of most police departments.

Problem-oriented policing suggests that police can realize a new dimension of effectiveness. By coordinating a wide range of information, police administrators are in a unique leadership position in their communities, helping to improve the quality of life for the citizens they serve.

James K. Stewart
Director
National Institute of Justice

William Spelman and John E. Eck

Problem-Oriented Policing

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Problem-Oriented Policing

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The problem-oriented approach

Midnight-watch patrol officers are tired of taking calls like Snyder's. They and their sergeant, James Hogan, decide to reduce prostitution-related robberies, and Officer James Boswell volunteers to lead the effort.

First, Boswell interviews the 28 prostitutes who work the downtown area to learn how they solicit, what happens when they get caught, and why they are not deterred. They work downtown bars, they tell him, because customers are easy to find and police patrols don't spot them soliciting. Arrests, the prostitutes tell Boswell, are just an inconvenience: Judges routinely sentence them to probation, and probation conditions are not enforced.

Based on what he has learned from these interviews and his previous experience, Boswell devises a response. He works with the Alcoholic Beverage Control Board and local bar owners to move the prostitutes into the street. At police request, the Commonwealth's Attorney agrees to ask the judges to put stiffer conditions on probation: Convicted prostitutes would be given a map of the city and told to stay out of the downtown area or go to jail for 3 months.

Boswell then works with the vice unit to make sure that downtown prostitutes are arrested and convicted, and that patrol officers know which prostitutes are on probation. Probation violators are sent to jail, and within weeks all but a few of the prostitutes have left downtown.

Then Boswell talks to the prostitutes' customers, most of whom don't know that almost half the prostitutes working the street are actually men, posing as women. He intervenes in street transactions, formally introducing the customers to their male dates. The Navy sets up talks for him with incoming sailors to tell them about the male prostitutes and the associated safety and health risks.

In 3 months, the number of prostitutes working downtown drops from 28 to 6 and robbery rates are cut in half. After 18 months neither robbery nor prostitution show signs of returning to their earlier levels.

Reacting to incidents reported by citizens—as this hypothetical example illustrates—is the standard method for delivering police services today. But there is growing recognition that standard "incident-driven" policing methods do not have a substantial impact on many of the problems that citizens want police to help solve. Equally important, enforcing the law is but one of many ways that police can cope with citizens' problems.

This Research in Brief describes an alternative approach to policing. Called problem-oriented policing, it grew out of an awareness of the limitations of standard practices described in the opening vignette.

Police officers, detectives, and their supervisors can use the problem-oriented approach to identify, analyze, and respond, on a routine basis, to the underlying circumstances that create the incidents that prompt citizens to call the police.

Although alternative methods of handling problems have long been available, the police have made relatively little use of them. Or they have been used only sporadically, more often by a special unit or an informal group of innovative officers.

Problem-oriented policing is the outgrowth of 20 years of research into police operations that converged on three main themes: increased effectiveness by attacking underlying problems that give rise to incidents that consume patrol and detective time; reliance on the expertise and creativity of line officers to study problems carefully and develop innovative solutions; and closer involvement with the public to make sure that the police are addressing the needs of citizens. The strategy consists of four parts.

1. Scanning. Instead of relying upon broad, law-related concepts—robbery, burglary, for example—officers are encouraged to group individual related incidents that come to their attention as "problems" and define these problems in more precise and therefore useful terms. For example, an incident that typically would be classified simply as a "robbery" might be seen as part of a pattern of prostitution-related robberies committed by transvestites in center-city hotels.

2. Analysis. Officers working on a well-defined "problem" then collect information from a variety of public and private sources—not just police data. They use the information to illuminate the underlying nature of the problem, suggesting its causes and a variety of options for its resolution.

3. Response. Working with citizens, businesses, and public and private agencies, officers tailor a program of action suitable to the characteristics of the problem. Solutions may go beyond traditional criminal justice system remedies to include other community agencies or organizations.

