OFFICE OF POLICY Analysis, Research & Sexeistical Services

NEW YORK STATE DIVISION of GRIMINAL JUSTICE SERVICES

1982 VIOLENT FELONY AND FIREARM OFFENSES IN NEW YORK STATE FEBRUARY 1, 1985

SO M



NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES Richard J. Condon Commissioner

OFFICE OF POLICY ANALYSIS, RESEARCH AND STATISTICAL SERVICES Sherwood E. Zimmerman Deputy Commissioner

> 1982 VIOLENT FELONY AND FIREARM OFFENSES IN NEW YORK STATE February 1, 1985

NCJRS

AUG 12 1988

ACQUISITIONS

Bureau of Criminal Justice Statistical Services Richard A. Rosen Chief

113057

U.S. Department of Justice National Institute of Justice

This document has been reproduced exactly as received from the person or organization originating it. Points of view or opinions stated in this document are those of the authors and do not necessarily represent the official position or policies of the National Institute of Justice.

Permission to reproduce this copyrighted material in microfiche only has been granted by

New York State Division of Criminal Justice Services

to the National Criminal Justice Reference Service (NCJRS).

Prepared By: Larry Signer Mary Dumin Jacqueline Lake Kerry Mahon

Further reproduction outside of the NCJRS system requires permission of the copyright owner.

ACKNOWLEDGEMENT

Special acknowledgement is offered to Joan Burgess, Debbie Govel, and Celia Sorrell for typing this report. Also special thanks to Carl Risch and his staff for their special assistance.

PREFACE

This report, describing the processing of violent felony and firearm offenses in 1982 in New York State, is the eighth such report issued by the Division of Criminal Justice Services pursuant to Section 837-a of the Executive Law. It is the first prepared under the auspices of the Office of Policy Analysis, Research and Statistical Services.

The format of the report has been revised to provide a concise overview of violent felony processing in New York State. Material included in previous violent felony reports but not included in this revision can be obtained upon request. For example, data on "juvenile offenders", formerly contained in the violent felony report, are now published separately. Additionally, other specialized information may be available upon request.

The Division wishes to thank the District Attorneys of New York State and the many other individuals who participated in the preparation of the report.

TABLE OF CONTENTS

	<u>Page</u>
PREFACE	i
IST OF TABLES	iii
IST OF FIGURES	vii
INTRODUCTION TO VIOLENT FELONY SECTION	7
HIGHLIGHTS AND DATA	5
Arrests	7
Indictments	12
Dispositions of Violent Felony Indictment	17
Sentences to Violent Felony Indictments	29
Processing Times	41
INTRODUCTION TO FIREARM/ARMED FELONY SECTION	47
HIGHLIGHTS AND DATA	49
Firearm/Armed Felony Arrests	51
Firearm/Armed Felony Indictments	53
Firearm/Armed Felony Dispositions	57
Firearm/Armed Felony Sentences	79
Firearm/Armed Felony Processing Times	87
MITIGATING CIRCUMSTANCES	95
APPENDIX A: Definitions and Technical Specifications	99
APPENDIX B: Data Collection Forms	105
APPENDIX C: Basic Provisions of the Violent Felony Law	111

Table #	Description	Page
1.	Violent Felony Arrests by County	. 9
1A.	Violent Felony Arrests - Offense Type by Region	. 10
2.	Violent Felony Arrests - Age of Arrestee by Region	. 11
3.	Violent Felony Indictments by County	. 13
3A.	Violent Felony Indictments vs. All Indictments by Region	. 14
4.	Violent Felony Indictments Offense Type by Region	. 15
5.	1982 Dispositions of Violent Felony Indictments - New York State	. 19
6.	1982 Dispositions of Violent Felony Indictments - New York City	. 20
7.	1982 Dispositions of Violent Felony Indictments - Suburban New York City	. 21
8.	1982 Dispositions of Violent Felony Indictments - Upstate	. 22
9.	1982 Conviction Rate on Violent Felony Indictments	. 23
10.	Violent Felony Indicted Offenses vs. Convicted Offenses - New York State	. 24
11.	Violent Felony Indicted Offenses vs. Convicted Offenses - New York City	. 25
12.	Violent Felony Indicted Offenses vs. Convicted Offenses - Suburban New York City	. 26
13.	Violent Felony Indicted Offenses vs. Convicted Offenses - Upstate	. 27
14.	1982 Sentences by Conviction Charge for Violent Felony Indictments - New York State	. 31
15.	1982 Sentences by Conviction Charge for Violent Felony Indictments - New York City	. 32
16.	1982 Sentences by Conviction Charge for Violent Felony Indictments - Suburban New York City	. 33
17.	1982 Sentences by Conviction Charge for Violent Felony	3/1

Table #	Description	<u>Page</u>
18.	1982 Convictions of Violent Felony Indictments Persistent, Predicate and Youthful Offenders	. 35
19.	1982 Felony Firearm/Armed Felony Arrests by Firearm Charge - New York State	. 52
20.	1982 Felony Firearm/Armed Felony Arrests - Top Charge/ Secondary Charge - New York State	. 52
21.	1982 Firearm/Armed Felony Indictments as Percent of Total Indictments - New York State	. 54
22.	1982 Firearm/Armed Felony Indictments as Percent of Violent Felony Indictments - New York State	. 54
23.	1982 Firearm/Armed Felony Indictments - New York State	. 55
24.	1982 Top Charge Firearm/Armed Felony Indictments - New York State	. 55
25.	Secondary Charge Firearm/Armed Felony Indictments by Indictment Top Charge	. 56
26.	1982 Dispositions of Firearm/Armed Felony Indictments by Type - New York State	
27.	1982 Total Firearm/Armed Felony Dispositions - New York State	. 59
28.	1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - New York State	. 60
29.	1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - New York State	. 61
30.	1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - New York City	. 62
31.	1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - New York City	. 63
32.	1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - Suburban New York City	. 64
33.	1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - Suburban New York City	. 65
34.	1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - Upstate	. 66

<u>Table #</u>	<u>Description</u>	Page
35.	1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - Upstate	67
36.	1982 Conviction Rate on All Firearm/Armed Felony Indictment	s 68
37.	1982 Convictions on Top Charge Firearm/Armed Felony Indictments	69
38.	1982 Convictions on Secondary Charge Firearm/Armed Felony Indictments	69
39.	Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - New York State	70
40.	Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - New York State	
41.	Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - New York City	72
42.	Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - New York City	73
43.	Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - Suburban New York City	74
44.	Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - Suburban New York City	
45.	Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - Upstate	76
46.	Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses - Upstate	
47.	Percent of Persistent/Predicate and Youthful Offenders Top Charge Firearm/Armed Felony Indictments	
48.	Percent of Persistent/Predicate and Youthful Offenders Secondary Charge Firearm/Armed Felony Indictments	80
49.	Percent of Persistent/Predicate and Youthful Offenders All Firearm/Armed Felony Indictments	80
50.	Sentences on Convictions to Top/Secondary Firearm/Armed Fe Indictments - New York State	lony
51.	Sentences by Firearm/Armed Felony Conviction Charge - New York State	82

Table #	<u>Description</u>	Page
52.	Sentences by Firearm/Armed Felony Conviction Charge - New York City	83
53.	Sentences by Firearm/Armed Felony Conviction Charge - Suburban New York City	84
54.	Sentences by Firearm/Armed Felony Conviction Charge - Upstat	te. 85
55.	Top Charge Firearm/Armed Felony Defendant Processing Time - Indictment to Disposition (Median Days)	88
56.	Secondary Charge Firearm/Armed Felony Defendant Processing Time - Indictment to Disposition (Median Days)	89
57.	Mitigating Circumstances - Statewide 70.02 PL (Armed Felony Offenders)	97
58.	Mitigating Circumstances - Statewide 720.10 CPL (Youthful Offenders)	98

FIGURES

Table #	Description	<u>Page</u>
1.	Median Minimum and Maximum Sentence Terms - by Conviction Charge - New York State	. 36
2.	Median Minimum and Maximum Sentence Terms - by Conviction Charge - New York City	. 37
3.	Median Minimum and Maximum Sentence Terms - by Conviction Charge - Suburban New York City	. 38
4.	Median Minimum and Maximum Sentence Terms - by Conviction Charge - Upstate	. 39
5.	Violent Felony Defendant Processing Time - Indictment to Disposition (Median Days) - New York State	. 42
6.	Violent Felony Defendant Processing Time - Indictment to Disposition (Median Days) - New York City	. 43
7.	Violent Felony Defendant Processing Time - Indictment to Disposition (Median Days) - Suburban New York City	. 44
8.	Violent Felony Defendant Processing Time - Indictment to Disposition (Median Days) - Upstate	. 45
9.	Firearm/Armed Felony Defendant Processing Time - Indictment t Disposition (Median Days) - New York State	
10.	Firearm/Armed Felony Defendant Processing Time - Indictment t Disposition (Median Days) - New York City	
11.	Firearm/Armed Felony Defendant Processing Time - Indictment t Disposition (Median Days) - Suburban New York City	
12.	Firearm/Armed Felony Defendant Processing Time - Indictment t Disposition (Median Days) - Upstate	

INTRODUCTION TO VIOLENT FELONY SECTION

On September 1, 1978, Chapter 481 of the 1978 Laws of New York State became effective and brought about substantial revisions to the Penal Law, Criminal Procedure Law, Executive Law and Family Court Act.

Chapter 481 created Penal Law Section 70.02 which designated specific Class B, Class C, and Class D felonies as violent felony offenses. Subsequent amendments to PL §70.02 (1980) designated additional offenses and attempted offenses as violent felonies (see Appendix C for complete listing).

The new Section 70.02 also specified the sentences to be imposed on convictions to violent felonies. Essentially, its provisions increased the minimum mandatory indeterminate state prison sentence for convictions to many (but not all) of the crimes designated as violent felonies. Additionally, Penal Law sections were created in Article 70 governing identification and sentencing procedures for defendants previously convicted of one violent felony offense ("second violent felony offenders," PL §70.04), and defendants previously convicted of two or more violent felony offenses ("persistent violent felony offenders," PL §70.08). Sentence options for first, second and persistent violent felony offenders appear in Appendix C.

Chapter 481 also created an armed felony category (CPL §1.20 subd. 41). Basically, an "armed felony" is any violent felony that includes as a statutory element either: (a) possession, being armed with or causing serious injury by means of a loaded deadly weapon; or (b) displaying what appears to be a firearm. Special sentencing procedures were created for pleas to a Class D violent felony entered in satisfaction of an armed felony indictment charge.

The revisions to the Criminal Procedure Law contained in Chapter 481 placed plea restrictions on indictments charging a Class A felony (other than a Class A drug felony) or a Class B or Class C violent felony, requiring that such a plea be at least to a violent felony offense (CPL §220.10). The same restrictions are applied in instances where a plea to one indictment also constituted the disposition of another indictment charging designated violent felony offenses (CPL §220.30). Restrictions on pre-indictment charge reductions for violent felony complaints were also imposed (CPL §180.50, §180.70). Additional detail on the plea restrictions is contained in Appendix C.

Of the subsequent amendments to the original violent felony statutes, the most significant were the firearm laws which became effective August 12, 1980 (Chapter 233 of the Laws of 1980). Besides creating new violent felony offenses, such as Criminal Use of a Firearm, it designated Criminal Possession of a Weapon 3° (the illegal possession of a loaded firearm outside the home or place of business) and its attempt as an armed violent felony subject to plea restictions and enhanced sentencing. Additionally, Chapter 233 further restricted plea bargaining and enhanced sentencing options for Class B armed felony convictions.

Currently, convictions for illegal firearm possession must result in an indeterminate state prison term or a definite local jail sentence of one year unless specified criteria are met. Discretionary provisions in the law permit the court to impose alternative sentences for youthful offender adjudications and armed felony convictions if the court determines that mitigating circumstances exist. Alternative sentences for firearm possession convictions are permitted where it is determined that the mandated sentence would be unduly harsh.

Because of the special interest in firearm offenses, detailed data on these offenses are presented in the second section of the report. It should be kept in mind that these firearm offenses are essentially a subset of the violent felony offenses discussed in this section. A third section of the report provides material on mitigated sentences imposed under the discretionary provisions of the law.

The first section of the report presents data on violent felony offenses at critical stages in the criminal justice process: arrest, indictment, disposition, conviction and sentencing. Data on processing times for indicted cases are also presented. Although not designated as violent felonies, the Class A-1 felonies of Murder 1° and 2°, Kidnapping 1° and Arson 1° are included in this analysis because these offenses are governed by similar processing and sentencing provisions.

The unit of count for arrests is the arrest event, which consists of all charges placed against the offender for any given arrest. The unit of count for indictments, superior court information and dispositions is the defendant-indictment. All data are presented by the most serious violent felony charge reported in the arrest event and defendant-indictment. Appendix A contains a detailed explanation of the method used to determine the unit of count as well as the hierarchical classification of felonies.

The violent felony data are summarized by Penal Law Article and do not represent all the arrests or indictments under any given article. Term of imprisonment data are presented for specific crimes.

The exhibits in this report provide aggregate processing statistics for three major geographic areas of the State: New York City, Suburban New York City, and Upstate. Activities of the Special Nursing Home Prosecutor and the Organized Crime Task Force are included in Statewide totals but not in regional breakdowns. The New York City data include actions reported by the Special Narcotics Prosecutor and the Special Prosecutor for the New York City Criminal Justice system. The Suburban New York City area consists of Nassau, Suffolk, Rockland and Westchester counties. The Upstate area consists of all remaining counties outside of New York City and the Suburban New York City area. County specific arrest and indictment data are also provided.

HIGHLIGHTS AND DATA

Arrests

<u>Highlights</u>

- * In New York State, 59,379 violent felony arrests were reported in 1982, a 14.1% increase from 1981 (52,061).
- * The 59,379 violent felony arrests represented 41.6% of all felony arrests for 1982 (142,904).
- * New York City reported 73.8% (43,850) of the statewide violent felony arrests; 16.1% (9,570) were reported from the Upstate counties and 10.0% (5,959) from the Suburban New York City counties.
- * In New York City, violent felony robbery was the most common arrest offense, accounting for 34.4% of all violent felony arrests. Violent felony burglary was the most common arrest offense elsewhere in the State (34.3% of violent felony arrests in the Upstate region and 32.9% in the Suburban region).
- * Persons between the ages of 16 and 30 (inclusive) accounted for 77.9% of the violent felony arrests, with about equal numbers in the 16-20 and 21-30 age groups. The median age was 23.9 years.

The redefinition of certain burglary offenses under chapter 361 of the 1981 New York State Laws, effective September 1, 1981, contributed to a 50.3% increase in violent felony burglary arrests for 1982. These amendments removed the night element from the definitions of first degree burglary (PL 140.30) and second degree burglary (PL 140.25 subd. 2).

Prior to the redefinition of Burglary 2°, the simple daytime burglary of a dwelling (no injury, no weapon, etc.) constituted burglary in the third degree (PL 140.20), a non-violent felony. Under the revised definition, a simple burglary of a dwelling is now second degree burglary (PL 140.25, subd. 2 - a Class C violent felony) regardless of the time of day. The increase in the number of violent felony burglaries is a direct consequence of this change, since offenses which formerly would have resulted in the non-violent felony charge of third degree burglary, now result in the violent felony charge of second degree burglary.

Additionally, under the new definitions, all of the more serious burglaries of a dwelling (those involving injury, weapons, etc.) are now classified as first degree burglaries (a Class B violent felony), regardless of the time of day. Previously, those occurring during the daytime would have resulted in a charge of second degree burglary (a Class C violent felony). See Appendix C for a complete listing of violent felony offenses.

TABLE 1 Violent Felony Arrests By County 1982

County	<u>Total</u>	% of <u>Total</u>	Rank	<u>County</u>	<u>Total</u>	% of Total	<u>Rank</u>
Albany Allegany Broome Bronx Cattaraugus Cayuga Chautaugua Chemung Chenango Clinton Columbia Cortland Delaware Dutchess Erie Essex Franklin Fulton Genesee Greene Hamilton Herkimer Jefferson Kings Lewis Livingston Madison Monroe Montogomery Nassau New York	632 18 225 8,791 57 46 100 127 46 106 113 75 33 411 2,064 74 32 51 54 51 54 51 54 51 54 51 75 106 14,473 15 49 75 1,149 30 1,773 13,427	1.1 * 14.8 .1 .2 .2 .1 .7 3.5 .1 .1 .1 .1 .1 .1 .1 .1 .1	12 51 18 38 42 24 27 25 33 45 44 40 39 47 47 21 47 25 47 47 47 47 47 47 47 47 47 47 47 47 47	Oneida Onondaga Ontario Orange Orleans Oswego Ostego Putnam Queens Rensselaer Richmond Rockland St. Lawrence Saratoga Schenectady Schoharie Schuyler Seneca Steuben Suffolk Sullivan Tioga Tompkins Ulster Warren Washington Wayne Westchester Wyoming Yates Special Narcot	216 1,024 66 484 51 88 32 87 6,161 212 998 260 108 151 150 23 18 27 64 2,149 205 35 79 224 79 70 103 1,579 20 18	Total .4 1.7 .1 .8 .1 .1 .1 10.4 .4 1.7 .4 .2 .3 .3 * * * * * .1 3.6 .3 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1	19 10 36 13 40 30 46 31 420 11 16 26 22 49 51 48 37 51 44 32 17 32 35 8 51
Niagara	345	.6	15	Special Pros.	59,379	100.0%	

^{*}less than .1%

TABLE 1A Violent Felony Arrests Offense Type by Region 1982

		•		REGI	ON		# - 10 - 10 - 10 - 10 - 10 - 10 - 10 - 1	
Penal Law Article	Statewi	ide	N. Y	'.C.	Subur	-b an	Upst	ate
Robbery (PL160)	17,872 (1 (30.1%)	100.0%)	15,092 (34.4%)	(84.4%)	1,304 (21.9%)	(7.3%)	1,476 (15.4%)	(8.3%)
Sex Off. (PL130)		100.0%)	1,724 (3.9%)	(59.1%)	327 (5.5%)	(11.2%)	864 (9.0%)	(29.6%)
Burglary (PL140)	11,306 (1 (19.0%)	100.0%)	6,065 (13.8%)	(53.6%)	1,958 (32.9%)	(17.3%)	3,283 (34.3%)	(29.0%)
Assault (PL120)	16,485 (1 (27.8%)							(17.2%)
Homicide (PL125)	2,764 (1 (4.7%)	100.0%)	2,368 (5.4%)	(85.7%)	186 (3.1%)	(6.7%)	210 (2.2%)	(7.6%)
Weapons (PL265)	7,067 (1 (11.9%)				345 (5.8%)			(9.8%)
Other	970 (1 (1.6%)	100.0%)	661 (1.5%)	(68.1%)	101 (1.7%)	(10.4%)	208 (2.2%)	(21.4%)
Total VFO's	59,379 (1 (100.0%)			(73.8%)	5,959 (100.0%)	(10.0%)	9,570 (100.0%)	(16.1%)

Percentages may not add to 100.0% due to rounding.

TABLE 2 Violent Felony Arrests Age of Arrestee by Region¹ 1982

	•	REGION		
Age Group	Statewide	N.Y.C	Suburban	Upstate
16-20	20,863 (100.0%) (38.7%)	14,601 (70.0%) (37.2%)	2,529 (12.1%) (44.6%)	3,733 (17.9%) (41.6%)
21-30		15,559 (73.6%) (39.7%)	2,060 (9.7%) (36.3%)	3,521 (16.7%) (39.2%)
31-40	8,023 (100.0%) (14.9%)	6,196 (77.2%) (15.8%)	690 (8.6%) (12.2%)	1,137 (14.2%) (12.7%)
41-50	2,586 (100.0%) (4.8%)	1,921 (74.3%) (4.9%)	270 (10.4%) (4.8%)	395 (15.3%) (4.4%)
51 +	1,258 (100.0%) (2.4%)	938 (74.6%) (2.4%)	123 (9.8%) (2.2%)	197 (15.7%) (2.2%)
Total	53,870 (100.0%) (100.0%)	39,215 (72.8%) (100.0%)	5,672 (10.5%) (100.0%)	8,983 (16.7%) (100.0%)
Median Age	23.9	24.2	22.5	23.2

 $^{^{1}}$ The table is restricted to those crimes originally designated violent felonies and excludes those offenses added by the 1980 Firearm Statutes (see introduction) because the verified firearm offense data file did not include date of birth.

Percentages may not add to 100.0% due to rounding.

Indictments

Highlights

- * Statewide, 23,842 violent felony indictments were reported in 1982, a 22% increase from 1981 (19,529).
- * Violent felony indictments accounted for 51.2% of all indictments (46,574) filed in New York State in 1982. This reflects the fact that a significant majority (63%) of all indictments in New York City were for violent felonies, whereas, only 40.5% of indictments in the Suburban region and 29.1% in the Upstate region contained a violent felony charge.
- * More than 74% of all violent felony indictments occurred in New York City.
- * Robbery, burglary and weapon offenses accounted for 76.9% of all violent felony indictments.
- * In New York City, violent felony robbery was the most common indictment offense, accounting for 44.1% of all violent felony indictments. Violent felony burglary was the most common indictment offense elsewhere in the State (40.8% of violent felony indictments in the Suburban region and 34.8% in the Upstate region).
- * The statewide violent felony indictment rate was 40.2%
- * Homicide, weapons and robbery offenses had the highest violent felony indictment rates: 80.6%, 64.7% and 51.7% respectively. Assault had the lowest rate in the State (10.6%)¹.

Nineteen-eighty-two was the first year in which violent felony indictments exceeded the number of non-violent felony indictments on a statewide basis (See Table 3).

Due to the previously noted change in the Penal Law, much of the increase in violent felony indictments was due to the rise in burglary indictments. Burglary indictments increased by 100% in 1982 from 1981 (rising from 2,256 in 1981 to 4,515 in 1982).