4. Assessment. Finally, the officers evaluate the impact of these efforts to see if the problems were actually solved or alleviated.

To test the value of this approach, the National Institute of Justice sponsored the Problem-Oriented Policing Project, conducted by the Newport News (Virginia) Police Department and the Police Executive Research Forum. Results of the project are encouraging:

- Downtown robberies were reduced by 39 percent (see boxed account above).
- Burglaries in an apartment complex were reduced 35 percent.
Thefts from parked vehicles outside a manufacturing plant dropped 53 percent.

This Research in Brief describes the research that led to problem-oriented policing, the approach used in Newport News, and some of the problems officers there solved. It shows that police can link a detailed understanding of specific local problems and a commitment to using a wide array of community resources in solving them. By so doing, they increase the effectiveness of their operations.

The present system

Under incident-driven policing, police departments typically deliver service by
- reacting to individual events reported by citizens;
- gathering information from victims, witnesses, and offenders;
- invoking the criminal justice process; and
- using aggregate crime statistics to evaluate performance.

No department operates solely in this reactive fashion, but all do it to some extent almost all the time. The way that Newport News tackled prostitution-related robbery (see box) illustrates how problem-oriented policing minimizes the limitations of traditional concepts and conduct of police work.

The focus on underlying causes—problems—is not new. Many police officers do it from time to time. The new approach, however, requires all officers to implement problem-solving techniques on a routine basis.

Problem-oriented policing pushes beyond the limits of the usual police methods. The cornerstone of the approach is the "crime-analysis model."

This checklist includes many of the usual factors familiar to police investigators—actors, locations, motives. But it goes further, prompting officers to ask far more questions than usual and in a more logical sequence. The results give a more comprehensive picture of a problem.

The process also requires officers to collect information from a wide variety of sources beyond the police department and enlist support from

public and private organizations and groups—initially to describe the problem and later to fashion solutions that meet public needs as well as those of the criminal justice system.

The research basis

Problem-oriented policing has as its foundation five areas of research conducted during the past two decades.

Discretion. In the 1960's, researchers pointed out the great discretion police officers exercise and concerns about the effects of discretion on the equity and efficiency of police service delivery. Although some discretion appeared necessary, research suggested that police could prevent abuses by structuring discretion. Through guidelines and policies, police agencies guided their officers on the best means of handling sensitive incidents.

But where should the policies come from? In 1979 Herman Goldstein described what he called the "problem-oriented approach" as a means of developing such guidelines for a more effective and efficient method of policing.

Problem studies. A number of studies over the past 20 years aimed at developing a deeper understanding of the nature and causes of crime and disorder problems in order to lead to better police responses.

Research of the late 1960's and early 1970's focused on burglary, robbery, and other street crimes. In the later 1970's and 1980's, research turned to other problems not earlier considered central to police work: domestic violence, drunk driving, mental illness, and the fear of crime, for example.

Researchers and practitioners learned through these studies that they would have to collect more information to understand problems, and involve other organizations if responses were to be effective. Police needed to consider seriously many issues besides crime alone.

Management. Meanwhile the characteristics of American police officers were changing. More were getting college degrees and thinking of themselves as professionals. Like industrial workers, officers began to demand a greater role in decisionmaking.

Many police managers, recognizing that job satisfaction and participation in decisions influence job performance, made better use of officers' skills and talents. Managers made the work more interesting through job enrichment, and they made working conditions more flexible. Many departments established task forces, quality circles, or management-by-objectives programs.


Community relations. The riots of the 1960’s made police aware of their strained relations with minority communities. Community relations units, stringent restrictions on shooting, and civilian review boards attempted to reduce dissatisfaction with police among minorities. By the mid-1970’s, departments provided storefront police stations and foot patrols to improve public attitudes through increased personal contact between the police and citizens. As the police began to recognize how vital citizen action is to crime control, some agencies began to work closely with citizens to reduce crime and fear.