¹The violent felony indictment rates presented in this report are established by comparing violent felony arrests (Table 1) to violent felony indictments (Table 4) within the time period. They do not represent indictments of the arrest cases shown in the previous section.

TABLE 3 Violent Felony Indictments By County 1982

<u>County</u>	<u>Total</u>	% of Total	<u>Rank</u>	County	<u>Total</u>	% of Total	<u>Rank</u>
Albany Allegany Broome Bronx Cattaraugus Cayuga Chautaugua Chemung Chenango Clinton Columbia Cortland Delaware Dutchess Erie Essex Franklin Fulton Genesee Greene Hamilton Herkimer Jefferson Kings Lewis Livingston Monroe Montogomery Nassau New York Niagara	157 2 134 3,923 18 16 57 117 19 39 31 16 13 74 651 29 16 17 23 24 1 13 60 5,118 60 5,118 44 13 332 49 53 5,295 84	.7 * 16.5 .1 .2 .5 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1 .1	13 49 14 3 39 41 21 15 38 29 32 41 43 18 8 34 41 40 37 35 50 43 27 43 9 42 6 17	Oneida Onondaga Ontario Orange Orleans Oswego Ostego Putnam Queens Rensselaer Richmond Rockland St. Lawrence Saratoga Schenectady Schoharie Schuyler Seneca Steuben Suffolk Sullivan Tioga Tompkins Ulster Warren Washington Wayne Westchester Wyoming Yates Special Narcot Special Pros. Total	174 303 46 117 54 33 19 9 2,953 33 291 98 45 47 68 6 4 1 27 1,212 30 23 42 49 11 17 38 681 8 9 9 11 17 38 681 8 8 19 17 38 45 47 47 47 48 49 49 49 49 49 49 49 49 49 49 49 49 49	.7 1.3 .2 .5 .2 .1 .1 * 12.4 .1 1.2 .2 .3 * * * * * .1 5.1 .1 .2 .2 .2 * .1 .2 .2 .3 * * * * 100.0%	12 10 25 15 22 31 38 45 45 11 16 26 24 19 47 48 50 35 53 37 28 23 44 40 30 7 46 45
						20000	

^{*}less than .1%

Special Prosecutors are excluded from ranking.

TABLE 3A Violent Felony Indictments vs. All Indictments By Region 1982

Indictments	Statewide	N.Y.C.	Suburban	Upstate
VFO Indictments	23,842 ¹ (100.0%) (51.2%)	17,663 (74.1%) (63.0%)	2,944 (12.3%) (40.5%)	3,233 (13.6%) (29.1%)
Non-VFO Indictments	22,732 ² (100.0%) (48.8%)	10,391 (46.0%, (37.0%)	4,317 (19.1%) (59.5%)	7,891 (34.9%) (70.9%)
TOTAL	46,574 ³ (100.0%) (100.0%)	28,054 (60.4%) 100.0%)	7,261 (15.6%) (100.0%)	11,124 (24.0%) (100.0%)

 $^{^1}$ Includes 2 indictments from special statewide prosecutors not counted in regional subtotals. Regional percentages are based on 23,840 violent felony indictments.

Percentages may not add to 100.0% due to rounding.

 $^{^2}$ Includes 133 indictments from special statewide prosecutors not counted in regional subtotals. Regional percentages are based on 22,599 non-violent felony indictments.

³Regional percentages are based on 46,439 indictments.

TABLE 4 Violent Felony Indictments Offense Type By Region 1982

		REG	ION	
Penal Law Article	Statewide	N.Y.C.	Suburban	Upstate
Robbery (PL160)	9,248 (100.0%)	7,794 (84.3%)	799 (8.6%)	655 (7.1%)
	(38.8%)	(44.1%)	(27.1%)	(20.3%)
Sex Off. (PL130)	1,176 ¹ (100.0%) (4.9%)	606 (51.5%) (3.4%)	172 (14.6%) (5.8%)	397 (33.8%) (12.3%)
Burglary (PL140)	4,515 (100.0%)	2,190 (48.5%)	1,200 (26.6%)	1,125 (24.9%)
	(18.9%)	(12.4%)	(40.8%)	(34.8%)
Assault (PL120)	1,740 ¹ (100.0%)	839 (48.2%)	309 (17.8%)	591 (34.0%)
	(7.3%)	(4.8%)	(10.5%)	(18.3%)
Homicide (PL125)	2,228 (100.0%)	1,809 (81.2%)	186 (8.3%)	233 (10.5%)
	(9.3%)	(10.2%)	(6.3%)	(7.2%)
Weapons (PL265)	4,573 (100.0%) (19.2%)	4,194 (91.7%) (23.7%)	230 (5.0%) (7.8%)	149 (3.3%) (4.6%)
Other	362 (100.0%)	231 (63.8%)	48 (13.3%)	83 (22.9%)
	(1.5%)	(1.3%)	(1.6%)	(2.6%)
Total VFO's	23,842 (100.0%) (100.0%)		2,944 (12.3%) (100.0%)	

¹Includes indictment from special statewide prosecutors not counted in regional subtotals. Regional percentages are based on statewide totals excluding this special indictment.

Percentages may not add to 100.0% due to rounding.

Dispositions of Violent Felony Indictments

<u>Highlights</u>

- * Statewide, 18,240 dispositions of violent felony indictments were reported, a 12.8% increase over 1981.
- * New York City accounted for 72.6% of these dispositions statewide.
- * Dispositions of robbery, weapon and burglary violent felony indictments accounted for 75.9% of all dispositions in New York State.
- * Statewide, 70.3% of all dispositions of violent felony indictments were by plea. Trial verdicts (convictions and acquittals) accounted for 15.5% of the dispositions.
- * On a regional basis, Upstate reported the highest proportion of trial verdicts (17.8%) and Suburban New York City had the highest proportion of pleas (79.7%).
- * The statewide conviction rate on violent felony indictments was 81.9% during 1982.
- * Statewide, 43.0% of these convictions were to the most serious violent felony indictment charge and a total of 89.7% of the convictions were to some violent felony offense. Thus 73.5% of violent felony indictments disposed of in 1982 (18,240) resulted in a violent felony offense conviction (13,399).
- * Suburban New York City reported the highest overall conviction rate (88.2%) among the regions.
- * Among the offenses, burglary indictments most frequently resulted in guilty pleas (82.8%) and homicide indictments were most frequently disposed of by trial (43.2%).
- * Among the offenses, burglary indictments had the highest conviction rate (88.6%).

The increase in violent felony dispositions is the result of two factors:

- 1. the substantial increase in the number of dispositions on class D weapon offenses (CPW3°). The August 12, 1980 firearm amendments made CPW 3° a violent felony offense and many of these cases reached the disposition phase of the court process in 1982;
- 2. the near doubling of violent felony burglary dispositions due to changes in the Penal Law (see Chapter 1 Violent Felony Arrests).

¹Unless otherwise specified, dispositions of violent felony indictments <u>need not</u> have been for a violent felony offense.

The Criminal Procedure Law imposes plea restrictions on indictments charging a violent felony offense. The specific restrictions appear in Appendix C.

The 1982 disposition statistics reflect all final dispositions to violent felony indictments occurring in 1982 regardless of the year of indictment (i.e., a 1981 indictment disposed of in 1982 is included). Many 1982 violent felony indictments are still awaiting final disposition and these will be reflected in subsequent reporting periods.

			Di	spositions by Type		
Indictment		Canvic	ted			
Charge	Totals	Plea	Trial	Dismissed	Acquitted	0ther
Robbery (PL160)	7,384 (100.0%)	5,438 (73.6%)	778 (10.5%)	532 (7.2%)	227 (3.1%)	409 (5.5%)
	(40.5%)	(42.4%)	(36.6%)	(34.4%)	(31.6%)	(39.7%)
Sex Off. (PL130)	955 (100.0%)	555 (58.1%)	149 (15.6%)	125 (13.1%)	82 (8.6%)	44 (4.6%)
	(5.2%)	(4.3%)	(7.0%)	(8.1%)	(11.4%)	(4.3%)
Burglary (PL140)	3,064 (100.0%)	2,537 (82.8%)	178 (5.8%)	136 (4.4%)	64 (2.1%)	149 (4.9%)
	(16.8%)	(19.8%)	(8.4%)	(8.8%)	(8.9%)	(14.5%)
Assault (PL120)	1,308 (100.0%)	863 (66.0%)	163 (12.5%)	115 (8.8%)	88 (6.7%)	79 (6.0%)
	(7.2%)	(6.7%)	(7.7%)	(7.4%)	(12.2%)	(7.7%)
Homicide (PL125)	1,838 (100.0%)	773 (42.1%)	645 (35.1%)	135 (7.3%)	149 (8.1%)	136 (7.4%)
	(10.1%)	(6.0%)	(30.3%)	(8.7%)	(20.7%)	(13.2%)
Weapons (PL265)	3,398 (100.0%)	2,489 (73.2%)	170 (5.0%)	462 (13.6%)	92 (2.7%)	185 (5.4%)
	(18.6%)	(19.4%)	(8.0%)	(29.9%)	(12.8%)	(18.0%)
Other	293 (100.0%)	169 (57.7%)	40 (13.7%)	40 (13.7%)	17 (5.8%)	27 (9.2%)
	(1.6%)	(1.3%)	(1.9%)	(2.6%)	(2.4%)	(2.6%)
Total VFO's	18,240 (100.0%)	12,824 (70.3%)	2,123 (11.6%)	1,545 (8.5%)	719 (3.9%)	1,029 (5.6%)
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)

 $^{^{1}}$ The data reflects only those violent felony indictments disposed of in 1982. Note that the convicted charge may differ from the indicted charge.

		Dispositions by Type									
Indictment		Convic	ted								
Charge	Totals	Plea	Trial	Dismissed	Acquitted	Other					
Robbery (PL160)	6,098 (100.0%) (46.1%)	4,446 (72.9%) (49.0%)	647 (10.6%) (41.1%)		196 (3.2%) (35.8%)	358 (5.9%) (43.1%)					
Sex Off. (PL130)	436 (100.0%) (3.3%)	237 (54.4%) (2.6%)	65 (14.9%) (4.1%)	62 (14.2%) (5.1%)	42 (9.6%) (7.7%)	30 (6.9%) (3.6%)					
Burglary (PL140)	1,288 (100.0%) (9.7%)	1,054 (81.8%) (11.6%)	76 (5.9%) (4.8%)	58 (4.5%) (4.8%)	29 (2.3%) (5.3%)	71 (5.5%) (8.6%)					
Assault (PL120)	551 (100.0%) (4.2%)	331 (60.1%) (3.7%)	67 (12.2%) (4.3%)	56 (10.2%) (4.6%)	44 (8.0%) (8.0%)	53 (9.6%) (6.4%)					
Hamicide (PL125)	1,559 (100.0%) (11.8%)	646 (41.4%) (7.1%)	536 (34.4%) (34.1%)	119 (7.6%) (9.8%)	137 (8.8%) (25.0%)						
Weapons (PL265)	3,106 (100.0%) (23.5%)	2,249 (72.4%) (24.8%)	150 (4.8%) (9.5%)	441 (14.2%) (36.2%)	90 (2.9%) (16.5%)	176 (5.7%) (21.2%)					
Other	199 (100.0%) (1.5%)	105 (52.8%) (1.2%)	33 (16.6%) (2.1%)	31 (15.6%) (2.5%)	9 (4.5%) (1.6%)	21 (10.6%) (2.5%)					
Total VFO's	13,237 (100.0%) (100.0%)	9,068 (68.5%) (100.0%)	1,574 (11.9%) (100.0%)								

 $^{^{1}}$ The data reflects only those violent felony indictments disposed of in 1982. Note that the convicted charge may differ from the indicted charge.

				spositions by Type		
Indictment		Convict	ed			
Charge	Totals	Рlea	Trial	Dismissed	Acquitted	Other
Robbery (PL160)	750 (100.0%) (30.2%)	611 (81.5%) (30.9%)	58 (7.7%) (27.6%)	47 (6.3%) (32.2%)	14 (1.9%) (23.0%)	20 (2.7%) (23.0%)
Sex Off. (PL130)	168 (100.0%) (6.8%)	95 (56.5%) (4.8%)	33 (19.6%) (15.7%)	23 (13.7%) (15.8%)	11 (6.5%) (18.0%)	6 (3.6%) (6.9%)
Burglary (PL140)	919 (100.0%) (37.0%)	804 (87.5%) (40.6%)	33 (3.6%) (15.7%)	33 (3.6%) (22.6%)	15 (1.6%) (24.6%)	34 (3.7%) (39.1%)
Assault (PL120)	300 (100.0%) (12.1%)	226 (<i>7</i> 5.3%) (11.4%)	25 (8.3%) (11.9%)	26 (8.7%) (17.8%)	14 (4.7%) (23.0%)	9 (3.0%) (10.3%)
Homicide (PL125)	134 (100.0%) (5.4%)	61 (45.5%) (3.1%)		8 (6.0%) (5.5%)	7 (5.2%) (11.5%)	13 (9.7%) (14.9%)
Weapons (PL265)	178 (100.0%) (7.2%)	157 (88.2%) (7.9%)	11 (6.2%) (5.2%)	6 (3.4%) (4.1%)	0 (0.0%) (0.0%)	4 (2.2%) (4.6%)
Other	33 (100.0%) (1.3%)	24 (72.7%) (1.2%)	5 (15.2%) (2.4%)		0 (0.0%) (0.0%)	1 (3.0%) (1.1%)
Total VFO's	2,482 (100.0%) (100.0%)	1,978 (79.7%) (100.0%)	210 (8.5%) (100.0%)			

 $^{^{1}}$ The data reflects only those violent felony indictments disposed of in 1982. Note that the convicted charge may differ from the indicted charge.

Indiatmont		Convicte	d	Disposition by Type		· · · · · · · · · · · · · · · · · · ·	
Indictment Charge	Totals	Plea	Trial	Dismissed	Acquitted	Other	
Robbery (PL160)	536 (100.0%)	381 (71.1%)	73 (13.6%)	34 (6.3%)	17 (3.2%)	31 (5.8%)	
	(21.3%)	(21.4%)	(21.7%)	(18.8%)	(15.3%)	(27.7%)	
Sex Off. (PL130)	351 (100.0%)	223 (63.5%)	51 (14.5%)	40 (11.4%)	29 (8.3%)	8 (2.3%)	
	(13.9%)	(12.5%)	(15.1%)	(22.1%)	(26.1%)	(7.1%)	
Burglary (PL140)	857 (100.0%)	679 (79.2%)	69 (8.1%)	45 (5.3%)	20 (2.3%)	44 (5.1%)	
	(34.0%)	(38.2%)	(20.5%)	(24.9%)	(18.0%)	(39.3%)	
Assault (PL120)	457 (100.0%)	306 (67.0%)	71 (15.5%)	33 (7.2%)	30 (6.6%)	17 (3.7%)	
	(18.1%)	(17.2%)	(21.1%)	(18.2%)	(27.0%)	(15.2%)	
Hamicide (PL125)	143 (100.0%)	66 (46.2%)	62 (43.4%)	8 (5.6%)	5 (3.5%)	2 (1.4%)	
	(5.7%)	(3.7%)	(18.4%)	(4.4%)	(4.5%)	(1.8%)	
Weapons (PL265)	114 (100.0%)	83 (72.8%)	9 (7.9%)	15 (13.2%)	2 (1.8%)	5 (4.4%)	
	(4.5%)	(4.7%)	(2.7%)	(8.3%)	(1.8%)	(4.5%)	
Other	61 (100.0%)	40 (65.6%)	2 (3.3%)	6 (9.8%)	8 (13 . 1%)	5 (8.2%)	
	(2.4%)	(2.2%)	(1.0%)	(3.3%)	(7 . 2%)	(4.5%)	
Total VFO's	2,519 (100.0%) (100.0%)	1,778 (70.6%) (100.0%)		181 (7.2%) (100.0%)	111 (4.4%) (100.0%)	112 (4.4%) (100.0%)	

 $^{^{1}}$ The data reflects only those violent felony indictments disposed of in 1982. Note that the convicted charge may differ from the indicted charge.

TABLE 9
1982 Conviction Rate on Violent Felony Indictments

		REGION		
	Statewide	N.Y.C.	Suburban	Upstate
Convictions:	14,947 (100.0%) (100.0%)	10,642 (71.2%) (100.0%)	2,188 (14.6%) (100.0%)	2,115 (14.1%) (100.0%)
Convictions by Plea	12,824 (100.0%) (85.8%)	9,068 (70.7%) (85.2%)	1,978 (15.4%) (90.4%)	1,778 (13.9%) (84.1%)
Convictions by Trial	2,123 (100.0%) (14.2%)	1,574 (74.1%) (14.8%)	210 (9.9%) (9.6%)	337 (15.9%) (15.9%)
Dispositions:	18,240 (100.0%)	13,237 (72.6%)	2,482 (13.6%)	2,519 (13.8%)
Conviction Rate (Convictions/Dispos.)	81.9%	80.4%	88.2%	84.0%

Percentages may not add to 100.0% due to rounding.

TABLE 10 Violent Felony Indicted Offenses vs. Convicted Offenses 1982 New York State

Indicted Offense

Convicted	1				Thuicted	i oi rense						
Convicted Offense	Class A		Cla	Class B		iss C	Cla	ss D	Class E	Tot	tals	
Same Offense	337	37.9%	2174	42.9%	1841	34.9%	2011	55.1%	59 100.0%	6422	43.0%	
Violent Felony Class A Class B	493 53 345	55.5% 6.0% 38.8%	2606 101	51.4%	3014	57.1%	864	23.7%		6977 53 446	46.7% 0.4% 3.0%	
Class C Class D Class E	76 19	8.5% 2.1%	1629 870 6	32.1% 17.1% 0.1%	59 2933 22	1.1% 55.6% 0.4%	53 811	1.5% 22.2%	•	1764 3875	11.8% 25.9%	
Misdemeanor Other				O, IA	22	0.4%	OII	22. Lb		839	5.6%	-24
Non Violent Felony Class A Class B	59 2	6.6% 0.2%	293	5.8%	424	8.0%	772 1 4	21.2% 0.0% 0.1%		1548 1 6	10.4% 0.0% 0.0%	1
Class C Class D	33 8	3.7% 0.9%	32 111	0.6% 2.2%	3 147	0.1% 2.8%	8 52	0.2% 1.4%		76 318	0.5% 2.1%	
Class E Misdemeanor Other	14 2	1.6% 0.2%	66 82 2	1.3% 1.6% 0.0%	133 141	2.5% 2.7%	328 372 7	9.0% 10.2% 0.2%		541 597 9	3.6% 4.0% 0.1%	
TOTALS	889		5073	0.00	5279		3647	O . L./0	59	14947	0.176	

TABLE 11
Violent Felony Indicted Offenses vs. Convicted Offenses
1982
New York City

Indicted Offense

Convioted	ı				Tua i c rea	orrense						
Convicted Offense	C1	lass A	Cla	iss B	Cla	ss C	Cla	ss D	Class E	Tot	als	
Same Offense	252	34.9%	1684	42.6%	1140	34.9%	1489	56.1%	46 100.0%	461.1	43.3%	
Violent Felony Class A Class B	428 49 300	59.3% 6.8% 41.6%	2087 88	52.8% 2.2%	1904	58.3%	799	30.1%		5218 49 388	49.0% 0.5% 3.6%	
Class C Class D	62 17	8.6% 2.4%	1374 620	34.7% 15.7%	47 1837	1.4% 56.2%	47	1.8%	•	1483 2521	13.9% 23.7%	
Class E Misdemeanor Other			5	0.1%	20	0.6%	752	28.4%		777	7.3%	-25-
Non Violent Felony Class A	42	5.8%	183	4.6%	224	6.9%	364	13.7%		813	7.6%	
Class B	1	0.1%					4	0.2%		5	0.0%	
Class C	24	3.3%	21	0.5%	1	0.0%	7	0.3%		53	0.5%	
Class D	8	1.1%	76	1.9%	79	2.4%	35	1.3%		198	1.9%	
Class E	9	1.2%	39	1.0%	69	2.1%	176	6.6%		293	2.8%	
Misdemeanor			46	1.2%	7 5	2.3%	137	5.2%		258	2.4%	
Other			1	0.0%			5	0.2%		6	0.1%	
TOTALS	722		3954		3268		2652		46	10642		

TABLE 12
Violent Felony Indicted Offenses vs. Convicted Offenses
1982
Suburban New York City

Indicted Offense

Convicted	I				Indicted	Uffense						
Convicted Offense	С	lass A	Cla	ss B	Cla	ss C	Cla	ss D	Class E	Tot	als	
Same Offense	42	58.3%	298	51.0%	320	30.6%	218	45.5%	7 100.0%	885	40.4%	
Violent Felony Class A	24	33.3%	253	43.3%	680	65.0%	45	9.4%		1002	45.8%	
Class B Class C Class D Class E	17 6 1	23.6% 8.3% 1.4%	3 133 117	0.5% 22.8% 20.0%	5 674 1	0.5% 64.4% 0.1%	3 42	0.6% 8.8%	•	20 144 795 43	0.9% 6.6% 36.3% 2.0%	
Misdemeanor Other												-26-
Non Violent Felony Class A Class B	6	8.3%	33	5.7%	46	4.4%	216 1	45.1% 0.2%		301 1	13.8% 0.0%	
Class C Class D	5	6.9%	4 9	0.7% 1.5%	15	1.4%	1 10	0.2% 2.1%		10 34	0.5% 1.6%	
Class E Misdemeanor Other	1	1.4%	7 12 1	1.2% 2.1% 0.2%	10 21	1.0% 2.0%	81 122 1	16.9% 25.5% 0.2%		99 155 2	4.5% 7.1% 0.1%	
TOTALS	72		584		1046		479		7	2188		

TABLE 13
Violent Felony Indicted Offenses vs. Convicted Offenses
1982
Upstate Counties

*		•			~ ~	~	
	na	7	r	201	[]+-	ים ד	nse
1	IIU.	1	しょしじ	:u	U1	1 (135

					Indicted	urrense						
Convicted Offense	C1	lass A	Cla	ss B	Cla	ss C	Cla	ss D	Class E	Tot	als	
Same Offense	41	44.1%	192	35.9%	381	39.5%	304	58.9%	6 100.0%	924	43.7%	
Violent Felony Class A Class B Class C Class D Class E	41 4 28 8 1	44.1% 4.3% 30.1% 8.6% 1.1%	266 10 122 133 1	1.9% 22.8% 24.9% 0.2%	430 7 422 1	0.7% 43.7% 0.1%	20 3 17	3.9% 0.6% 3.3%	· .	757 4 38 137 559 19	35.8% 0.2% 1.8% 6.5% 26.4% 0.9%	
Misdemeanor Other												
Non Violent Felony Class A	11	11.8%	77	14.4%	154	16.0%	192	37.2%		434	20.5%	
Class B	1	1.1%								1	0.0%	
Class C	4	4.3%	.7	1.3%	2	0.2%				13	0.6%	
Class D			26	4.9%	53	5.5%	7	1.4%		86	4.1%	
Class E	4	4.3%	20	3.7%	54	5.6%	71	13,8%		149	7.0%	
Misdemeanor Other	2	2.2%	24	4.5%	45	4.7%	113 1	21.9% 0.2%		184 1	8.7% 0.0%	
TOTALS	93		535		965		516		6	2115		

-27

1982 Sentences Resulting From Violent Felony Indictments¹

Highlights

- * State prison was the sentence in 57.6% of the 13,399 statewide violent felony convictions while local incarceration (some in conjunction with probation) was imposed in 19.4% of the violent felony convictions.
- Statewide, 25.0% of the 1,548 non-violent felony convictions resulting from violent felony indictments resulted in sentences to state prison while local incarceration (some in conjunction with probation) was imposed in 31.7% of the non-violent felony convictions.
- Among the offenses, 99.4% of homicide, 70.6% of sex offenses, 69.1% of robbery, and 44.4% of burglary violent felony convictions resulted in sentences to state prison.
- On a regional basis, state prison was the most likely sentence for violent felony con ictions in New York City (60.0%) and least likely in the Suburban New York City area (46.6%).
- Of the 14,947 convictions 2 statewide, 2,019 (13.5%) were adjudicated youthful offenders, 2,490 (16.7%) were predicate felons, and 112 (.7%) were persistent felons. 3
- Predicate felony sentences were most likely to be imposed Upstate (18.2%) and least likely to be imposed in the Suburban New York City area (12.8%). Youthful offender adjudications were relatively more likely in the Suburban New York City area than in other areas.
- Half of the convictions resulted in miminum state prison terms in excess of 33.2 months. Half of the convictions resulted in maximum state prison terms in excess of 85.1 months.4
- Rape 1° received the longest median minimum term (73.5 month median) among class B offenses, assault 1° (36.8 month median) for Class C offenses, and criminal possession of a weapon 3° (27.4 month median) for Class D offenses.
- Manslaughter 1° received the longest median maximum term (169.2 months) among Class B offenses; attempted rape 1° (98.0 month median) and sex abuse 1° (65.2 month median) were the longest in the Class C and Class D offense categories, respectively.

 $\frac{1}{2}$ A breakdown of the sentencing options is provided in Appendix C. ² Unless otherwise specified, convictions may not have been for a violent felony offense.

4 The median is utilized because it is less sensitive to extreme values in the distribution than is the mean.

³ Predicates were identified by determining the number of state prison sentences in which the minimum term was one-half the maximum term falling within statutory limits. Persistents were identified by determining the number of Class B, C, and D convictions in which a life maximum term was

Accounting for the different state prison rates among geographic regions involves a number of considerations, including the nature of the specific conviction charges and the relative proportion of youthful offender adjudications for which state prison is rarely imposed and the proportion of predicate/persistent offenders for which state prison is mandated. Median terms were not computed for offenses with fewer than 25 cases because of possible instability of this statistic in small distributions.

Ċ

TABLE 14
1982 Sentences By Conviction Charge for Violent Felony Indictments New York State

						SENTE	NCE BY TY	PE			•			
Conviction Charge		otal victed	_	tate rison		ocal nil	Proba Impris	tion/ onment	Prol	bation		ditional scharge	ı	Other
Robbery (PL 160)	5,899	(100.0%)	4,076	(69.1%)	511	(8.7%)	264 (4.5%)	1,038	(17.6%)	5	(0.1%)	5	(0.1%)
Sex Off. (PL 130)	575	(100.0%)	406	(70.6%)	38	(6.6%)	38 (6.6%)	90	(15.7%)	2	(0.3%)	. 1	(0.2%)
Burglary (PL 140)	2,480	(100.0%)	1,141	(46.0%)	332	(13.4%)	327 (13.2%)	657	(26.5%)	18	(0.7%).	5	(0.2%)
Assault (PL 120)	883	(100.0%)	392	(44.4%)	161	(18.2%)	154 (17.4%)	172	(19.5%)	3	(0.3%)	1	(0.1%)
Homicide (PL 125)	908	(100.0%)	903	(99.4%)	0	(0.0%)	0 _. (0.0%)	5	(0.6%)	0	(0.0%)	0	(0.0%)
Weapons (PL 265)	2,544	(100.0%)	695	(27.3%)	598	(23.5%)	169 (6.6%)	1,011	(39.7%)	51	(2.0%)	20	(0.8%)
Other	110	(100.0%)	100	(90.9%)	2	(1.8%)	1 (0.9%)	7	(6.4%)	0	(0.0%)	0	(0.0%)
Total VFO	13,399 # \@ 6	(100.0%)	7,713	(57.6%)	1,642	(12.3%)	953 (7.1%)	2,980	(22.2%)	79	(0.6%)	32	(0.2%)
Fotal Ion-VFO	1,548	(100.0%)	387	(25.0%)	361	(23.3%)	130 (8.4%)	551	(35.6%)	81	(5.2%)	38	(2.5%)
Total	14,947	(100.0%)	8,100	(54.2%)	2,003	(13.4%)	1,083 (7.2%)	3,531	(23.6%)	160	(1.1%)	70	(0.5%)

င္ပံ

TABLE 15
1982 Sentences By Conviction Charge for Violent Felony Indictments New York City

			SENTEN	CE BY TYPE			
Conviction Charge	Total Convicted	State Prison	Local Jail	Probation/ Imprisonment	Probation	Conditional Discharge	Other
Robbery (PL 160)	4,870 (100.0%)	3,384 (69.5%)	372 (7.6%)	164 (3.4%)	943 (19.4%)	3 (0.1%)	4 (0.1%)
Sex Off. (PL 130)	253 (100.0%)	202 (79.8%)	5 (2.0%)	10 (4.0%)	36 (14.2%)	6 (0.0%)	0 (0.0%)
Burglary (PL 140)	1,045 (100.0%)	634 (60.7%)	104 (10.0%)	60 (5.7%)	240 (23.0%)	4 (0.4%)	3 (0.3%)
Assault (PL 120)	487 (100.0%)	228 (46.8%)	66 (13.6%)	75 (15.4%)	117 (24.0%)	1 (0.2%)	0 (0.0%)
Homicide (PL 125)	757 (100.0%)	752 (99.3%)	0 (0.0%)	0 (0.0%)	5 (0.7%)	0 (0.0%)	0 (0.0%)
Weapons (PL 265)	2,341 (100.0%)	629 (26.9%)	542 (23.2%)	146 (6.2%)	957 (40.9%)	48 (2.1%)	19 (0.8%)
Other	76 (100.0%)	72 (94.7%)	0 (0.0%)	0 (0.0%)	4 (5.3%)	0 (0.0%)	0 (0.0%)
Total VFO	9,829 (100.0%)	5,901 (60.0%)	1,089 (11.1%)	455 (4.6%)	2,302 (23.4%)	56 (0.6%)	26 (0.3%)
Total Non-VFO	813 (100.0%)	240 (29.5%)	161 (19.8%)	45 (5.5%)	299 (36.8%)	40 (4.9%)	28 (3.4%)
Total	10,642 (100.0%)	6,141 (57.7%)	1,250 (11.7%)	500 (4.7%)	2,601 (24.4%)	96 (0.9%)	54 (0.5%)

Ċ

TABLE 16 1982 Sentences By Conviction Charge for Violent Felony Indictments -Suburban New York City

						SENTEN	ICE BY 7	YPE						
Conviction Charge		otal victed		ate ison		ocal ail		oation/ sonment	Pro	bation		ditional scharge		Other
Robbery (PL 160)	637	(100.0%)	406	(63.7%)	85	(13.3%)	73	(11.5%)	71	(11.1%)	1	(0.2%)	1	(0.2%)
Sex Off. (PL 130)	98	(100.0%)	65	(66.3%)	9	(9.2%)	8	(8.2%)	14	(14.3%)	1	(1.0%)	1	(1.0%)
Burglary (PL 140)	796	(100.0%)	240	(30.2%)	126	(15.8%)	157	(19.7%)	272	(34.2%)	1	(0.1%)	0	(0.0%)
Assault (PL 120)	143	(100.0%)	54	(37.8%)	33	(23.1%)	31	(21.7%)	24	(16.8%)	0	(0.0%)	1	(0.7%)
Homicide (PL 125)	69	(100.0%)	69	(100.0%)	0	(0.0%)	0	(0.0%)	0	(0.0%)	0	(0.0%)	0	(0.0%)
Veapons (PL 265)	126	(100.0%)	31	(24.6%)	43	(34.1%)	18	(14.3%)	33	(26.2%)	0	(0.0%)	1	(0.8%)
)ther	18	(100.0%)	14	(77.8%)	1	(5.6%)	1	(5.6%)	2	(11.1%)	0	(0.0%)	0	(0.0%)
Total VFO	1,887	(100.0%)	879	(46.6%)	297	(15.7%)	288	(15.3%)	416	(22.0%)	3	(.2%)	4	(0.2%)
Fotal Non-VFO	301	(100.0%)	49	(16.3%)	75	(24.9%)	43	(14.3%)	109	(36.2%)	20	(6.6%)	5	(1.7%)
Total	2,188	(100.0%)	928	(42.4%)	372	(17.0%)	331	(15.1%)	525	(24.0%)	23	(1.1%)	9	(0.4%)

-34

TABLE 17
1982 Sentences By Conviction Charge for Violent Felony Indictments - Upstate

			SENTEN	ICE BY TYPE			
Conviction Charge	Total Convicted	State Prison	Local Jail	Probation/ Imprisonment	Probation	Conditional Discharge	Other
Robbery (PL 160)	392 (100.0%)	286 (73.0%)	54 (13.8%)	27 (6.9%)	24 (6.1%)	1 (0.3%)	0 (0.0%)
Sex Off. (PL 130)	224 (100.0%)	139 (62.1%)	24 (10.7%)	20 (8.9%)	40 (17.9%)	1 (0.4%)	0 (0.0%)
Burglary (PL 140)	639 (100.0%)	267 (41.8%)	102 (16.0%)	110 (17.2%)	145 (22.7%)	13 (2.0%)	2 (0.3%)
Assault (PL 120)	253 (100.0%)	110 (43.5%)	62 (24.5%)	48 (19.0%)	31 (12.3%)	2 (0.8%)	0 (0.0%)
Homicide (PL 125)	80 (100.0%)	80 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Weapons (PL 265)	77 (100.0%)	35 (45.5%)	13 (16.9%)	5 (6.5%)	21 (27.3%)	3 (3.9%)	0 (0.0%)
Other	16 (100.0%)	14 (87.5%)	1 (6.3%)	0 (0.0%)	1 (6.3%)	0 (0.0%)	0 (0.0%)
Total VFO	1,681 (100.0%)	931 (55.4%)	256 (15.2%)	210 (12.5%)	262 (15,6%)	20 (1.2%)	2 (0.1%)
Total Non-VFO	434 (100.0%)	98 (22.6%)	125 (28.8%)	42 (9.7%)	143 (32.9%)	21 (4.8%)	5 (1.2%)
Total	2,115 (100.0%)	1,029 (48.7%)	381 (18.0%)	252 (11.9%)	405 (19.1%)	41 (1.9%)	7 (0.3%)

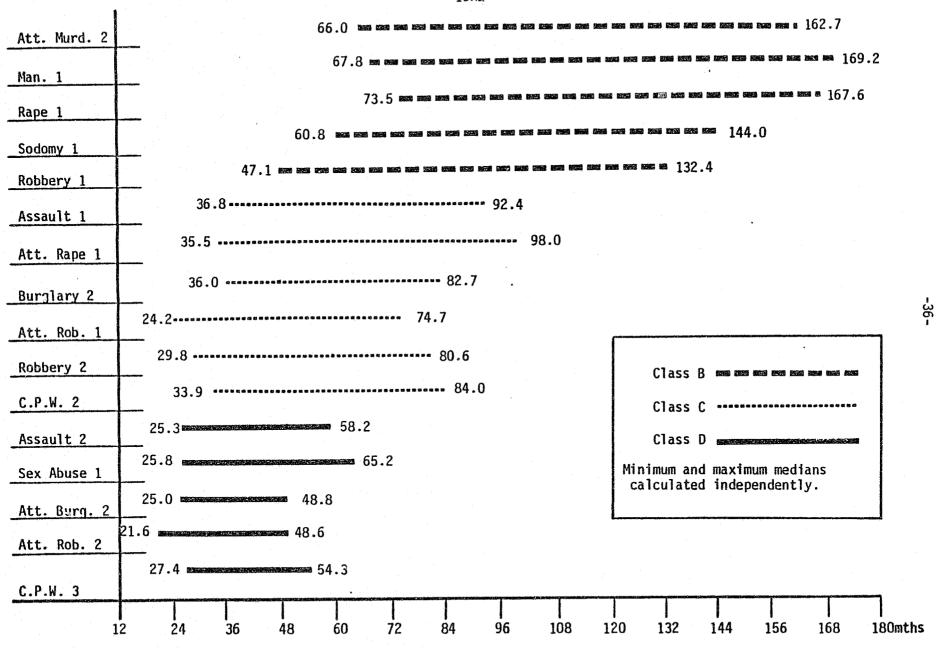
TABLE 18
1982 Convictions of Violent Felony Indictments
Persistent, Predicate and Youthful Offenders¹

	REGION							
	Statewide	N.Y.C.	Suburban	Upstate				
Persistent	112	97	5	10				
	(.7%)	(. 9%)	(.2%)	(.5%)				
Predicate	2,490	1,824	281	385				
	(16.7%)	(17.1%)	(12.8%)	(18,2%)				
Youthful Offender	2,019	1,153	533	333				
	(13.5%)	(10.8%)	(24.4%)	(15.7%)				
Other ²	10,326 (69.1%)	7,568 (71.1%)	1,369 (62.6%)	1,387 (65.5%)				
Total Convictions	14,947	10,642	2,188	2,115				
	(100.0%)	(100.0%)	(100.0%)	(100.0%)				

¹ For this analysis, predicates were identified by determining the number of state prison sentences in which the minimum term was one-half the maximum term falling within statutory limits. Persistents were identified by determining the number of Class B, C, and D convictions in which a life maximum term was imposed.

² Includes cases not adjudicated as youthful offender and not subject to the enhanced sentencing provisions for predicate or persistent felons.

FIGURE 1 MEDIAN MINIMUM AND MAXIMUM SENTENCE TERMS - NEW YORK STATE by Conviction Charge (in months) 1982



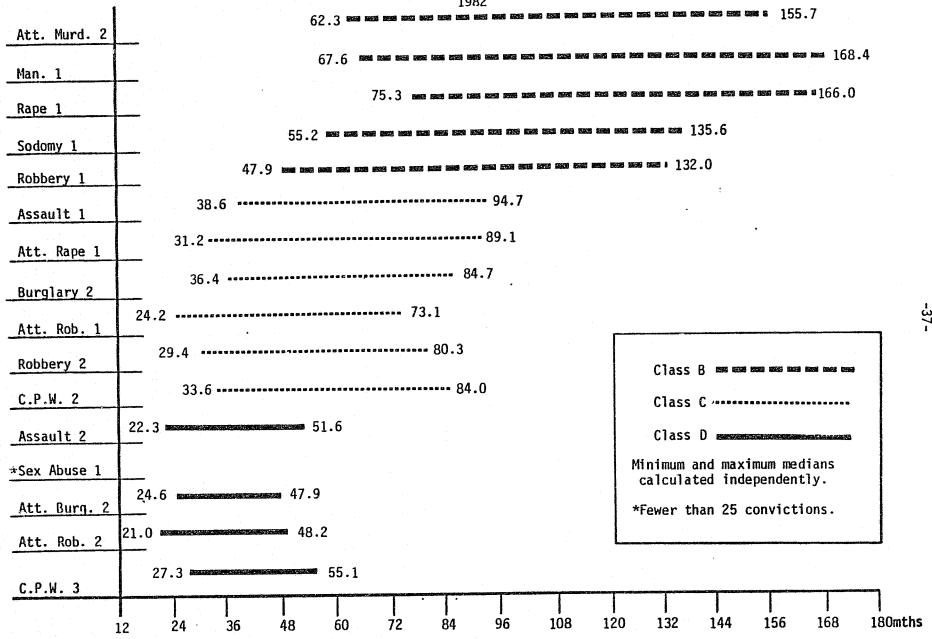


FIGURE 3 MEDIAN MINIMUM AND MAXIMUM SENTENCE TERMS - SUBURBAN New York City by Conviction Charge (in months)

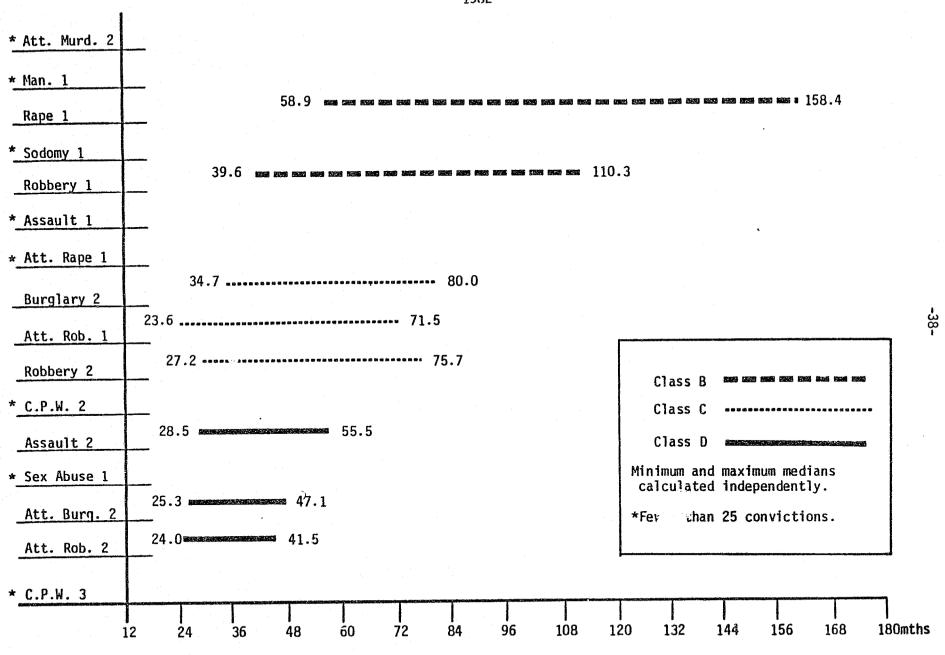
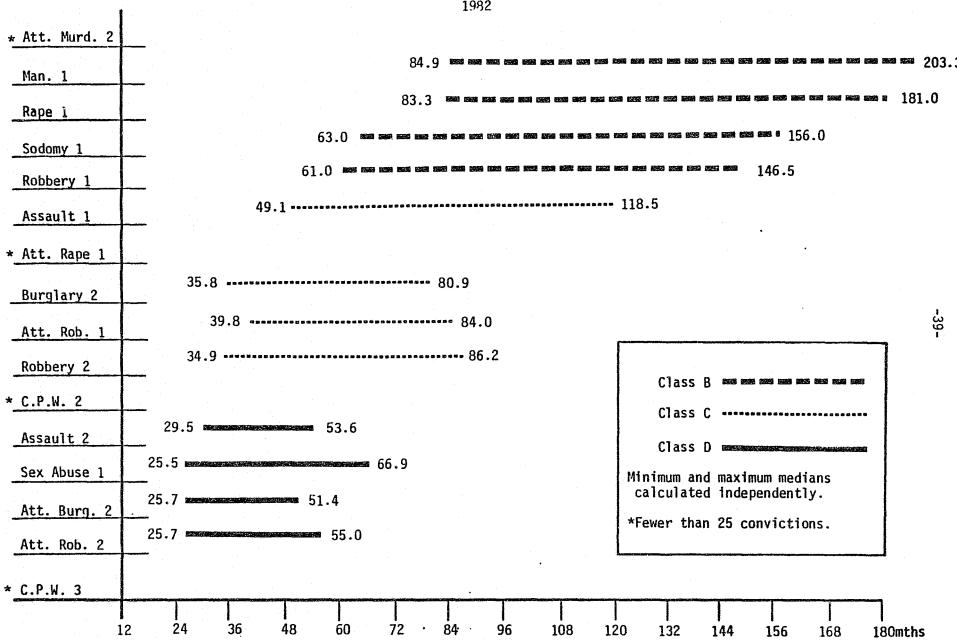


FIGURE 4 MEDIAN MINIMUM AND MAXIMUM SENTENCE TERMS - UPSTATE by Conviction Charge (in months) 1982



Processing Times

Highlights

- * In New York State, the median processing time (indictment through disposition excluding sentencing) for violent felony indictments disposed in 1982 was 141 days, down slightly from 145 days in 1981.
- * On a regional basis, the median processing time ranged from a high of 161 days in New York City to a low of 69 days in the Suburban New York City counties.
- * Statewide, 59 days is the median time from conviction to sentencing. Suburban New York City and New York City had similar times (66 and 65 days respectively) while the median time from conviction to sentencing Upstate was 36 days.