Effectiveness. An important impetus toward problem-oriented policing came finally when research on preventive patrol, response time, and investigations showed that merely reacting to incidents had, at best, limited effects on crime and public satisfaction. Rapid response and lengthy followup investigations were not needed for many incidents, suggesting that police managers could deploy their officers more flexibly without reducing effectiveness.

Experiments in flexible deployment such as split force, investigative case screening, and differential response to calls confirmed that time could be freed for other activities. Managers turned to crime analysis to use this time, focusing on groups of events rather than isolated incidents. By identifying crime-prone locations, crime analysis hoped to use patrol and detective time more effectively. Although crime analysis was restricted to crime problems, traditional police data sources, and criminal justice responses, it marked the first attempt at problem-oriented policing.

Designing problem-oriented policing

Some departments had previously applied problem-solving approaches in special units or projects. None before Newport News had taken a problem-solving approach agency-wide. The National Institute of Justice and Police Chief Darrel Stephens required that the experimental approach follow four basic principles:

- Participation. Officers of all ranks, from all units, should be able to use the procedures as part of their daily routine.
- Information. The system must encourage use of a broad range of information not limited to conventional police data.
- Response. The system should encourage a broad range of solutions not limited to the criminal justice process.
- Reproducibility. The system must be one that any large police agency could apply.

The Newport News Police Department named 12 members, from all ranks and units, to a task force to design the process. Having no experience with routine problem solving, the task force decided to test the process it was designing on two persistent problems: burglaries from an apartment complex and thefts from vehicles. All subsequent problems, including the prostitution-related robbery problem described on page 2, were handled by patrol officers, detectives, and supervisors on their normal assignments.

As stated above, the process has four stages. Officers identify problems during the scanning stage, collect and analyze information during the analysis stage, work with other agencies and the public to develop and implement solutions in the response stage, and evaluate their effectiveness in the assessment stage. The results of assessment may be used to revise the response, collect more data, or even redefine the problem.
The heart of the process is the analysis stage. The task force designed a problem analysis model, breaking the events that constitute a problem into three components—actors, incidents, and responses—with a checklist of issues that officers should consider when they study a problem.

All sergeants and higher ranks were trained in the model, the use of the systematic process, and the research background. The training also emphasized encouraging officer initiative in uncovering problems, collecting information, and developing responses. Officers throughout the department then began to apply the process.

### Problem-oriented policing at work

By June 1986, some two dozen problems had been identified and were in various stages of analysis, response, and assessment. Some problems affected citizens throughout the city; others were confined to neighborhoods. Some problems related to crime, others to the order maintenance, regulatory, or service roles of the police.

In addition to the prostitution-related robberies, Newport News selected apartment burglaries and thefts from parked vehicles as test problems.

#### Burglaries in the New Briarfield Apartments

Built as temporary housing for shipyard workers in 1942, the 450 wood-frame units called the New Briarfield Apartments remained in use during the postwar housing shortage—and into the present.

By 1984, New Briarfield was known as the worst housing in the city. It also had the highest crime rate: burglars hit 23 percent of the occupied units each year. The task force assigned Detective Tony Duke of the Crime Analysis Unit to study the problem.

Duke had patrol and auxiliary officers survey a random one-third sample of the household in January 1985. The residents confirmed that burglary was a serious problem, but they were equally upset by the physical deterioration of the complex. Duke then interviewed employees of other city departments and found that the burglaries were related in part to the general deterioration of the housing.

The Fire Department called New Briarfield a firetrap. Public Works worried about flooding; the complex had no storm sewers. Standing water rotted the floors, noted the Department of Codes Compliance. Cracks around doors and windows made it easier for burglars to force their way in. Vacant units, unfit to rent, sheltered burglars and drug addicts.

Officer Barry Haddix, responsible for patrolling the area, decided to clean...
up the grounds. Working with the apartment manager and city agencies, he arranged to have trash and abandoned appliances removed, abandoned cars towed, potholes filled, and streets swept.