The factors having an impact on processing times include available resources, management techniques, court room norms, and the defense strategy in the face of the potential sanctions. Shorter processing time can also be affected by the use of Superior Court Informations which frequently reduce the time to plea and by a relatively greater proportion of convictions by plea, rather than trial.

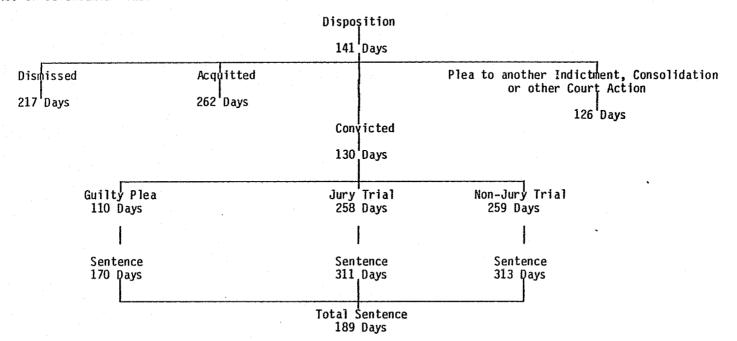
¹ The median is utilized in summarizing processing times because it is less sensitive to extreme values in the distribution than is the mean.

FIGURE 5 Violent Felony Defendant Processing Time: Indictment to Disposition (Median Days)

Violent Felony Indictments from 09-01-78 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82

No. of Defendants 18240

NEW YORK STATE



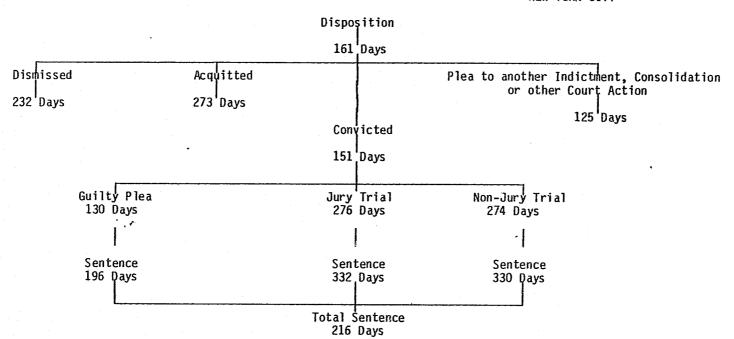
	Minimum	Average	Median	Max imum
DISPOSITIONS	0	178	141	1519
DISMISSED	0	250	217	1336
ACQUITTED	0	291	262	1435
OTHER COURT ACTION	1	165	126	1106
CONVICTED	0	166	130	1519
GUILTY PLEA	0	146	110	1519
JURY TRIAL	0	286	258	1325
NON-JURY TRIAL	20	287	259	875
SENTENCE	0	225	189	1543
GUILTY PLEA	0	206	170	1543
JURY TRIAL	0	342	311	1353
NON-JURY TRIAL	20	335	313	961

FIGURE 6 Violent Felony Defendant Processing Time: Indictment to Disposition (Median Days)

Violent Felony Indictments from 09-01-78 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82

No. of Defendants 13237

NEW YORK CITY



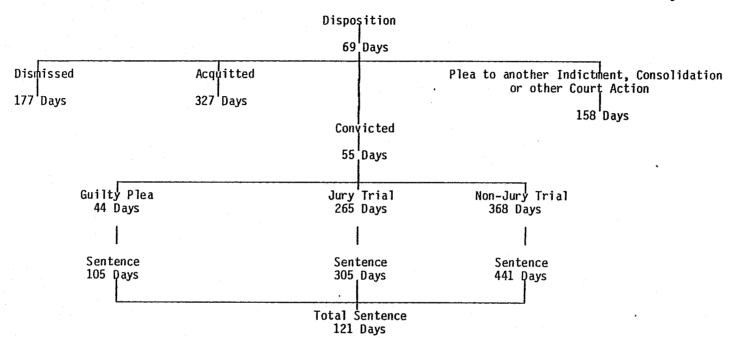
	Minimum	Average	Median	Max imum
DISPOSITIONS	0	195	161	1519
DISMISSED	0	259	232	1194
ACQUITTED	0	304	273	1435
OTHER COURT ACTION	1	162	125	1056
CONVICTED	0	185	151	1519
GUILTY PLEA	0	165	130	1519
JURY TRIAL	14	305	276	1325
NON-JURY TRIAL	20	302	274	875
SENTENCE	0	248	216	1543
GUILTY PLEA	0	229	196	1543
JURY TRIAL	40	363	332	1353
NON-JURY TRIAL	20	349	330	961

FIGURE 7 Violent Felony Defendant Processing Time: Indictment to Disposition (Median Days)

Violent Felony Indictments from 09-01-78 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82

No. of Defendants 2482

SUBURBAN New York City



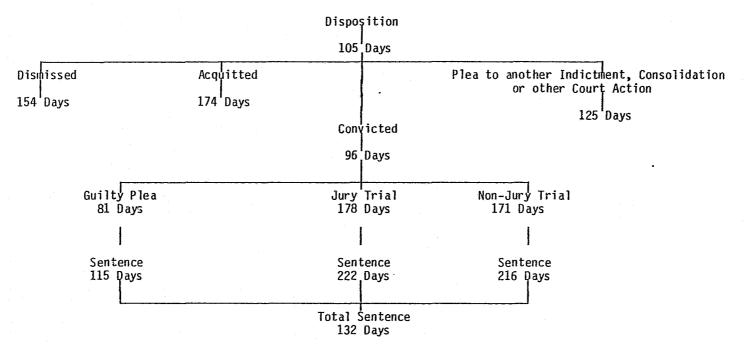
	Minimum	Average	Median	Max imum
DISPOSITIONS	0	127	69	1211
DISMISSED	0	235	177	1211
ACQUITTED	0	343	327	1070
OTHER COURT ACTION	1	205	153	1106
CONVICTED	0	111	55	993
GUILTY PLEA	0	92	44	993
JURY TRIAL	0	280	265	760
NON-JURY TRIAL	106	371	368	637
SENTENCE	0	168	121	1038
GUILTY PLEA	0	149	105	1038
JURY TRIAL	0	336	305	970
NON-JURY TRIAL	168	444	441	750

FIGURE 8 Violent Felony Defendant Processing Time: Indictment to Disposition (Median Days)

Violent Felony Indictments from 09-01-78 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82

No. of Defendants 2519

UPSTATE COUNTIES



	Minimum	Average	Median	Max imum
DISPOSITIONS DISMISSED ACQUITTED OTHER COURT ACTION CONVICTED GUILTY PLEA JURY TRIAL NON-JURY TRIAL SENTENCE	0 0 29 0 0 0 3 22	138 206 201 159 128 115 198 199	105 154 174 125 96 81 178 171	1336 1336 476 1091 1195 1195 749 697 1245
GUILTY PLEA JURY TRIAL NON-JURY TRIAL	0 10 42	151 240 243	115 222 216	1245 1245 781 746

INTRODUCTION TO FIREARM/ARMED FELONY SECTION

This section of the report presents data on felony arrests and indictments which involve the use, possession, or display of a firearm. On August 12, 1980, amendments to the firearm provisions of the Penal Law and Criminal Procedure Law became effective (Chapter 233 of the 1980 NYS Laws). The amendments imposed stricter controls on firearm licensing, sales and disposal (PL §400.00), created additional firearm-related offenses, and classified most firearm offenses as violent felony offenses subject to plea bargaining restrictions and mandatory prison terms (see Appendix C).

The most notable change in the law was to impose a minimum mandatory jail sentence of at least one year on convictions to possession of a loaded weapon outside the home or place of business (PL 265.02 Sub. 4,5) unless mitigating circumstances are cited. If such circumstances are found, the law permits sentencing the defendant to a non-incarcerative sentence (such as probation) or to a shorter jail sentence.

The firearm data are presented in the same step-by-step criminal justice processing and statistical layout as the violent felony section. However, in order to facilitate analysis, the firearm data are organized into two basic groups: cases in which the firearm offense is the most serious charge in the event (a group essentially restricted to prosecutions for firearm possession); and cases in which the firearm offense is a secondary charge (a group corresponding to prosecutions for use or display of a firearm in the commission of another crime). Additionally, within these groups data is provided on specific Penal Law sections.

Analyses of the firearm arrest and indictment data are complex, particularly regarding calculation of indictment to arrest rates. Often, the firearm offense is not the most serious charge in the proceedings and tracking it is more difficult. Also, it is not unusual for a specific gun charge to be

added, deleted, or changed to another firearm offense as a case moves through the process. Specifically, among the factors complicating the tracking of firearm offenses from arrest to indictment are the following.

- 1. A misdemeanor gun possession arrest (PL 265.01) can be elevated to a felony gun possession by the prosecutor if in the course of processing the defendant is found to have a prior conviction.
- 2. Indictments to non-firearm offenses can be designated as "armed felonies" even when there is no PL 265 charge if one of the elements of the crime is the use or display of a firearm (ex: robbery 1° subsection 4).
- 3. An arrest for a crime such as robbery may not have a reported gun charge if the gun was not recovered. However, the prosecutor may add a gun charge to indicate the display of what appeared to be a firearm.
- 4. An arrest involving a crime associated with a misdemeanor gun charge will not be counted in the arrest figures but may result in an "armed felony" indictment.

Caution is advised when making comparisons between jurisdictions, particularly with regard to the composition of indictments by charge, due to differences in charging practices among the jurisdictions.

In the data which follow, firearm arrests include all arrests in which there was at least one violent felony firearm charge, as a top charge or as a secondary charge.

HIGHLIGHTS AND DATA

Firearm/Armed Felony Arrests

<u>Highlights</u>

- * During 1982, there were 13,621 arrests for felony firearm offenses statewide, a 16.2% increase from 1981 (11,717).
- * New York City accounted for 89.9% (12,252) of such arrests in 1982.
- * In 1982, arrests with at least one felony firearm charge represented 9.5% of statewide felony arrests (142,904) and 12.6% of the New York City felony arrests (97,207).
- * Criminal Possession of a Weapon 3° was the most common firearm arrest charge (41.1% or 5,599 arrests) in New York State, followed by Criminal Use of a Firearm 1° (35.2% or 4,799 arrests).
- * The firearm offense was the most serious charge in 41.0% (5,583) of the statewide arrests and a secondary charge in 59% (8,038) of the arrests.
- * On a regional basis, the firearm charge was the most serious charge in 38.4% of the New York City firearm arrests, while it was the most serious in 62.4% and 67.5% of the firearm arrests in the Suburban and Upstate regions respectively.
- * Of the New York City firearm arrests, 52.6% included another violent felony charge compared to only 30.1% in the Suburban New York City counties and 21.5% in Upstate New York.

Please note that an arrest will be identified as a felony firearm arrest on the Computerized Criminal History System only if a felony weapons charge (PL 265) confirmed as a firearm is present in the arrest event. See Appendix C for a complete listing of firearm/armed felony offenses.

TABLE 19
1982 Felony Firearm/Armed Felony Arrests by
Firearm Charge for New York State Regions

**************************************		REGION			
Penal Law Article	Statewide	N.Y.C	Suburban	Upstate	
CUF 1° (265.09)	4,799 (100.0%)	4,658 (97.1%)	66 (1.4%)	75 (1.6%)	
	(35.2%)	(38.0%)	(14.0%)	(8.4%)	
CPW 2° (265.03)	2,483 (100.0%)	2,244 (90.4%)	79 (3.2%)	160 (6.4%)	
	(18.2%)	(18.3%)	(16.8%)	(17.8%)	
CUF 2° (265.08)	677 (100.0%)	635 (93.8%)	24 (3.5%)	18 (2.7%)	
	(5.0%)	(5.2%)	(5.1%)	(2.0%)	
CPW 3° (265.02)	5,599 (100.0%)	4,699 (83.9%)	294 (5.3%)	606 (10.8%)	
	(41.1%)	(38.4%)	(62.4%)	(67.5%)	
Other	63 (100.0%)	16 (25.4%)	8 (12.7%)	39 (61.9%)	
	(0.5%)	(0.1%)	(1.7%)	(4.3%)	
TOTAL	13,621 (100.0%)	12,252 (89.9%)	471 (3.5%)	898 (6.6%)	
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	

TABLE 20 1982 Felony Firearm/Armed Felony Arrests Top Charge/Secondary Charge - New York State

	REGION						
	Statewide	N.Y.C.	Suburban	Upstate			
Firearm Top Charge	5,583	4,666	296	621			
	(41.0%)	(38.1%)	(62.8%)	(69.2%)			
Firearm Secondary Charge	8,038	7,586	175	277			
	(59.0%)	(61.9%)	(37.2%)	(30,8%)			
TOTAL	13,621	12,252	471	898			
	(100.0%)	(100.0%)	(100.0%)	(100.0%)			

Firearm/Armed Felony Indictments

Highlights

- * Statewide, 10,723 firearm indictments were reported during 1982 representing a 4.3% increase from 1981 (10,276).
- * New York City accounted for 89.5% (9,592) of the statewide firearm indictments, while 6.2% (666) were in Suburban New York City and 4.3% (465) were Upstate.
- * Firearm indictments represent 23% of all indictments (46,574) and 45% of the violent felony indictments (23,842) filed in New York State.
- * In New York City, firearm indictments accounted for 34.2% of all indictments (28,054) and 54.3% of violent felony indictments (17,396).
- * Statewide, the firearm charge was the top charge in 43.7% of the firearm indictments and a secondary charge in 56.3% of the indictments.
- * Two charges, Robbery 1° (PL 160.15) and Criminal Possession of a Weapon 3° (PL 265.02), accounted for over two-thirds (68.1% or 7,301 indictments) of all statewide firearm indictments.
- * While representing 36.5% of all statewide firearm indictments, Criminal Possession of a Weapon 3° charges accounted for 83.7% (3,922) of the firearm indictments in which the firearm offense was the most serious indictment charge.
- * In addition to accounting for 31.5% of all firearm indictments, Robbery 1° charges represented 56% (3,379) of the firearm indictments in which the firearm offense was a secondary indictment charge.

Please note that the firearm indictment data includes both indictments in which a firearm charge was specified (either as a top or secondary charge) and indictments simply designated by the courts as armed felonies but without a specific firearm charge cited. Armed felonies involve a charge in which one of the statutory elements of the crime is possession, use, or display of a firearm. These cases are governed by the same provisions of law (i.e. plea restrictions, sentencing) as if a firearm charge was present. These indictments are counted as secondary charge indictments.

TABLE 21
1982 Firearm/Armed Felony Indictments as Percent of Total Indictments New York State

	REGION							
	Statewide N.Y.C. Suburban Upstate							
Total Indictments	46,574 ¹	28,054	7,261	11,124				
Firearm Indictments	10,723	9,592	666	465				
% Firearm	23.4%	34.2%	9.2%	4.2%				

 $^{^{1}}$ Includes 135 indictments from special statewide prosecutors not counted in regional subtotals.

TABLE 22 1982 Firearm/Armed Felony Indictments as Percent of Violent Felony Indictments -New York State

		REG	ION	
	Statewide	N.Y.C.	Suburban	Upstate
VFO Indictments	23,842	17,663	2,944	3,233
Firearm Indictments	10,723	9,592	666	465
% Firearm	45.0%	54.3%	22.6%	14.4%

TABLE 23
Total 1982 Firearm/Armed Felony Indictments - New York State

		REG	ION	
	Statewide	N.Y.C.	Suburban	Upstate
Firearm Top Charge	4,685	4,132	291	262
	(43.7%)	(43.1%)	(43.7%)	(56.3%)
Firearm Secondary Charge	6,038	5,460	375	203
	(56.3%)	(56.9%)	(56.3%)	(43.7%)
TOTAL	10,723	9,592	666	465
	(100.0%)	(100.0%)	(100.0%)	(100.0%)

TABLE 24
1982 Top Charge Firearm/Armed Felony Indictments - New York State

REGION							
Statewide	N.Y.C	Suburban	Upstate				
42 (100.0%) (0.9%)	40 (95.2%) (1.0%)	2 (4.8%) (0.7%)	0 (0.0%) (0.0%)				
421 (100.0%) (9.0%)	384 (91.2%) (9.3%)	17 (4.0%) (5.8%)	20 (4.8%) (7.6%)				
50 (100.0%) (1.0%)	46 (92.0%) (1.1%)	•	•				
3,922 (100.0%) (83.7%)	3,499 (89.2%) (84.7%)	239 (6.1%) (82.1%)	184 (4.7%) (70.2%)				
			· · · · · · · · · · · · · · · · · · ·				
4,685 (100.0%) (100.0%)							
	42 (100.0%) (0.9%) 421 (100.0%) (9.0%) 50 (100.0%) (1.0%) 3,922 (100.0%) (83.7%) 250 (100.0%) (5.3%) 4,685 (100.0%)	Statewide N.Y.C 42 (100.0%) 40 (95.2%) (0.9%) (1.0%) 421 (100.0%) 384 (91.2%) (9.0%) (9.3%) 50 (100.0%) 46 (92.0%) (1.0%) (1.1%) 3,922 (100.0%) 3,499 (89.2%) (83.7%) (84.7%) 250 (100.0%) 163 (65.2%) (5.3%) (3.9%) 4,685 (100.0%) 4,132 (88.2%)	Statewide N.Y.C Suburban 42 (100.0%) 40 (95.2%) 2 (4.8%) (0.9%) (1.0%) (0.7%) 421 (100.0%) 384 (91.2%) 17 (4.0%) (9.0%) (9.3%) (5.8%) 50 (100.0%) 46 (92.0%) 3 (6.0%) (1.0%) (1.1%) (1.0%) 3,922 (100.0%) 3,499 (89.2%) 239 (6.1%) (83.7%) (84.7%) (82.1%) 250 (100.0%) 163 (65.2%) 30 (12.0%) (5.3%) (3.9%) (10.3%) 4,685 (100.0%) 4,132 (88.2%) 291 (6.2%)				

TABLE 25
Secondary Charge Firearm/Armed Felony Indictments by Indictment Top Charge 1982

		REGION		
Penal Law Section	Statewide	N.Y.C.	Suburban	Upstate
Murder 2°	523 (100.0%)	475 (90.8%)	23 (4.4%)	25 (4.8%)
(125.25)	(8.7%)	(8.7%)	(6.1%)	(12.3%)
Att. Murder 2° (110/125.25)	433 (100.0%)	410 (94.7%)	15 (3.5%)	8 (1.8%)
	(7.2%)	(7.5%)	(4.0%)	(3.9%)
Robbery 1° (160.15)	3,379 (100.0%)	3,067 (90.8%)	221 (6.5%)	91 (2.7%)
	(56.0%)	(56.2%)	(58.9%)	(44.8%)
Burglary 1° (140.30)	120 (100.0%) (2.0%)	98 (81.7%) (1.8%)	17 (14.2%) (4.5%)	
Rape 1° (130.35)	99 (100.0%) (1.6%)	91 (91.9%) (1.7%)	1 (1.0%) (0.3%)	7 (7.1%) (3.4%)
Att. Robbery 1° (110/160.15)	280 (100.0%) (4.6%)	252 (90.0%) (4.6%)	20 (7.1%) (5.3%)	
Robbery 2° (160.10)	500 (100.0%)	456 (91.2%)	36 (7.2%)	8 (1.6%)
	(8.3%)	(8.4%)	(9.6%)	(3.9%)
Assault 1° (120.10)	123 (100.0%)	99 (80.5%)	9 (7.3%)	15 (12.2%)
	(2.0%)	(1.8%)	(2.4%)	(7.4%)
Assault 2° (120.05)	116 (100.0%)	102 (87.9%)	6 (5.2%)	8 (6.9%)
	(1.9%)	(1.9%)	(1.6%)	(3.9%)
Other	465 (100.0%)	410 (88.2%)	27 (5.8%)	28 (6.0%)
	(7.7%)	(7.5%)	(7.2%)	(13.8%)
TOTAL	6,038 (100.0%)	5,460 (90.4%)	375 (6.2%)	203 (3.4%)
	(100.0%)	(100.0%)	(100.0%)	(100.0%)

Firearm/Armed Felony Dispositions

<u>Highlights</u>

- * Statewide, 8,483 dispositions of firearm indictments were reported during 1982. Of these, 3,528 (41.6%) involved indictments in which the firearm charge was the top charge and 4,955 (58.4%) involved indictments in which the firearm was the secondary charge.
- * New York City accounted for 87.9% (7,460) of the total dispositions of firearm indictments (8,483).
- * Statewide, Criminal Possession of a Weapon 3° (PL 265.02) indictments accounted for 34.5% (2,929) of the firearm dispositions with Robbery 1° (PL 160.15) indictments representing 32.5% (2,753).
- * Statewide, pleas represented 68.6% of all dispositions and trial verdicts (convictions and acquittals) accounted for 14.8% of the dispositions to firearm indictments.
- * For top charge firearm indictments in New York State, pleas accounted for 73.2% of the dispositions and trial verdicts accounted for 7.9% of the dispositions.
- * The statewide conviction rate on all disposed firearm indictments was 79.7% (6,760) during 1982. The conviction rate was 78.4% for top charge firearm indictments and 80.6% for secondary charge indictments. (This may be accounted for by the fact that, in these cases, the top charge was a more serious charge than was the firearm charge.)
- * On a regional basis, the conviction rate was highest in Suburban New York City (88.2%), followed by the Upstate counties (81.5%), and New York City $(78.9\%)^1$.
- * Pleas accounted for 93.5% of the 2,765 statewide convictions which resulted from top charge firearm indictments and for 80.5% of the 3,995 convictions which resulted from secondary charge indictments.
- * Statewide, 47.6% (3,218) of the convictions were to the most serious indictment charge and a total of 92.1% of the convictions resulting from indictments containing a firearm charge were to a violent felony offense.

¹Unless otherwise specified, dispositions of firearm/armed felony indictments need not have been for a firearm/armed felony offense.

The 8,483 firearm dispositions reported in 1982 represent a 55.0% increase over the number of firearm dispositions reported for 1981 (5,451). This increase reflects the fact that many of the firearm indictments disposed of in 1981 were older cases not covered by the new amendments and thus excluded from analysis. By 1982, the post-amendment firearm cases moved to the front of the systems queue for disposition.

TABLE 26 1982 Dispositions of Firearm/Armed Felony Indictments by $Type^1$ - New York State

			D	isposition by	Туре	
		Conv	/icted			
Region	Totals	Plea	Trial	Dismissed	Acquitted	0ther
Statewide	8493	5818	942	884	316	523
	(100.0%)	(68.6%)	(11.1%)	(10.4%)	(3.7%)	(6.2%)
N.Y.C.	7460	5057	829	818	287	469
	(100.0%)	(67.8%)	(11.1%)	(11.0%)	(3.8%)	(6.3%)
Suburban	595	475	50	25	15	30
	(100.0%)	(79.8%)	(8.4%)	(4.2%)	(2.5%)	(5.0%)
Upstate	428	286	63	41	14	24
	(100.0%)	(66.8%)	(14.7%)	(9.6%)	(3.3%)	(5.6%)

¹Includes top and secondary charge firearm indictments.

TABLE 27
1982 Total Firearm/Armed Felony Dispositions - New York State

		REG	ION	
	Statewide	N.Y.C.	Suburban	Upstate
Firearm Top Charge	3,528	3,053	237	238
	(41.6%)	(40.9%)	(39.8%)	(55.6%)
Firearm Secondary Charge	4,955	4,407	358	190
	(58.4%)	(59.1%)	(60.2%)	(44.4%)
Total	8,483	7,460	595	428
	(100.0%)	(100.0%)	(100.0%)	(100.0%)

9

TABLE 28
1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - New York State

				Disposition by	Туре	
D 7 . 1		Convict				
Penal Law Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
CUF 1° (265.09)	27 (100.0%) (0.8%)	19 (70.4%) (0.7%)	3 (11.1%) (1.7%)	4 (14.8%) (0.9%)	0 (0.0%) (0.0%)	1 (3.7%) (0.5%)
	298 (100.0%) (8.4%)					21 (7.0%) (10.2%)
	113 (100.0%) (3.2%)					4 (3.5%) (2.0%)
CPW 3° (265.02)	2,929 (100.0%) (83.0%)	2,126 (72.6%) (82.3%)	145 (5.0%) (81.9%)	406 (13.9%) (88.3%)		170 (5.8%) (82.9%)
	64 (100.0%) (1.8%)					
Other	97 (100.0%) (2.7%)	81 (83.5%) (3.1%)			2 (2.1%) (2.0%)	9 (9.3%) (4.4%)
Total	3,528 (100.0%) (100.0%)	2,584 (73.2%) (100.0%)				205 (5.8%) (100.0%)

TABLE 29
1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - New York State

			D	isposition by	Type	
Penal Law	<u>-</u>	Convicted			•	
Section Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
Murder 2° (125.25)	405 (100.0%)	138 (34.1%)	175 (43.2%)	23 (5.7%)	44 (10.9%)	25 (6.2%)
	(8.2%)	(4.3%)	(22.9%)	(5.4%)	(20.6%)	(7.9%)
Att. Murder 2° (110/125.25)	333 (100.0%)	171 (51.4%)	73 (21.9%)	27 (8.1%)	26 (7.8%)	36 (10.8%)
	(6.7%)	(5.3%)	(9.5%)	(6.4%)	(12.2%)	(11.3%)
Manslaughter 1° (125.20)	56 (100.0%)	32 (57.1%)	14 (25.0%)	7 (12.5%)	3 (5.4%)	0 (0.0%)
	(1.1%)	(1.0%)	(1.8%)	(1.7%)	(1.4%)	(0.0%)
Robbery 1° (160.15)	2,753 (100.0%)	1,889 (68.6%)	347 (12.6%)	241 (8.8%)	94 (3.4%)	182 (6.6%)
	(55.6%)	(58.4%)	(45.4%)	(56.8%)	(43.9%)	(57.2%)
Rape 1° (130.35)	84 (100.0%)	38 (45.2%)	22 (26.2%)	12 (14.3%)	7 (8.3%)	5 (6.0%)
	(1.7%)	(1.2%)	(2.9%)	(2.8%)	(3.3%)	(1.6%)
Burglary 1° (140.30)	68 (100.0%)	37 (54.4%)	8 (11.8%)	14 (20.6%)	2 (2.9%)	7 (10.3%)
	(1.4%)	(1.1%)	(1.0%)	(3.3%)	(0.9%)	(2.2%)
Att. Robbery 1° (110/160.15	215 (100.0%)	162 (75.3%)	22 (10.2%)	15 (7.0%)	6 (2.8%)	10 (4.7%)
	(4.3%)	(5.0%)	(2.9%)	(3.5%)	(2.8%)	(3.1%)
Robbery 2° (160.10)	444 (100.0%)	388 (87.4%)	24 (5.4%)	17 (3.8%)	4 (0.9%)	11 (2.5%)
	(9.0%)	(12.0%)	(3.1%)	(4.0%)	(1.9%)	(3.5%)
Assault 1°	110 (100.0%)	64 (58.2%)	16 (14.5%)	10 (9.1%)	12 (10.9%)	8 (7.3%)
(120.10)	(2.2%)	(2.0%)	(2.1%)	(2.4%)	(5.6%)	(2.5%)
Assault 2°	86 (100.0%)	57 (66.3%)	10 (11.6%)	12 (14.0%)	2 (2.3%)	5 (5.8%)
(120.05)	(1.7%)	(1.8%)	(1.3%)	(2.8%)	(0.9%)	(1.6%)
Other .	401 (100.0%)	258 (64.3%)	54 (13.5%)	46 (11.5%)	14 (3.5%)	29 (7.2%)
	(8.1%)	(8.0%)	(7.1%)	(10.8%)	(6.5%)	(9.1%)
Total	4,955 (100.0%)	3,234 (65.3%)	765 (15.4%)	424 (8.6%)	214 (4.3%)	318 (6.4%)
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)

8

TABLE 30 1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - New York City

			Di	isposition by 1	Гуре	
Dawa I tay		Convicted			<u></u>	
Penal Law Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
CUF 1° (265.09)	25 (100.0%) (0.8%)	17 (68.0%) (0.8%)				
	267 (100.0%) (8.7%)					
CUF 2° (265.08)	110 (100.0%) (3.6%)	75 (68.2%) (3.4%)				
CPW 3° (265.02)	2,567 (100.0%) (84.1%)	1,856 (72.3%) (83.6%)				
Att. CPW 3° (110/265.02)	45 (100.0%) (1.5%)	45 (100.0%) (2.0%)				
Other	39 (100.0%) (1.3%)	32 (82.1%) (1.4%)				
Total	3,053 (100.0%) (100.0%)	2,219 (72.7%) (100.0%)				

TABLE 31
1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - New York City

			Di	isposition by	Туре	
Penal Law		Convict	ed			
Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
	373 (100.0%)	126 (33.8%)	160 (42.9%)	23 (6.2%)	43 (11.5%)	21 (5.6%)
	(8.5%)	(4.4%)	(23.4%)	(5.8%)	(22.2%)	(7.2%)
Att. Murder 2°		159 (50.5%)	69 (21.9%)	27 (8.6%)	24 (7.6%)	36 (11.4%)
(110/125.25)		(5.6%)	(10.1%)	(6.8%)	(12.4%)	(12.3%)
Manslaughter 1° (125.20)	55 (100.0%) (1.2%)	32 (58.2%) (1.1%)	14 (25.5%) (2.0%)	6 (10.9%) (1.5%)	3 (5.5%) (1.5%)	0 (0.0%)
Robbery 1° (160.15)	2,461 (100.0%)	1,667 (67.7%)	314 (12.8%)	226 (9.2%)	87 (3.5%)	167 (6.8%)
	(55.8%)	(58.7%)	(45.9%)	(56.6%)	(44.8%)	(57.2%)
Rape 1° (130.35)	74 (100.0%)	32 (43.2%)	21 (28.4%)	10 (13.5%)	6 (8.1%)	5 (6.8%)
	(1.7%)	(1.1%)	(3.1%)	(2.5%)	(3.1%)	(1.7%)
Burglary 1° (140.30)	56 (100.0%)	30 (53.6%)	8 (14.3%)	12 (21.4%)	2 (3.6%)	4 (7.1%)
	(1.3%)	(1.1%)	(1.2%)	(3.0%)	(1.0%)	(1.4%)
Att. Robbery 1° (110/160.15	186 (100.0%)	137 (73.7%)	18 (9.7%)	15 (8.1%)	6 (3.2%)	10 (5.4%)
	(4.2%)	(4.8%)	(2.6%)	(3.8%)	(3.1%)	(3.4%)
Robbery 2° (160.10)	391 (100.0%)	342 (87.5%)	20 (5.1%)	15 (3.8%)	4 (1.0%)	10 (2.6%)
	(8.9%)	(12.1%)	(2.9%)	(3.8%)	(2.1%)	(3.4%)
Assault 1° (120.10)	81 (100.0%)	47 (58.0%)	9 (11.1%)	10 (12.3%)	7 (8.6%)	8 (9.9%)
	(1.8%)	(1.7%)	(1.3%)	(2.5%)	(3.6%)	(2.7%)
Assault 2°	71 (100.0%)	46 (64.8%)	9 (12.7%)	10 (14.1%)	2 (2.8%)	4 (5.6%)
(120.05)	(1.6%)	(1.6%)	(1.3%)	(2.5%)	(1.0%)	(1.4%)
Other	344 (100.0%)	220 (64.0%)	42 (12.2%)	45 (13.1%)	10 (2.9%)	27 (7.8%)
	(7.8%)	(7.8%)	(6.1%)	(11.3%)	(5.2%)	(9.2%)
Total	4,407 (100.0%)	2,838 (64.4%)	684 (15.5%)	399 (9.1%)	194 (4.4%)	292 (6.6%)
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)

TABLE 32 1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - Suburban-New York City

				Disposition by Type	уре	
ייני - [ראיס		Convicted	pa			
Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
CUF 1° (265.09)	1 (100.0%) (0.4%)	1 (100.0%) (0.5%)	0 (0.0%) (0.0%)	0 (0.0%)	(%0°0) (0°0)	0 (0.0%) (0.0%)
CPW 2° (265.03)	15 (100.0%) (6.3%)	12 (80.0%) (6.1%)	2 (13.3%) (16.7%)	0 (0.0%)	0 (0.0%)	1 (6.7%) (6.7%)
CUF 2° (265.08)	3 (100.0%) (1.3%)	2 (66.7%) (1.0%)	1 (33.3%) (8.3%)	0 (0.0%) (0.0%)	0 (0.0%) (0.0%)	0 (0.0%)
CPW 3° (265.02)	192 (100.0%) (81.0%)	161 (83.9%) (81.3%)	9 (4.7%) (75.0%)	8 (4.2%) (88.9%)	3 (1.6%) (100.0%)	11 (5.7%) (73.3%)
Att. CPW 3° (110/265.02)	11 (100.0%) (4.6%)	11 (100.0% (5.6%)	0 (0.0%) (0.0%)	0 (0.0%) (0.0%)	(%0°0) (0°0%)	0 (0.0%) (0.0%)
Other	15 (100.0%) (6.3%)	11 (73.3%) (5.6%)	0 (0.0%) (0.0%)	1 (6.7%) (11.1%)	0 (0.0%)	3 (20.0%) (20.0%)
Total	237 (100.0%) (100.0%)	198 (83.5%) (100.0%)	12 (5.1%) (100.0%)	9 (3.8%) (100.0%)	3 (1.3%) (100.0%)	15 (6.3%) (100.0%)

Percentages may not add to 100.0% due to rounding.

TABLE 33
1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - Suburban New York City

			. D	isposition by	Туре		
01.1		Convi	cted				
Penal Law Section	Totals	Plea	Trial	Dismissed	Acquitted	Other	
Murder 2°	22 (100.0%)	6 (27.3%)	11 (50.0%)	0 (0.0%)	1 (4.5%)	4 (18.2%	
(125.25)	(6.1%)	(2.2%)	(28.9%)	(0.0%)	(8.3%)	(26.7%)	
Att. Murder 2°	10 (100.0%)	7 (70.0%)	2 (20.0%)	0 (0.0%)	1 (10.0%)	0 (0.0%	
(110/125.25)	(2.8%)	(2.5%)	(5.3%)	(0.0%)	(8.3%)	(0.0%)	
Manslaughter 1°	0	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%	
(125.20)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	
Robbery 1°	207 (100.0%)	167 (80.7%)	15 (7.2%)	12 (5.8%)	6 (2.9%)	7 (3.4%	
(160.15)	(57.8%)	(60.3%)	(39.5%)	(75.0%)	(50.0%)	(46.7%)	
Rape 1°	4 (100.0%)	3 (75.0%)	1 (25.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%	
(130.35)	(1.1%)	(1.1%)	(2.6%)	(0.0%)	(0.0%)	(0.0%)	
Burglary 1°	10 (100.0%)	5 (50.0%)	0 (0.0%)	2 (20.0%)	0 (0.0%)	3 (30.0%	
(140.30)	(2.8%)	(1.8%)	(0.0%)	(12.5%)	(0.0%)	(20.0%)	
Att. Robbery 1° (110/160.15	23 (100.0%)	21 (91.3%)	2 (8.7%)	0 (0.0%)	0 (0.0%)	0 (0.0%	
	(6.4%)	(7.6%)	(5.3%)	(0.0%)	(0.0%)	(0.0%)	
Robbery 2°	36 (100.0%)	33 (91.7%)	2 (5.6%)	1 (2.8%)	0 (0.0%) (0.0%)	0 (0.0%	
(160.10)	(10.1%)	(11.9%)	(5.3%)	(6.3%)		(0.0%)	
Assault 1°	10 (100.0%)	8 (80.0%)	2 (20.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%	
(120.10)	(2.8%)	(2.9%)	(5.3%)	(0.0%)	(0.0%)	(0.0%)	
Assault 2°	6 (100.0%)	5 (83.3%)	0 (0.0%)	1 (16.7%)	0 (0.0%) (0.0%)	0 (0.0%	
(120.05)	(1.7%)	(1.8%)	(0.0%)	(6.3%)		(0.0%)	
Other .	30 (100.0%)	22 (73.3%)	3 (10.0%)	0 (0.0%)	4 (13.3%)	1 (3.3%	
	(8.4%)	(7.9%)	(7.9%)	(0.0%)	(33.3%)	(6.7%)	
Total	358 (100.0%)	277 (77.4%)	38 (10.6%)	16 (4.5%)	12 (3.4%)	15 (4.2%	
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	

TABLE 34
1982 Dispositions on Top Charge Firearm/Armed Felony Indictments - Upstate

		Disposition by Type						
Donal Law		Convicted			<u> </u>			
Penal Law Section	Totals	Plea	Trial	Dismissed	Acquitted	Other		
CUF 1°	1 (100.0%)	1 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)		
(265.09)	(0.4%)	(0.6%)		(0.0%)	(0.0%)	(0.0%)		
CPW 2°	16 (100.0%)	12 (75.0%)	2 (12.5%)	2 (12.5%)	0 (0.0%)	0 (0.0%)		
(265.03)	(6.7%)	(7.2%)	(10.0%)	(6.3%)	(0.0%)	(0.0%)		
CUF 2°	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)		
(265.08)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)		
CPW 3°	170 (100.0%)	109 (64.1%)	18 (10.6%)	27 (15.9%)	5 (2.9%)	11 (6.5%)		
(265.02)	(71.4%)	(65.3%)	(90.0%)	(84.4%)	(83.3%)	(84.6%)		
Att. CPW 3°	8 (100.0%)	7 (87.5%)	0 (0.0%)	0 (0.0%)	1 (12.5%)	0 (0.0%)		
(110/265.02)	(3.4%)	(4.2%)	(0.0%)	(0.0%)	(16.7%)	(0.0%)		
Other	43 (100.0%)	38 (88.4%)	0 (0.0%)	3 (7.0%)	0 (0.0%)	2 (4.7%)		
	(18.1%)	(22.7%)	(0.0%)	(9.4%)	(0.0%)	(15.4%)		
Total	238 (100.0%)	167 (70.2%)	20 (8.4%)	32 (13.4%)	6 (2.5%)	13 (5.5%		
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)		

TABLE 35
1982 Dispositions on Secondary Charge Firearm/Armed Felony Indictments - Upstate

			·	Disposition by	Туре	
Penal Law		Conv	icted			
Section	Totals	Plea	Trial	Dismissed	Acquitted	Other
Murder 2°	10 (100.0%)	6 (60.0%)	4 (40.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
(125.25)	(5.3%)	(5.0%)	(9.3%)	(0.0%)	(0.0%)	(0.0%)
Att. Murder 2° (110/125.25)	8 (100.0%)	5 (62.5%)	2 (25.0%)	0 (0.0%)	1 (12.5%)	0 (0.0%)
	(4.2%)	(4.2%)	(4.7%)	(0.0%)	(12.5%)	(0.0%)
Manslaughter 1° (125.20)	1 (100.0%)	0 (0.0%)	0 (0.0%)	1 (100.0%)	0 (0.0%)	0 (0.0%)
	(0.5%)	(0.0%)	(0.0%)	(11.1%)	(0.0%)	(0.0%)
Robbery 1° (160.15)	85 (100.0%)	55 (64.7%)	18 (21.2%)	3 (3.5%)	1 (1.2%)	8 (9.4%)
	(44.7%)	(46.2%)	(41.9%)	(33.3%)	(12.5%)	(72.7%)
Rape 1° (130.35)	6 (100.0%)	3 (50.0%)	0 (0.0%)	2 (33.3%)	1 (16.7%)	0 (0.0%)
	(3.2%)	(2.5%)	(0.0%)	(22.2%)	(12.5%)	(0.0%)
Burglary 1° (140.30)	2 (100.0%)	2 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
	(1.1%)	(1.7%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)
Att. Robbery 1° (110/160.15	6 (100.0%)	4 (66.7%)	2 (33.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
	(3.2%)	(3.4%)	(4.7%)	(0.0%)	(0.0%)	(0.0%)
Robbery 2° (160.10)	17 (100.0%)	13 (76.5%)	2 (11.8%)	1 (5.9%)	0 (0.0%)	1 (7.9%)
	(8.9%)	(11.0%)	(4.7%)	(11.1%)	(0.0%)	(9.1%)
Assault 1°	19 (100.0%)	9 (47.4%)	5 (26.3%)	0 (0.0%)	5 (26.3%)	0 (0.0%)
(120.10)	(10.0%)	(7.6%)	(11.6%)	(0.0%)	(62.5%)	(0.0%)
Assault 2°	9 (100.0%)	6 (66.7%)	1 (11.1%)	1 (11.1%)	0 (0.0%)	1 (11.1%)
(120.05)	(4.7%)	(5.0%)	(2.3%)	(11.1%)	(0.0%)	(9.1%)
Other	27 (100.0%) (14.2%)	16 (59.3%) (13.4%)	9 (33.3%) (20.9%)	1 (3.7%) (11.1%)	0 (0.0%)	1 (3.7%) (9.1%)
Total	190 (100.0%)	119 (62.6%)	43 (22.6%)	9 (4.7%)	8 (4.2%)	11 (5.8%)
	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)

TABLE 36
1982 Conviction Rate on All Firearm/Armed Felony Indictments

		REGION					
	Statewide	N.Y.C.	Suburban	Upstate			
Convictions:	6,760 (100.0%) (100.0%)	5,886 (87.1%) (100.0%)	525 (7.8%) (100.0%)	349 (5.2%) (100.0%)			
Convictions by Plea	5,818 (100.0%) (86.1%)	5,057 (86.9%) (85.9%)	475 (8.2%) (90.5%)	286 (4.9%) (81.9%)			
Convictions by Trial	942 (100.0%) (13.9%)	829 (88.0%) (14.1%)	50 (5.3%) (9.5%)	63 (6.7%) (18.1%)			
Dispositions	8,483 (100.0%)	7,460 (87.9%)	595 (7.0%)	428 (5.1%)			
Conviction Rate (Convictions/Dispos.)	79.7%	78.9%	88.2%	81.5%			

TABLE 37
1982 Convictions on Top Charge Firearm/Armed Felony Indictments

	Type of Conviction						
Region	Total Convictions	Pre-Trial Plea	<u>Non-Jury</u> Verdict	Trial Plea	<u>Jury Tr</u> Verdict	rial Plea	
Statewide	2,765 (100.0%)	2,582 (93.4%)	56 (2.0%)	4 (0.1%)	123 (4.4%)	0 (0.0%)	
New York City	2,364 (100.0%)	2,215 (93.7%)	46 (1.9%)	4 (0.2%)	99 (4.2%)	0 (0.0%)	
Suburban	210 (100.0%)	198 (94.3%)	3 (1.4%)	0 (0.0%)	9 (4.3%)	0 (0.0%)	
Upstate	191 (100.0%)	169 (88.5%)	7 (3.7%)	0 (0.0%)	15 (7.9%)	1 (0.5%)	