Detective Duke meanwhile learned that the complex owners were in default on a loan and that the U.S. Department of Housing and Urban Development (HUD) was about to foreclose. Duke wrote a report describing the crime problem, the tenants' discouragement, and the views of other city agencies.

Police Chief Stephens used the report to enlist other departments in a joint recommendation to the city manager: Help the tenants find better housing and demolish New Briarfield. The city manager approved. In June 1986, he proposed replacing Briarfield with a new 220-unit complex, a middle school, and a small shopping center. Negotiations are underway with HUD.

The long-range solution will take time to implement. For now, the police force assigned Officer Vernon Lyons full-time to organize the neighborhood residents. Since January 1986 the New Briarfield Community Association has been persuading residents to take better care of the neighborhood and lobbying the resident manager and city agencies to keep the complex properly maintained.

Visibly better living conditions have resulted—and the burglary rate has dropped by 35 percent.

Thefts from vehicles in shipyard parking lots. Newport News Shipbuilding employs 36,000 people. Most drive to work and park in nearby lots. In 1984, thefts from these cars amounted to $180,000 in losses, not counting vehicle damage—a total that accounted for 10 percent of all serious, reported crime.

Police were frustrated. They answered many calls but made few arrests. The task force chose Officer Paul Swartz to analyze the issues.

Personal robberies: An average reduction of 39 percent in downtown area

Household burglaries: An average reduction of 35 percent in New Briarfield
Larcenies from autos: An average reduction of 53 percent in downtown area

In these three graphs, all time series have been exponentially smoothed to account for short-term fluctuations, long-term trends, and seasonal variations. Estimated crime reductions due to police action are statistically significant at the .01 level or lower.

He tracked current cases and reviewed offense and arrest records for the previous 3 years. He interviewed patrol officers and detectives who knew the area, and talked with shipyard security officers. This led to identification of theft-prone lots—and of a small group of frequent offenders who might be committing most of the thefts.

As a result, one person was arrested in the act of breaking into a car, and Swartz interviewed the offender after he was convicted, promising that nothing he said would bring extra punishment. Swartz learned that drugs were a prime target of the thieves, who looked for "muscle" cars, rock-and-roll bumper stickers, or other hints that the car owner used marijuana or cocaine.

The information led to more arrests and convictions, further interviews, and still further arrests.

The police department is still developing a long-term solution, working with parking lot owners and shipyard workers to develop a prevention program. In the interim, however, the arrest, conviction, and incarceration of the most frequent offenders has reduced thefts by 53 percent since April 1985.

New information, new responses

One reason for these successes has been the police use of information from a wider variety of sources. A survey of residents is an example, like interviews with thieves and prostitutes, but so are literature reviews, interviews with runaways and their parents, business surveys, photographing of problem sites, and searches of tax and title records.

The responses to prostitution-related robberies and parking-lot thefts are standard tactics, but in these cases the involvement of people outside the criminal justice system was important. The resources used are as diverse as the problems themselves.

Problem-oriented policing helps ensure that police respond to a wide variety of problems affecting the quality of life, not just crime. It lets line officers use their experience and knowledge to improve the communities they serve.

The Newport News Police Department—and other departments that adopt and refine this approach—will continue to respond to specific criminal events. But they will go beyond this step, preventing future incidents by solving the problems that would otherwise lead to crime and disorder.

The problem-oriented police department thus will be able to take the initiative in working with other agencies on community problems when those problems touch on police responsibilities. Such a department can make more efficient use of its resources when, for example, it reduces the number of prostitutes and thus needs fewer officers to patrol downtown.

This police force will be more responsive to citizen needs, enjoying better community relations when citizens see the police demonstrating concern for their day-to-day needs.

The result will be a more effective response to crime and other troubling conditions in our cities.

A more complete report on the Newport News project soon will be published by the National Institute of Justice. In the meantime, those seeking additional information may contact the Project Director: John Eck, Senior Research Associate, Police Executive Research Forum, 2301 M Street NW., Washington, DC 20006. William Spelman, also a Senior Research Associate at PERF, is Assistant Project Director.
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