TABLE 38
1982 Convictions on Secondary Charge Firearm/Armed Felony Indictments

			Type of Conv			
Region	Total Convictions	Pre-Trial Plea	Non-Jury Verdict	Trial Plea	Jury Tr Verdict	rial Plea
Statewide	3,995 (100.0%)	3,214 (80.5%)	107 (2.7%)	2 (0.1%)	656 (16.4%)	16 (0.4%)
New York City	3,522 (100.0%)	2,822 (80.1%)	92 (2.6%)	2 (0.1%)	592 (16.8%)	14 (0.4%)
Suburban	315 (100.0%)	276 (87.6%)	6 (1.9%)	0 (0.0%)	32 (10.2%)	1 (0.3%)
Upstate	158 (100.0%)	116 (73.4%)	9 (5.7%)	0 (0.0%)	32 (20.3%)	1 (0.6%)

TABLE 39

Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses 1982 Convictions

New York State

Indicted Offense Convicted Class C Class D Class E Offense Class A Class B Totals 13.5% Same Offense 44 1253 55.0% 126 94.7% 1423 51.5% Violent Felony 80.8% 251 21 77.0% 833 36.5% 1105 40.0% Class A 5 Class B 19.2% 5 0.2% 9 7 34.6% 13 4.0% Class C 22 0.8% 220 67.5% 0.0% 8.2% Class D 26.9% 1 228 Class E 18 5.5% 832 850 30.7% 36.5% Misdemeanor Other Non Violent Felony 19.2% 31 9.5% 194 8.5% 7 5.3% 237 8.6% Class A Class B Class C 2 7.7% 2 0.1% Class D 1 3.8% 1.8% 6 15 0.7% 22 0.8% Class E 7 2.1% 7.7% 29 1.3% 38 1.4% Misdemeanor 18 5.5% 145 6.4% 4.5% 6.1% 169 0.8% Other 0.2% 6 0.2% **TOTALS** (100.0%)(100.0%)2280 (100.0%)326 133 (100.0%) 2765 (100.0%)

-71

TABLE 40

Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses 1982 Convictions
New York State

Convicted					ndicte	d Offense						
Offense	С	lass A	Cla	ss B	Cla	ass C	C1	lass D	(Class E	T	otals
Same Offense	156	39.8%	1244	46.1%	323	42.8%	68	47.9%	4	57.1%	1795	44.9%
Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	201 25 121 42 12	51.3% 6.4% 30.9% 10.7% 3.1% 0.3%	1286 47 944 288 7	1.7% 35.0% 10.7% 0.3%	393 26 362 5	52.1% 3.4% 47.9% 0.7%	26 19 7	18.3% 13.4% 4.9%			1906 25 168 1012 681 20	0.6% 4.2% 25.3% 17.0%
Non Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	35 12 6 10 4 1	8.9% 3.1% 1.5% 2.6% 1.0% 0.3% 0.5%	169 80 23 32 5 28 1	6.3% 3.0% 0.9% 1.2% 0.2% 1.0% 0.0%	39 17 4 18	5.2% 2.3% 0.5% 2.4%	48 28 20	33.8% 19.7% 14.1%	1 2	42.9% 14.3% 28.6%	294 92 6 33 53 39 70 1	7.4% 2.3% 0.2% 0.8% 1.3% 1.0% 1.8% 0.0%
TOTALS	392	(100.0%)	2699	(100.0%)	755	(100.0%)	142	(100.0%)	7	(100.0%)	3995	(100.0%)

TABLE 41

Top Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses 1982 Convictions

New York City

Convicted			In	dicted	d Offense	•						
Offense	Class A	С	lass B	C	lass C	Cla	iss D	(Class E	To	otals	
Same Offense				35	11.9%	1110	56.1%	68	95.8%	1213	51.3%	
Violent Felony Class A		19	86.4%	235	79.9%	758	38.3%		•	1012	42.8%	
Class B Class C Class D Class E Misdemeanor Other		5 7 7	22.7% 31.8% 31.8%	12 206 17	4.1% 70.1% 5.8%	757	0.1% 38.3%			5 19 214 774	0.2% 0.8% 9.1% 32.7%	-72-
Non Violent Felony Class A Class B Class C		3	13.6%	24	8.2%	109	5.5%	3	4.2%	139	5.9%	
Class D Class E Misdemeanor Other		1 2	4.5% 9.1%	5 7 12	1.7% 2.4% 4.1%	10 15 79 5	0.5% 0.8% 4.0% 0.3%	3	4.2%	16 24 94 5	0.7% 1.0% 4.0% 0.2%	
TOTALS		22	(100.0%)	294	(100.0%)	1977	(100.0%)	71	(100.0%)	2364	(100.0%)	

7

TABLE 42
Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses
1982 Convictions
New York City

Convicted]	Indicte	d Offense						
Offense	C	lass A	Cla	ss B	C1	ass C	С	lass D	(Class E	To	otals
Same Offense	137	39.0%	1088	45.3%	280	43.7%	56	46.3%	4	66.7%	1565	44.4%
Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	184 23 112 36 12 1	52.4% 6.6% 31.9% 10.3% 3.4% 0.3%	1158 46 855 250 7	1.9% 35.6% 10.4% 0.3%	334 24 306 4	3.7% 47.7%	24 18 6			•	1700 23 158 915 586 18	48.3% 0.7% 4.5% 26.0% 16.6% 0.5%
Non Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	30 11 3 9 4 1 2	8.5% 3.1% 0.9% 2.6% 1.1% 0.3% 0.6%	157 75 22 32 2 25 1	6.5% 3.1% 0.9% 1.3% 0.1% 1.0% 0.0%	27 15 3 9	4.2% 2.3% 0.5% 1.4%	25 16	33.9% 20.7% 13.2%	1 1.	16.7%	257 86 3 31 51 32 53 1	7.3% 2.4% 0.1% 0.9% 1.4% 0.9% 1.5% 0.0%
TOTALS	351	(100.0%)	2403	(100.0%)	641	(100.0%)	121	(100.0%)	6	(100.0%)	3522	(100.0%)

C		Tı	ndicted	d Offense					
Convicted Offense	Class A	Class B	. (Class C	C.	lass D	Class E	1	Totals
Same Offense			6	35.3%	74	42.5%	18 100.0%	98	46.7%
Violent Felony Class A Class B		1 100.0%	10	58.8%	46	26.4%	•	57	27.1%
Class C Class D Class E Misdemeanor Other		1 100.0%	1 9	5.9% 52.9%	46	26.4%		2 9 46	1.0% 4.3% 21.9%
Non Violent Felony Class A Class B Class C			1	5.9%	54	31.0%		55	26.2%
Class D Class E Misdemeanor Other			1	5.9%	4 6 44	2.3% 3.4% 25.3%		4 6 45	1.9% 2.9% 21.4%
TOTALS		1 (100.0%)	17	(100.0%)	174	(100.0%)	18 (100.0%)	210	(100.0%)

TABLE 44

Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses
1982 Convictions
Suburban New York City

Indicted Offense Convicted Class C Offense Class A Class B Class D Class E Totals Same Offense 14 70.0% 118 56.7% 36.8% 50.0% 165 52.4% 28 Violent Felony 20.0% 82 39.4% 51.3% 1 10.0% 40.0% 39 126 Class A 3 1.0% Class B 15.0% 5.0% 63 30.3% 64 20.3% Class C 1 Class D 19 9.1% 38 50.0% 57 18.1% 1.3% 10.0% 0.6% -75-Class E 1 1 Misdemeanor Other | Non Violent Felony 10.0% 11.8% 40.0% 1 100.0% 3.8% 9 24 7.6% Class A 1 5.0% 1.4% 1.3% Class B Class C 1 5.0% 0.5% 0.6% 2 Class D 2.6% 0.6% 1.0% 20.0% 1.3% Class E Misdemeanor 1.0% 7 9.2% 20.0% 1 100.0% 12 3.8% Other 20 (100.0%) 1 (100.0%) 315 (100.0%) **TOTALS** 208 (100.0%) 76 (100.0%) 10 (100.0%)

-76-

Indicted Offense Convicted Offense Class B Class E Class A Class C Class D Totals Same Offense 20.0% 69 53.5% 90.9% 58.6% 112 Violent Felony 33.3% 40.0% 1 29 22.5% 36 18.8% Class A Class B Class C 1 33.3% 0.5% 5 33.3% 2.6% Class D 5 1 6.7% 29 22.5% Class E 30 15.7% Misdemeanor Other Non Violent Felony 66.7% 40.0% 24.0% 9.1% 2 6 31 43 22.5% Class A Class B Class C 66.7% 1.0% Class D 1 6.7% 1 0.8% 1.0% Class E 8 6.2% 4.2% Misdemeanor 5 33.3% 22 17.1% 3 6.8% 30 15.7% 2.3% Other 1 0.5% **TOTALS** 3 (100.0%) 15 (100.0%) (100.0%)129 44 (100.0%) 191 (100.0%)

-77-

TABLE 46
Secondary Charge Firearm/Armed Felony Indicted Offenses vs. Convicted Offenses 1982 Convictions
Upstate

				·	ndicted	Offense					
Convicted Offense	C	lass A	C 1	ass B	C1	ass C	C1a	ass D	Class E	7	Totals
Same Offense	5	23.8%	38	43.2%	15	39.5%	7	63.6%		65	41.1%
Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	13 2 6 5	61.9% 9.5% 28.6% 23.8%	46 1 26 19	52.3% 1.1% 29.5% 21.6%	20 2 18	52.6% 5.3% 47.4%	1	9.1%		80 2 7 33 38	50.6% 1.3% 4.4% 20.9% 24.1%
Non Violent Felony Class A Class B Class C Class D Class E Misdemeanor Other	3	14.3% 14.3%	4 2 1 1	4.5% 2.3% 1.1% 1.1%	1 2	7.9% 2.6% 5.3%	3 1 2	27.3% 9.1% 18.2%		13 2 3 3 5	8.2% 1.3% 1.9% 1.9% 3.2%
TOTALS	21	(100.0%)	88	(100.0%)	38	(100.0%)	11	(100.0%)		158	(100.0%)

Firearm/Armed Felony Sentences

Highlights

- * Of the 6,760 convictions resulting from firearm indictments statewide during 1982, 55.5% (3,752) were sentenced to state prison and another 17.9% (1,209) were sentenced to local jail for an overall incarceration rate of 73.4%. 1
- * The incarceration rate for convictions resulting from firearm indictments in New York City was 72.5% (4,266), 81.9% (430) in Suburban New York City and 75.9% (265) in the Upstate counties.
- * Of the total statewide convictions, 17.1% (1,155) were sentenced as persistent/predicate felons and 9% (608) were adjudicated youthful offenders.
- * Of the statewide convictions to a firearm charge (PL 265), 25.7% (719) were sentenced to state prison and 30% (841) were sentenced to local jail. 1 In total 44.4% (1,242) of these convictions received a term of incarceration for at least one year.
- * Of the 3,960 armed felony convictions involving the use or display of a firearm in the commission of another crime, 76.6% (3,034) were to state prison and 9.3% (368) were sentenced local jail.

Please note that sentencing data includes defendants adjudicated youthful offenders. Most conviction charges cited in this section require the court to impose an indeterminate state prison sentence or a jail sentence of one year unless the court found specific mitigating factors, determined the sentence would be unduly harsh, or substituted a youthful offender adjudication for the conviction. See Appendix C for more details on sentencing options. All sentences of non-incarceration or jail terms of less than one year require such exceptions to have been made.

 $^{^{1}}$ Local jail figures and incarceration rates include split sentences of jail and probation.

TABLE 47
Percent of Persistent/Predicate and Youthful Offenders
Top Charge Firearm/Armed Felony Indictments
1982 Convictions

	REGION								
	Statewide	N.Y.C.	Suburban	Upstate					
Persistent/ Predicate Offenders	349 (12.6%)	295 (12.5%)	20 (9.5%)	34 (17.8%)					
Youthful Offenders	169 (6.1%)	157 (6.6%)	8 (3.8%)	4 (2.1%)					
Total Convictions	2765	2364	210	191					

TABLE 48
Secondary Charge Firearm/Armed Felony Indictments
1982 Convictions

	REGION								
	Statewide	N.Y.C	Suburban	Upstate					
Persistent/ Predicate Offenders	806 (20 . 2%)	690 (19.6%)	65 (20.6%)	51 (32.3%)					
Youthful Offenders	439 (11.0%)	392 (11.2%)	39 (12.4%)	8 (5.1%)					
Total Convictions	3995	3522	315	158					

TABLE 49
All Firearm/Armed Felony Indictments
1982 Convictions

	REGION								
	Statewide	N.Y.C.	Suburban	Upstate					
Persistent/ Predicate Offenders	1155 (17,1%)	985 (16.7%)	85 (16.2%)	85 (24.4%)					
Youthful Offenders	608 (9.0%)	549 (9.3%)	47 (9.0%)	12 (3.4%)					
Total Convictions	6760	5886	525	349					

SENTENCES on CONVICTIONS to TOP/SECONDARY FIREARM/ARMED FELONY INDICTMENTS - NEW YORK STATE 1982

		Sentence Type								
Region	Total Convictions	State Prison	Local Jail 1 Yr.	Local Jail <1 Yr.	Prison/ Probation	Probation	Other			
New York State										
Firearm Top Charge	2,765 (100.0%)	644 (23.3%)	528 (19.1%)	133 (4.8%)	193 (7.0%)	1,117 (40.4%)	150 (5.4%)			
Firearm Secondary Charge	3,995 (100.0%)	3,108 (77.8%)	200 (5.0%)	41 (1.0%)	114 (2.9%)	512 (12.8%)	20 (0.5%)			
TOTAL	6,760 (100.0%)	3,752 (55.5%)	728 (10.8%)	174 (2.6%)	307 (4.5%)	1,629 (24.1%)	170 (2.5%)			
						•				
New York City										
Firearm Top Charge	2,364 (100.0%)	541 (22.9%)	452 (19.1%)	97 (4.1%)	148 (6.3%)	1,007 (42.6%)	119 (5.0%)			
Firearm Secondary Charge	3,522 (100.0%)	2,743 (77.9%)	164 (4.7%)	27 (0.8%)	94 (2.7%)	478 (13.6%)	16 (0.5%)			
TOTAL	5,886 (100.0%)	3,284 (55.8%)	616 (10.5%)	124 (2.1%)	242 (4.1%)	1,485 (25.2%)	135 (2.3%)			
Suburban										
Firearm Top Charge	210 (100.0%)	46 (21.9%)	45 (21.4%)	15 (7.1%)	35 (16.7%)	58 (27.6%)	11 (5.2%)			
Firearm Secondary Charge	315 (100.0%)	237 (75.2%)	26 (8.3%)	10 (3.2%)	16 (5.1%)	22 (7.0%)	4 (1.3%)			
TOTAL	525 (100.0%)	283 (53.9%)	71 (13.5%)	25 (4.8%)	51 (9.7%)	80 (15.2%)	15 (2.9%)			
Upstate										
Firearm Top Charge	191 (100.0%)	57 (29.8%)	31 (16.2%)	21 (11.0%)	10 (5.2%)	52 (27.2%)	20 (10.5%)			
Firearm Secondary Charge	158 (100.0%)	128 (81.0%)	10 (6,3%)	4 (2.5%)	4 (2.5%)	12 (7.6%)	0 (0.0%)			
TOTAL	349 (100.0%)	185 (53.0%)	41 (11.7%)	25 (7.2%)	14 (4.0%)	64 (18.3%)	20 (5.7%)			

TABLE 51
SENTENCES by FIREARM/ARMED FELONY CONVICTION CHARGE - NEW YORK STATE 1982

Penal Law Section	Total Convictions	State Prison	Local Jail 1 Yr.	Local Jail <1 Yr.	Prison/ Probation	Probation	Other
Firearm Convictions:1							
CPW 2°	114 (100.0%)	96 (84.2%)	6 (5.3%)	2 (1.8%)	1 (0.9%)	9 (7.9%)	0 (0.0%)
(265.03) CPW 3° (265.02)	1,459 (100.0%)	418 (28.6%)	299 (20.5%)	70 (4.8%)	111 (7.6%)	516 (35.4%)	45 (3.1%)
Att. CPW 3° (110/255.02)	933 (100.0%)	159 (17.0%)	176 (18.9%)	35 (3.8%)	54 (5.8%)	482 (51.7%)	27 (2.9%)
CPW 4° (265.01)	169 (100.0%)	1 (0.6%)	15 (8.9%)	15 (8.9%)	10 (5.9%)	77 (45.6%)	51 (30.2%)
Other	125 (100.0%)	44 (35.2%)	28 (22.4%)	7 (5.6%)	12 (9.6%)	27 (21.6%)	7 (5.6%)
Total	2,800 (100.0%)	718 (25.6%)	524 (18.7%)	129 (4.6%)	188 (6.7%)	1,111 (39.7%)	130 (4.6%)
Armed Convictions:2							
Murder 2°	166 (100.0%)	166 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
(125,25) Att. Murder 2°	92 (100.0%)	89 (96.7%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	3 (3,3%)	0 (0.0%)
(110/125.25) Manslaughter 1° (125.20)	111 (100.0%)	110 (99.1%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.9%)	0 (0.0%)
Robbery 1°	1,211 (100.0%)	1,101 (90.9%)	19 (1.6%)	4 (0.3%)	13 (1.1%)	74 (6.1%)	0 (0.0%)
(160,15) Att. Robbery 1°	491 (100.0%)	435 (88.6%)	6 (1.2%)	5 (1.0%)	8 (1.6%)	34 (6.9%)	3 (0.6%)
(110/160.15) Robbery 2°	632 (100.0%)	492 (77.8%)	19 (3.0%)	2 (0.3%)	21 (3.3%)	97 (15.3%)	1 (0.2%)
(160.10) Att. Robbery 2° (110/160.10)	473 (100.0%)	216 (45.7%)	74 (15.6%)	8 (1.7%)	25 (5.3%)	148 (31.3%)	2 (0.4%)
Other	784 (100.0%)	425 (54.2%)	86 (11.0%)	26 (3.3%)	52 (6.6%)	161 (20.5%)	34 (4.3%)
Total	3,960 (100.0%)	3,034 (76.6%)	204 (5.2%)	45 (1.1%)	119 (3.0%)	518 (13.1%)	40 (1.0%)
TOTAL: All Firearms	6,760 (100.0%)	3,752 (55.5%)	728 (10.7%)	174 (2.6%)	307 (4.5%)	1,629 (24.1%)	170 (2.5%)

 $^{^{1}}$ Firearm charge was top conviction charge.

² Firearm charge was not top conviction charge.

ά

TABLE 52
SENTENCES by FIREARM/ARMED FELONY CONVICTION CHARGE - NEW YORK CITY 1982

Penal Law Section	Total Convictions	State Prison	Local Jail 1 Yr.	Local Jail <1 Yr.	Prison/ Probation	Probation	Other
Firearm Convictions:	103 (100.0%)	88 (85.4%)	6 (5.8%)	0 (0.0%)	0 (0.0%)	9 (8.7%)	0 (0.0%)
(265.03)	103 (100.0%)	00 (05.46)	0 (5.0%)	0 (0.0%)	0 (0.0%)	9 (0.7%)	0 (0.0%)
CPW 3°	1,305 (100.0%)	355 (27.2%)	269 (20.6%)	59 (4.5%)	96 (7.4%)	485 (57.2%)	41 (3.1%)
(265.02) Att. CPW 3° (110/265.02)	837 (100.0%)	140 (16.7%)	153 (18.3%)	30 (3.6%)	41 (4.9%)	449 (53.6%)	24 (2.9%)
CPW 4° (265.01)	89 (100.0%)	0 (0.0%)	4 (4,5%)	4 (4.5%)	2 (2.2%)	41 (46.1%)	38 (42.7%)
Other	97 (100.0%)	36 (37.1%)	21 (21.6%)	4 (4.1%)	9 (9.3%)	23 (23.7%)	4 (4.1%)
Total	2,431 (100.0%)	619 (25.5%)	453 (18.6%)	97 (4.0%)	148 (6.1%)	1,007 (41.4%)	107 (4.4%)
Armed Convictions:							
Murder 2° (125.25)	148 (100.0%)	148 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Att. Murder 2° (110/125.25)	86 (100.0%)	83 (96.5%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	3 (3.5%)	0 (0.0%)
Manslaughter 1° (125.20)	104 (100.0%)	103 (99.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (1.0%)	0 (0.0%)
Robbery 1° (160.15)	1,068 (100.0%)	967 (90.5%)	16 (1.5%)	2 (0.2%)	12 (1.1%)	71 (6.6%)	0 (0.0%)
Att. Robbery 1° (110/160.15)	444 (100.0%)	396 (89,2%)	3 (0.6%)	4 (0.9%)	5 (1.1%)	33 (7.4%)	3 (0.7%)
Robbery 2° (160.10)	567 (100.0%)	436 (76.9%)	17 (3.0%)	1 (0.2%)	17 (3.0%)	95 (16.8%)	1 (0.2%)
Att. Robbery 2° (110/160.10)	411 (100.0%)	191 (46.5%)	56 (13.6%)	4 (1.0%)	20 (4.9%)	138 (33.6%)	2 (0.5%)
Other	627 (100.0%)	341 (54.4%)	71 (11.3%)	16 (2.6%)	40 (6.4%)	137 (21.9%)	22 (3.5%)
Total	3,455 (100.0%)	2,665 (77.1%)	163 (4.7%)	27 (0.8%)	94 (2.7%)	478 (13.8%)	28 (0.8%)
TOTAL: All Firearms	5,886 (100.0%)	3,284 (55.8%)	616 (10.5%)	124 (2.1%)	242 (4.1%)	1,485 (25.2%)	135 (2.3%)

TABLE 53
SENTENCES by FIREARM/ARMED FELONY CONVICTION CHARGE - SUBURBAN New York City 1982

Penal Law Section	Total Convictions	State Prison	Local Jail 1 Yr.	Local Jail <1 Yr.	Prison/ Probation	Probation	Other
Firearm Convictions:				-			
CPW 2° (265.03)	7 (100.0%)	4 (57.1%)	0 (0.0%)	2 (28.6%)	1 (14.3%)	0 (0.0%)	0 (0.0%)
CPW 3° (265.02)	76 (100.0%)	22 (28.9%)	17 (22.4%)	6 (7.9%)	12 (15.8%)	17 (22.4%)	2 (2.6%)
Att. CPW 3°	59 (100.0%)	10 (16.9%)	17 (28.8%)	3 (5.1%)	10 (16.9%)	19 (32.2%)	0 (0.0%)
(110/265.02) CPW 4° (265.01)	43 (100.0%)	1 (2.3%)	8 (18.6%)	3 (7.0%)	5 (11.6%)	19 (44.2%)	7 (16.3%)
Other	17 (100.0%)	8 (47.1%)	2 (11.8%)	2 (11.8%)	3 (17.6%)	2 (11.8%)	0 (0.0%)
Total	202 (100.0%)	45 (22.3%)	44 (21.8%)	16 (7.9%)	31 (15.3%)	57 (28.2%)	9 (4.5%)
Armed Convictions:							
Murder 2° (125.25)	12 (100.0%)	12 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Att. Murder 2°	4 (100.0%)	4 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
(110/125.25) Manslaughter 1°	3 (100.0%)	3 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
(125.20) Robbery 1°	109 (100.0%)	103 (94.5%)	3 (2.8%)	0 (0.0%)	1 (0.9%)	2 (1.8%)	0 (0.0%)
(160.15) Att. Robbery 1°	38 (100.0%)	30 (78.9%)	3 (7.9%)	1 (2.6%)	3 (7.9%)	1 (2.6%)	0 (0.0%)
(110/160.15) Robbery 2°	42 (100.0%)	33 (78.6%)	2 (4.8%)	1 (2.4%)	4 (9.5%)	2 (4.8%)	0 (0.0%)
(160.10) Att. Robbery 2° (110/160.10)	45 (100.0%)	17 (37.8%)	13 (28.9%)	4 (8.9%)	3 (6.7%)	8 (17.8%)	0 (0.0%)
Other	70 (100.0%)	36 (51.4%)	6 (8.6%)	3 (4.3%)	9 (12.9%)	10 (14.3%)	6 (8.6%)
Total	323 (100.0%)	238 (73.7%)	27 (8.4%)	9 (2.8%)	20 (6.2%)	23 (7.1%)	6 (1.9%)
TOTAL: All Firearms	525 (100.0%)	283 (53.9%)	71 (13.5%)	25 (4.8%)	51 (9.7%)	80 (15.2%)	15 (2.9%)

Ö

TABLE 54
SENTENCES by FIREARM/ARMED FELONY CONVICTION CHARGE - UPSTATE 1982

Penal Law Section	Total Convictions	State Prison	Local Jail 1 Yr.	Local Jail <1 Yr.	Prison/ Probation	Probation	Other
Firearm Convictions:	4 (100 0%)	4 (100 0%)	0 (0 0%)	0 (0 0%)	0 (0 0%)	. 0 (0 0%)	0 (0 0%)
CPW 2° (265.03)	4 (100.0%)	4 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
CPW 3°	78 (100.0%)	41 (52.6%)	13 (16.7%)	5 (6.4%)	3 (3.8%)	14 (17.9%)	2 (2.6%)
(265.02) Att. CPW 3°	37 (100.0%)	9 (24.3%)	6 (16.2%)	2 (5.4%)	3 (8.1%)	14 (37.8%)	3 (8.1%)
(110/265.02) CPW 4° (265.01)	37 (100.0%)	0 (0.0%)	3 (8.1%)	8 (21.6%)	3 (8.1%)	17 (45.9%)	6 (16.2%)
Other	11 (100.0%)	0 (0.0%)	5 (45.5%)	1 (9.1%)	0 (0.0%)	2 (18.2%)	3 (27.3%)
Total	167 (100.0%)	54 (32.3%)	27 (16.2%)	16 (9.6%)	9 (5.4%)	47 (28.1%)	14 (8.4%)
Armed Convictions:							
Murder 2° (125.25)	6 (100.0%)	6 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Att. Murder 2°	2 (100.0%)	2 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
(110/125.25) Manslaughter 1° (125.20)	4 (100.0%)	4 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Robbery 1° (160.15)	34 (100.0%)	31 (91.2%)	0 (0.0%)	2 (5.9%)	0 (0.0%)	1 (2.9%)	0 (0.0%)
Att. Robbery 1° (110/160.15)	9 (100.0%)	9 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Robbery 2° (160.10)	23 (100.0%)	23 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Att. Robbery 2° (110/160.10)	17 (100.0%)	8 (47.1%)	5 (29.4%)	0 (0.0%)	2 (11.8%)	2 (11.8%)	0 (0.0%)
Other	87 (100.0%)	48 (55.2%)	9 (10.3%)	7 (8.0%)	3 (3.4%)	14 (16.1%)	6 (6.9%)
Total	182 (100.0%)	131 (72.0%)	14 (7.7%)	9 (4.9%)	5 (2.7%)	17 (9.3%)	6 (3.3%)
TOTAL: All Firearms	349 (100.0%)	185 (53.0%)	41 (11.7%)	25 (7.2%)	14 (4.0%)	64 (18.3%)	20 (5.7%)

Firearm/Processing Times¹

<u> Highlights</u>

- * Statewide, the median² processing time from indictment to disposition for all firearm indictments in 1982 was 164 days.
- * Regionally, New York City reported the longest median processing time (172 days), followed by the Upstate counties with 125 days, and Suburban New York City with 97 days.
- * Statewide, firearm (top charge) indictments resulted in a conviction in a median time of 103 days. Conviction to sentence median time was 61 days.
- * Statewide, armed felony (secondary charge) indictments resulted in conviction in a median time of 190 days. Conviction to sentence median time was 57 days.

In each area of the State, secondary charge (armed felony) indictments experienced longer median processing times to disposition and conviction than Jid top charge firearm indictments. The longer median processing times in secondary charge indictments reflect the greater use of trials in armed felony offense cases than in top charge indictments. Armed felony offense cases are often more serious than top charge indictments and will usually result in more severe sanctions being imposed on the defendant. Not only does this prompt more trials, it also makes defendants less willing to plead guilty quickly in the face of these sanctions. Additionally, secondary charge indictments frequently involves more complex issues (such as intent) than the questions surrounding top charge possession indictments.

 $^{^{}m 1}$ See violent felony offense processing times for influencing factors.

²The median is utilized in summarizing processing times because it is less sentence to extreme values in the distributions than is the mean.

TABLE 55
Top Charge Firearm/Armed Felony Defendant Processing Time Indictment to Disposition (Median Days)
1982

	· 	REC	GION	
	Statewide	N.Y.C	Suburban	Upstate
Disposition	120	126	42	98
Dismissed	178	185	98	143
Acquitted	225	225	344	162
Other ¹	143	141	146	148
Convicted	103	108	36	78
Guilty Plea	95	102	28	67
Jury Trial	196	232	114	174
Non-Jury Trial	218	216	297	223
Sentence	164	170	99	122
Guilty Plea	155	165	98	105
Jury Trial	266	289	189	246
Non-Jury Trial	274	268	330	326

 $^{^{1}\}mbox{Includes:}$ Plea to another indictment, consolidation, or other court action

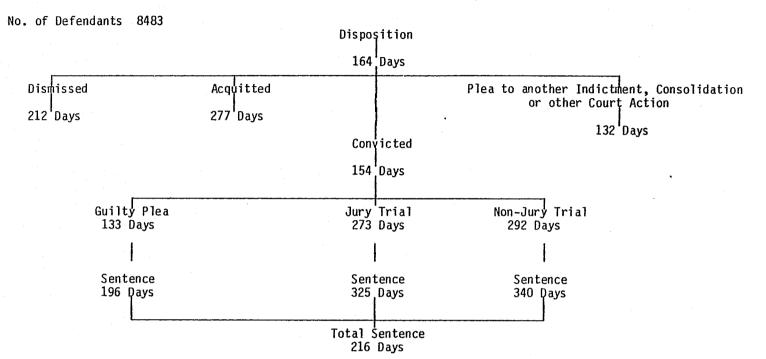
TABLE 56
Secondary Charge Firearm/Armed Felony Defendant Processing Time
Indictment to Disposition (Median Days)
1982

		REG	ION	44.44.4 (m
	Statewide	N.Y.C	Suburban	Upstate
	107	202	107	474
Disposition	197	202	127	171
Dismissed	258	258	227	77
Acquitted	293	292	326	203
Other ¹	125	120	107	272
Convicted	190	196	110	168
Guilty Plea	165	173	97	118
Jury Trial	283	287	324	231
Non-Jury Trial	327	325	358	248
Sentence	247	253	173	212
Guilty Plea	225	231	151	168
Jury Trial	332	336	372	302
Non-Jury Trial	369	363	401	296

 $^{^{1}}$ Includes: Plea to another indictment, consolidation, or other court action

FIGURE 9 Firearm/Armed Felony Defendant Processing Time: Indictment to Disposition (Median Days) New York State

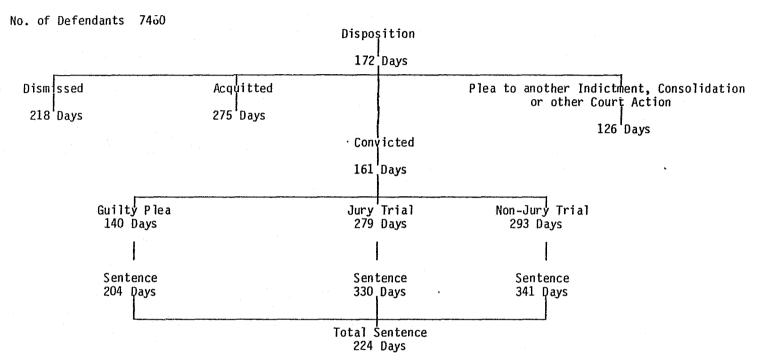
Firearm Indictments from 08-12-80 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82



	Minimum	Average	Median	Max imum
DISPOSITIONS DISMISSED ACQUITTED OTHER COURT ACTION CONVICTED GUILTY PLEA JURY TRIAL NON-JURY TRIAL	0	185	164	762
	0	228	212	755
	0	284	277	745
	1	168	132	708
	0	176	154	762
	0	158	133	762
	18	289	273	681
SENTENCE GUILTY PLEA JURY TRIAL NON-JURY TRIAL	20	296	292	610
	0	235	216	811
	0	218	196	811
	40	342	325	757
	20	344	340	634

FIGURE 10 Firearm/Armed Felony Defendant Processing Time: Indictment to Disposition (Median Days) NEW YORK CITY

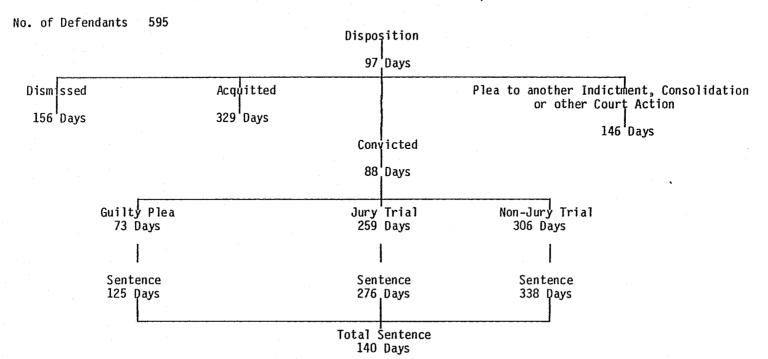
Firearm Indictments from 08-12-80 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82



	Min imum	Average	Median	Max imum
DISPOSITIONS	0	191	172	762
DISMISSED	5	232	218	755
ACQUITTED	0	284	275	737
OTHER COURT ACTION	1	165	126	708
CONVICTED	0	183	161	762
GUILTY PLEA	0	165	140	762
JURY TRIAL	18	293	279	681
NOM-JURY TRIAL	20	297	293	610
SENTENCE	0	242	224	811
GUILTY PLEA	0	225	204	811
JURY TRIAL	40	346	330	757
NON-JURY TRIAL	20	344	341	634

FIGURE 11 Firearm/Armed Felony Defendant Processing Time: Indictment to Disposition (Median Days) SUBURBAN New York City

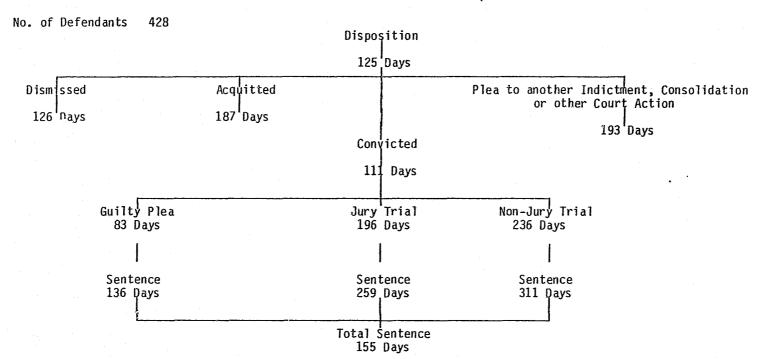
Firearm Indictments from 08-12-80 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82



	Minimum	Average	Median	Max imum
DISPOSITIONS DISMISSED ACQUITTED OTHER COURT ACTION CONVICTED GUILTY PLEA	0 32 181 14 0	138 208 347 154 128 110	97 156 329 146 88 73	745 500 745 525 628 628
JURY TRIAL NON-JURY TRIAL SENTENCE GUILTY PLEA JURY TRIAL NON-JURY TRIAL	42 190 0 0 109 239	288 340 183 166 334 381	259 306 140 125 276 338	584 587 650 645 650 611

FIGURE 12 Firearm Armed Felony Defendant Processing Time: Indictment to Disposition (Median Days) UPSTATE COUNTIES

Firearm Indictments from 08-12-80 thru 12-31-82 Disposed of 01-01-82 thru 12-31-82



		J J J J		
	Minimum	Average	Median	Max imum
DISPOSITIONS DISMISSED ACQUITTED OTHER COURT ACTION CONVICTED GUILTY PLEA JURY TRIAL	0 0 118 46 0 0	150 163 209 244 140 119	125 126 187 193 111 83 196	657 519 456 657 626 626 427
NON-JURY TRIAL SENTENCE GUILTY PLEA JURY TRIAL NON-JURY TRIAL	22 0 0 78 61	260 189 167 277 330	236 155 136 259 311	535 657 657 466 584

Mitigating Circumstances

Highlights

- * In 1982, DCJS received 1,086 transcripts of court cases reported to have mitigating circumstances affecting mandatory sentence terms.
- * A total of 736 (67.8%) cases were sentenced under the armed felony offender provision in PL §70.02; and 350 (32.2%) cases under the youthful offender provision in CPL §720.10.
- * Overall, in armed felony and youthful offender cases combined, mitigating factors were cited most often with weapons (62.0%) and robbery (32.5%) indictments.
- * In armed felony cases, robbery indictments had the highest percentage of mitigating circumstances with 78.1%. In youthful offender cases, weapon indictments dominated with 66.9%.
- * "No Priors" was the most common reason cited for mitigating circumstances in armed felony and youthful offender cases combined, accounting for 291 cases (26.8%) in 1982.
- * In armed felony cases, the highest percentage of sentences mitigated were due to "No Priors" (28.7%). In youthful offender cases, "No Reason Cited" was the predominant mitigating factor (21.5%).

The violent felony legislation of September 1, 1978 includes sentencing provisions which, when mitigating circumstances exist, allow the court to impose a sentence other than an indeterminate sentence of imprisonment. These mitigating factors are specified in Penal Law section 70.02 for armed felony offenders (possession of a loaded firearm or display of what appears to be a firearm or toy-gun-loaded/unloaded); and in Criminal Procedure Law article 720.10 for youthful offenders (defendant is at least 16 and less than 19 years old upon commission of an armed felony offense). Additionally, once a defendant is adjudicated a youthful offender all official records and papers pertaining to the case are considered confidential and the accusatory instrument is sealed.

The following are the specific circumstances under which sentences can be mitigated by the court:

- Circumstances which bear directly upon the manner in which the crime was committed (i.e. lack of intent to injure a specific party; passive possession of a gun found during a search of an automobile).

- Defendant's participation in the crime was minimal; although not so minor as to constitute a defense to the prosecution (i.e. defendant was a "look-out").
- Possible deficiences in proof of the defendant's commission of the crime (i.e. failure to recover the gun in question).
- Defendant has no criminal involvement with the law within the past 5 years.
- The 1 year sentence is too harsh due to the circumstances/nature of the crime, and history/characteristics of the defendant.
- Defendant has a favorable probation report.
- District attorney recommends an alternative sentence.

The citing of specific mitigating circumstances does not appear to reflect the application of a uniform set of criteria and standards throughout the court system. When the court does sentence a defendant under one or more of these provisions, a transcript of the statement detailing the mitigating circumstances being applied (which shall set forth the recommendation of the District Attorney) is forwarded to the Division of Criminal Justice Services.

Generally, the firearm sentencing section revealed that significantly more than the 1,086 cases for which DCJS received minutes resulted in mitigated sentences. The exact number of cases for which DCJS should have received minutes requires: an assessment of the defendant's prior criminal history, controlling for conviction type, and an analysis of the disposition of the firearm/armed felony counts within the indictment. DCJS continues to identify those cases for which the case data indicates minutes should have been sent but were not received. The Office of Court Administration is periodically notified of these cases and, upon confirmation, takes appropriate action to see that the statements are forwarded.

TABLE 57 Mitigating Circumstances - Statewide 70.02 PL (Armed Felony Offenders) 1982

				Circumsta	nces Cited				
Penal Law Article	Total	Manner of Commission	Minor Participation	Deficiency of Proof	No Priors	Unduly Harsh	Probation Report	D.A.'s Recommend.	No Reason Cited
Robbery (PL 160)	119 (100.0%)	9 (7.6%)	20 (16.8%)	9 (7.6%)	13 (10.9%)	3 (2.5%)	9 (7.6%)	15 (12.6%)	41 (34.5%)
Sex Off. (PL 130)	6 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (33.3%)	4 (66.7%)
Burglary (PL 140)	3 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (33.3%)	2 (66.7%)	0 (0.0%)
Assault (PL 120)	19 (100.0%)	2 (10.5%)	1 (5.3%)	0 (0.0%)	4 (21.1%)	7 (36.8%)	1 (5.3%)	1 (5.3%)	3 (15.8%)
Homicide (PL 125)	14 (100.0%)	2 (14.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (14.3%)	2 (14.3%)	1 (7.1%)	7 (50.0%)
Weapons (PL 265)	575 (100.0%)	89 (15.5%)	9 (1.6%)	9 (1.6%)	194 (33,7%)	115 (20.0%)	45 (7.8%)	11 (1.9%)	103 (17.9%)
TOTAL	736 (100.0%)	102 (13.9%)	30 (4.1%)	18 (2.4%)	211 (28.7%)	127 (17.3%)	58 (7.9%)	32 (4.3%)	158 (21.5%)

TABLE 58
Mitigating Circumstances - Statewide
720.10 CPL (Youthful Offenders)
1982

				Circumsta	nces Cited				
Penal Law Article	Total	Manner of Commission	Minor Participation	Deficiency of Proof	No Priors	Unduly Harsh	Probation Report	D.A.'s Recommend.	No Reason Cited
Robbery (PL 160)	234 (100.0%)	9 (3.8%)	58 (24.8%)	24 (10.3%)	35 (15.0%)	4 (1.7%)	26 (11.1%)	4 (1.7%)	74 (31.6%)
Sex Off. (PL 130)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Burglary (PL 140)	10 (100.0%)	0 (0.0%)	1 (10.0%)	0 (0.0%)	3 (30.0%)	2 (20.0%)	1 (10.0%)	0 (0.0%)	3 (30.0%)
Assault (PL 120)	2 (100.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (50.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (50.0%)
Homicide (PL 125)	6 (100.0%)	0 (0.0%)	2 (33.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (33.3%)	0 (0.0%)	2 (33.3%)
Weapons (PL 265)	98 (100.0%)	13 (13.3%)	3 (3.1%)	0 (0.0%)	41 (41.8%)	9 (9.2%)	15 (15.3%)	1 (1.0%)	16 (16.3%)
TOTAL	350 (100.0%)	22 (6.3%)	64 (18.3%)	24 (6.9%)	80 (22.9%)	15 (4.3%)	44 (12.6%)	5 (1.4%)	96 (27.4%)

Appendix A

APPENDIX A

DEFINITIONS AND TECHNICAL SPECIFICATIONS

Data Sources

The felony arrest statistics in this report were generated from the Computerized Criminal History (CCH) System maintained by the Division. Section 160.20 of the NYS Criminal Procedure Law requires that a fingerprint card be submitted to DCJS as a result of each felony arrest.

The data concerning indictments and their dispositions were generated from the Indictment Statistical System (ISS). The District Attorney and Special Prosecutors of New York State report detailed case specific information to DCJS on individual case forms (DCJS-1020, see Appendix B) under Sections 837-a and 837-b of the Executive Law.

At the time of indictment or superior court information, procesutors submit a form identifying the defendant and charges against him. A follow up form is submitted when the disposition of the indictment is completed.

Felony arrests are not linked to indictments in a continuing data collection process. Therefore the indictment and disposition statistics presented in this report are not necessarily related to the felony arrest events that occurred during the period.

Coverage

The violent felony statistics in this report pertain solely to arrests and indictments that contain at least one violent felony charge.

The felony firearm arrest statistics pertain solely to arrests that contain a specified PL 265 felony firearm charge. The firearm indictment statistics include both indictments charging a specific firearm offense or contain a designated "armed felony offense" as defined under CPL §1.20 (41).

All arrests and indictments meeting the above criteria are included in the statistics presented in this report regardless of whether or not the violent felony/firearm offense was the most serious charge.

The indictment and disposition data covers all actions involving indictments, and any actions on superior court informations occurring during the period specified.

An arrest or indictment can be counted as both a Violent Felony and a Firearm arrest or indictment (therefore, the statistics in these two sections cannot be simply added to each other).

Units of Count

The unit of count for arrests is the arrest event. An arrest event consists of all charges placed against an offender for any given arrest. For an offender arrested multiple times during the period, multiple arrest events are counted. For example, an offender arrested on three separate occasions during the period will be counted as three arrest events.

The unit of count for indictments, superior court informations and dispositions is defendant-indictment. Using this unit of count:

- each defendant named in a felony indictment or superior court information is counted separately;
- each indictment or superior court information returned against a defendant is counted separately;
- each defendant disposed of in an indictment is counted separately;
- each disposition of an indictment against a defendant is counted separately;
- an indictment can be counted as both a Violent Felony and a Firearm indictment (therefore, the statistics in these two sections cannot be simply added to each other).

As a result, a defendant who has three indictments pending against him, each of which was disposed of by plea, will be counted as three disposed defendant-indictments.

Classification of Felonies

Arrests and indictments containing more than one charge or offense are initially categorized by the highest felony class charge reported. The New York State Penal Law has established a felony class hierarchy in which all offenses are classified, in descending order of seriousness, as Class A-I, A-II, B, C, D, and E felonies.

When a conflict existed, between one or more charges of the same class, the following priority table was used in 1982 to determine which offense to count for the arrest or indictment.

- PL ART 125 Homicide
- PL ART 135 Kidnapping 2.
- PL ART 130 Sex Offenses 3.
- 4. PL ART 160 - Robbery
- 5. PL ART 120 - Assault
- PL ART 220 Criminal Sale of a Controlled Substance 6.
- PL ART 220 Criminal Possession of a Controlled Substance PL ART 220 Controlled Substances 7.
- 8.
- PL ART 265 Firearms 9.
- PL ART 140 Burglary 10.
- 11.
- PL ART 150 Arson PL ART 155 Larceny 12.
- 13. PL ART 165 - Theft
- 14. PL ART 145 - Criminal Mischief
- PL ART 200 Bribery 15.
- PL ART 225 Gambling 16.
- 17. Other PL ART in numerical sequence.
- Charges listed under other law titles

In the event that the highest violent felony charge was not the highest class indictment charge it was reported to DCJS as a secondary charge and categorized by that violent felony charge.

In the event that the highest firearm charge was not the highest class indictment charge, it was reported to DCJS as a secondary charge and categorized by the highest class indictment charge.

Please note that both the indictment data collection form and charge priority table have been subsequently amended.

Date of Action and Convictions

The data presented in this report include both defendant-indictments, and defendant-indictment cases that were disposed during the reporting period. Because convictions are not reported until time of sentencing, the disposition category includes the following types of cases:

- Defendant-indictment convictions and sentences occurring in the reporting period.
- Defendant-indictment convictions occurring during a previous period but not sentenced until the current reporting period.
- Non-conviction dispositions occurring during the reporting period.

Thus, the report excludes convictions occurring in 1982 which were pending sentence at the close of 1982.

Comparisons with Other Published Data

Some caution should be exercised in comparing this data with other available data on felony case processing. Differences exist between DCJS data systems and other systems with regard to data collection methods, accounting procedures, and definitions.

Appendix B

1. NYSID No				. Nar	ne (La:	șt, First,	Midd					·		3	. ОВТ 1	00E	O1P	o. 4. Classification (Leave Blank) A				*	
5. Street No.	. 6, Stre	et Name						7. 0	iny/S	tate Add	iress/	Zip				8.							
9. Alias or M	Naiden Nar	ne		- , , , , -			1	O. Place	of Bir	th (State	or Co	uniry)	11.		12. f	acsimile (Control N	o.					
13, Date of Birt	ih (M/D/Yi	14. Age	15.	Sex	16. Ra	ice 17.	Skin	18. Ha	r 19	9. Eye		leight In.	21.W	eighi					26. Contr			·	
22. Arrest Of	fficer ID. N	0.	23. Ar	restic	ng Age	ncy Na	me	L	Ļ.		24.	<u>l. </u>	<u> </u>		25.	Arrest No.			20. Conii	iouior			
27. Date of	Arrest	28, Plac	e of A	rrest	(City 8	State)					29.				ļ	30, Time	of Arrest						
31, Date of (Crime	32. Plac	e of C	rime	(City,	County	& Sta	ite)		****	33,					34. Туре	of Arrest		35. Court	of Ar	aignmen	t (See Instru	uctions)
36. Law	Section 1	40. S	ÿ\$ C	s 0	II AII	Deg		Name	of O	ffense	<u></u>	Cts	NCI	C Co	de	1 44 Vis	11m 1 - 38. 5es	39.	Type of We	apon		40.	
UI ARG w (S)												口		Ī	I	37	38.384	_				ļ	
R G			+	-	+-	\vdash				•		┼-┼-		+	+		-	41.	Social Sec	י אוויט:	No.	42, FBI Ni	umber
(Š)				\perp								\Box		\pm	+			43.	Signatur	e of A	Arrestee	<u> </u>	
44. Arrest A		e No.			Right	laday		45, No	. of O	ffender		1.11	46. N	0. 0	Victi		b. Dia -	X			[6 0:-k	tint-	
i. kigai inu	imb			1	Kignt	Index				3. RIG	jht Mic	agie				4. Kigi	nt Ring				5, Right	Lille	
													•										
6. Left Thur	1b			7.	Left In	dex			 -	8. Le	ft Mide	ile				9. Left	Ring				10. Left	Little	<u></u>
Left Four Fir	ngers Take	n Simult	aneou	isly					Lef	! Thumb			Righ	t Thu	ımb		Right I	our	Fingers Tak	en Sir	nultaneo	usly	
																	}						
INITIA	LCOUR	T REPO	RTC	F C	RIMII	NAL C	ASE	 S		▲ Tec	roff	on dott	ed lin	e 4	,	~~~~	OCA-	501 (R	EV. 4/83)	Court	Case Nu	mber(s) (For Court Use
(NY) (outside N	esting O	it to AD	A	-	4 -E A	نىداخىد				S						s - Pleasi ms in Se		r typ	e		•		
	idani (Lasi					rruign	men				····				rt Ca	ntrol No.				Pct. &	Arrest No.		
									·						8	OE#	00.	L	P	·	···		
1 Date	of Birth (M	/D/Y)			Co	ounty &	Nam	e of Cou	irt											Date o	f Arrest		
Facsin	nile Contro	No.			1														Ī	Date of	Arraignr	neni	
		1		1 .	NIV.	· · ·				. či			2.		· ·			,,, ,,,,		-	· · · · · · · · · · · · · · · · · · ·		
		(outs	La				ng OH 1 No.	icer	: Plec	ise e ne o	nter t f Offe	ne to ense	2 gc	Ct	arges. s							
														_									
														=_						Date:	f Diag	(4/44-24-44)	Initials
2 For A	ADA Uze	Disn arges aq							ندالم 4.	استمناهم	hu A	Δ		اندوو						oute 0	f Dismisso	· .	milions.
	7 5 cue	n daz ad	goins.	: 11115	dere	nount	on ir	us arre:	SI (21\$)	missed	OA WI	-A pric	nt 10 0	rran	ynm	201.							

DCJS-2 (4/83) ARREST

STATE OF NEW YORK DIVISION OF CRIMINAL JUSTICE SERVICES IDENTIFICATION & DATA SYSTEMS ALBANY NEW YORK 12303

w	Section	No.	Sub	Cls	Cat	An Co	Deg	Name of Offense	Cts		NCIC	Coc	e			12A Facsimile Control Number
1			1			L.				L				<u> </u>		
				-					┨					<u> </u>		
			1	1			i		1	į .	ĺ					
ptor	of Crim	-				· ·						51.	Addı	onal Info	rmation	
ting	Officer's	Name						53. Command								
	řing	Fingerprinted		Fingerprinted 48 Signary	Fingerprinted 48 Signature of prior of Crime	Fingerprinted 48 Signature of Perso	Fingerprinted 48 Signature of Person To	Fingerprinted 48. Signature of Person Taxing Fing	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Phy prior of Crime	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Physical /	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Physical Marks ption of Crime	Fingerprinted 48 Signature of Person Taking Fingerprints 49 Physical Marks and ption of Crime	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Physical Marks and Cdai ption of Crime 51	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Physical Marks and Oddities ption of Crime 51 Addit	Fingerprinted 48 Signature of Person Taking Fingerprints 49 Physical Marks and Oddities ption of Crime 51. Additional Info	Fingerprinted 48 Signature of Person Taxing Fingerprints 49 Physical Marks and Oddition ption of Crime 51 Additional Information

- 1. NYSID NUMBER Formerly NYSIIS Number. Enter if known.
- ALIAS AND/OR MAIDEN NAME An olios is a complete name in which the given and/or surname is different than those entered in Item 2.
- 10. PLACE OF BIRTH Enter either state or country only. If U.S.A., enter state, if not U.S.A., enter country.
- 12. FACSIMILE CONTROL NO. Enter on front and back when facsimile transmission is used.
- 15. SEX Enter "M" for Male, "F" for Female, and "U" for Unknown.
- 16. RACE/ETHNICITY Enter the racial appearance code which best describes the person's appearance.

8 - Black 8H - Black Hispanic WH - White Hispanic

W - White I - American Indian and Alaskan Natives

A - Asian; Includes Asian Indians, Chinese, Japanese, Koreans, Filipinos, Indonesians, Polynesians, and other Non-Whites.

U - Unknown

17 SKIN - Enter the skin sone code for the category which best describes the person's appearance in relation to his racial appearance, e.g. dark skinned white person, light skinned Negro.

M - Medium

D - Dark

18. HAIR - Enter hair color code which best describes the person's hair color.

BAL . *Bald BLK - Black BLN - Blande or Strawberry BRO - Brown GRY - Gray or Partially Gray RED - Red or Auburn SDY - Sandy XXX Unknown WHI - White OTH - Other

*Bald (BAL) is to be used when subject has lost most of the hair on his head or is

19 EYES - Enter the eye color codes which best describes the person's eye color

BLK Black BLU - Blue BRO - Brown HAZ Hazel MAR - Margan PNK Pink

GRY - Grav GRN - Green XXX - Unknown OTH - Other

- ARRESTING OFFICER ID. + Unique permanent number used by your agency to identify the arresting officer
- ARREST NO. OR AGENCY ID. Number assigned to identify the individual arrested by the arresting agency
- TIME OF ARREST Use military time such as 0300 for 3 A.M., 1330 for 1:20 P.M. and 23:20 for 11 20 P.M., etc.

- Summons, CIP Crime in Progress, COM Complaint, OTH Other or UNK Unknown AT-Appearance Ticket, TOT-Turned Over To, FOA-Fugitive for Other Authority, etc.
- 35. COURT OF ARRAIGNMENT Enter court name and geographical jurisdiction, e.g. Buffala City Court, If a Town or Village Justice Court, enter the name and jurisdic-tion, including Town or Village and County of the Judge, e.g. Hon. Henry Learned, T. J. Berne, Albany Co.
- 36. CHARGE(S) Enter all charges with the most serious first, as set forth in the DCJS Charge Code Manual. Atleast one of the charges must be a fingerprintable offense as defined in CPL Section 160, 10. If more space is needed, enter in Item 36A.

LAW - Enter law abbreviation. For example:

CPL - Criminal Procedure Law

VTL - Vehicle & Traffic Law

SECTION NUMBER - Enter Section Number of Law

SUBDIVISION NUMBER - Enter subdivision, if any; if none, enter "00"

CLASS-Enter class of crime-A, G. C. D. E. or U-Unclassified. In the case of an "attempted" crime, enter the class as though the crime had been committed, and enter "A" in the Attempt Code field.

OFFENSE CATEGORY - Enter letter as follows: - Felony V - Violation M - Misdemeanor 1 - Infraction

ATTEMPT CODE - Enter "A" for attempted crimes, "O" for all other crimes.

DEGREE - Enter degree of crime, if applicable.

NAME OF OFFENSE - Enter name of offense for which individual is charged, using standard abbreviations when applicable, e.g., CR POSS CONTROLLED SUBST. ASSAULT - 2nd

COUNTS - Enter the number of counts for each offense.

NCIC CODE - Enter the appropriate 4 digit NCIC Uniform Offense Classification Code whose literal best describes the offense committed.

- 37 38. VICTIM'S AGE AND SEX Enter the age and sex of the aldest victim on the line used to record charges involving this oldest victim. Leave the victim age and sex boxes blank on any line where the charge entered does not involve the oldest victim or where the charge involves a law enforcement officer. When eldest victims are the same age but different sex, enter the letter "D"
 - 39 TYPE OF WEAPON Required for PL 265 Arrests Pistal, Rifle, Knife, etc.
 - 44. CASE NUMBER Enter the number assigned by your agency to the file folder used to hold the information about all victims and offenders involved in this case.
 - 46. NUMBER OF VICTIMS . Enter the total number of persons victimized by the affenders in this case
 - PHYSICAL MARKS & ODDITIES Enter any amputations, deformities, visible scars. marks or tattoos
 - 51. ADDITIONAL INFORMATION Enter any miscellaneous information which may be helpful. If used for additional space for another item, please indicate the item number to which you are referring,

OCA-501, Reverse (Rev. 4/83).

A Tear off on dotted line A

INSTRUCTIONS FOR INITIAL COURT REPORT OF CRIMINAL CASES

- 1. Arresting (outside NYC) Complete Section 1 including the top 2 arrest charges. The OCA-501 should be immediately attached to and remain with Officer the accusatory instrument,
- 2. Assistant | If all charges against the defendant on this arrest are dismissed by an ADA prior to arraignment, sheck the box in Section 2, enter the date of dismissal, and initial. District

(NYC arrest) Hand over the card to the Criminal Court in your borough. Attorney fourside NYC arrest) Mail the Card to:

> Criminal Disposition Reporting Unit NYS UNIFIED COURT SYSTEM (Office of Court Administration) 270 Broadway New York, New York 10007

3. Courts Using the OCA-540 or 540-A: The number on the card must be entered onto the CDR form, after which it is stapled onto the CDR form.

Courts Using On-Line System (OBTS): Use the number on this card to identify arrest file in initialization or arraignment entry routine.

DCJS-	1020 (3/80)
1. CO	UNTY	
C	ebc	Name of County
. 1		
]		

-109-STATE OF NEW YORK

CONTROL NUMBER 000176

Near a Great of County	DIVISION O INDICTM	902174	
A. DEFENDANT/GRAND JURY IN	FORMATION (Read Instruc	tions on Reverse Side of Form)
2. TYPE OF ACTION	3. NAME OF DEFENDANT (Last, First,	Middle)	4. NYSID NUMBER
☐ a. INDICTMENT			
☐ b. SUPERIOR COURT INFORMATION	5. DATE OF ACTION 6. INDIC	TMENT / CASE NUMBER 7. DAT	E OF ARREST 8. DATE OF BIRTH
C. DISMISSED—NO BILL	Mo. Day II.	MO.	Day Yr. Mo. Day Yr.
☐ d. REFERRED TO LOWER COURT	9. HIGHEST CLASS CHARGE: (Refer to	type action in Item #2)	, 10. DEFENDANT STATUS
0. REMOVED TO FAMILY COURT	Caw into Societies.	Fel. Misd. Viol.	Attempt?
CONVICTION	M STATUS	I3. YOUNGEST VICTIM Sex	14. OLDEST VICTIM
TVan TINIA TINIK	□ a. Elderly □ c, Especially \ □ b. Handicapped □ d. None of the	/ulnerable	
15. HIGHEST VIOLENT FELONY INDICTMENT CH	ARGE (if different from 9)	16, TYPE OF OFF	ENSE
Law Title Section No. S	o-Section Offense Category	Attempt ?	
<u> </u>			
B. PROSECUTION INFORMATIO	N (Complete following pro	.,	
17. FINAL DISPOSITION	· · · · ·		IDENTIFIER 20. JUDICIAL IDENTIFIER
	1. Dismissed—Other	a. Pre-Trial	
	G. Acquitted	b, Jury Trial 21. Num Jurie	ber of Hung 22. TRANSFER to NYC Spec. Narcotic Part for
another case	Li h, Abated by Death i. Disposed of/by Other	C. Non-Jury Trial	Prosecution NONE Yes No
of Insanity	Court Action Dispessed bridge Obtain Court Action Removed to Family Court	23. DATE OF DISPOSITION 24. DISPOSIT	TION PART NO.
Case	—, , , , , , , , , , , , , , , , , , ,		
25. VIOLENT FELONY CHARGE DISPOSITION	26. DEFENSE TYPE		<u> </u>
Final Disposition Type	a. Private	☐ b. 18-8 ☐ c. Legal Aid	
Complete t	he following sentence inf	ormation ONLY when 17a or 1	7b is checked.
27. HIGHEST CONVICTION CHARGE Law Title Section No. Sub-Section	Offense Category , Att	empt? Armed Felony?	DATE OF SENTENCING Mo. Day Yr.
333333		Yes No. Yes No	
29. SENTENCE IMPOSED	<u> </u>	T:	30. TERM OF SENTENCE
☐ A. Imprisonment—State	e, Probation	. Unconditional Discharge	Minimum Maximum
☐ b. Imprisonment—Local	1. Probation & ODAS Commitment	I. Removed to Family Court	
C. Intermittent Imprisonment	g. Conditional Discharge	k. Other (Specify)	a. Single b, Concurrent
d. Imprisonment & Probation	n. Fine Only		C. Consecutive
31. SELECTED CONDITIONS OF SENTENCE			
a. Youthful Offender b. Second Felony Offender	c. Persistent Felony Offender d. Juvenile Offender	e. Violent Felony Offender f. Second Violent Felony Offender	g, Persistent Violent Felony Ottender
32. HIGHEST VIOLENT FELONY CONVICTION CH	*	33. SENTENCE TYPE	
	e Category Attempt ?	Armed Felony? Type Minimu	m Maximum OF SENTENCE

Shaded Boxes Required for Violent Felony Cases

Please submit Page 1 Defendant/Grand Jury Information and Page 2 Prosecution Information when each is completed to:

DCJS-Statistical Control Unit

Executive Park Tower

Stuyvesant Plaza

Albany, New York 12203

A. FILING OF REPORT

Section 837-a and 837-b of the Executive Law contain provisions requiring every District Attorney, as well as other criminal justice agencies, in New York State to submit such information to the Division of Criminal Justice Services as may be necessary for the Division to comply with statistical reporting requirements of the law.

B. REPORTING PROCEDURES

- 1. This is a two-part reporting system reporting action of the Grand Jury and information concerning defendants prosecuted in Superior Courts (County Courts and Supreme Courts):
 - a. Submit Page 1 "Defendant/Grand Jury Information" for each defendant acted upon by the Grand Jury or who has had a Superior Court Information filed, within 10 days after such action.
 - b. Submit Page 2 "Prosecution Information" for each defendant indicted or charged in a Superior Court Information filing, within 10 days after either of the following:

 - (1) Final disposition, if not convicted.
 (2) Pronouncement of Sentence, if convicted.
 - c. When "Prosecution Information" becomes available for defendants indicted prior to September 1, 1973, submit Pages 1 and 2 together.
 - d. For cases Dismissed, Referred to a Lover Court by the Grand Jury, or Removed to family Court, only Page 1 need be submitted. Page 2 should be destroyed for such cases.
- 2. The unit of count is the Defendant-Indictment. When several defendants are named in one proceeding or indictment, a separate form should be completed for each defendant. When one defendant is named in multiple proceedings or indictments; a separate form should be completed for the defendant for each proceeding or indictment.
- 3. When an indictment contains multiple charges against the defendant, always report the highest class charge, as indicated in the Penal Law.

 If two or more offenses have the same classification, refer to the following Priority Sequence of Offenses:

1. PL ART 125	6. FL ART 220	10. PL ART 150
2. PL ART 135	In cases of multiple charges for controlled substances of the same	11. PL ART 155
3. PL ART 130	class, charges for sale of a con- troiled substance take precedence	12. PL ART 165
4. PL ART 160	over possession of controlled sub-	13. PL ART 145
5. PL ART 120	stance.	14. PL ART 200
	7. PL ART 221	15. PL ART 225
	8. PL ART 265	16. Other PL ART in numerical sequence.
	9. PL ART 140	17. Charges listed under other law titles.

^{4.} When an indictment includes a violent felony, armed felony or Juvenile offense the shaded items must be completed.

C. DATA REQUIREMENTS

-		
	ITEM NO. 1	- Enter your two digit county code and the name of your county.
	ITEM HO. 2,5,6,9	- These items are completed only when:
		 an indictment or Superior Court Information is filed against the defendant or; the Grand Jury dismissed the charges against the defendant and files a finding of dismissal with the court or; the Grand Jury directs the District Attorney to file, in a local criminal court, a prosecutors information charging the defendant with an offense other than a felony or; the case is removed to Family Court by the Grand Jury.
	ITEM NO. 4	- The NYSID No. should always be reported. Enter (on page 2) when completing the Prosecution Information if not available at the time Part A is Completed.
	ITEM NO. 7	- When the defendant is arrested after the Indictment or Information is filed, complete this item when the Prosecution Information (page 2) is submitted.
	ITEM NO. 13 & 14	- When there is only one victim enter the victim data in item 13.
•	ITEM NO. 15	- Complete only if item no. 9 is not a violent felony and there is a violent felony contained in the action.
	ITEM NO. 22	- For NYC District Attorney Offices Only: When cases are transferred to the Special Narcotic Part (SNP) for prosecution: a) Complete Page 1 of the form. b) Submit the completed Page 1 to DCJS. c) Forward Pages 2 and 3 of the form to the SNP Prosecutor with case papers and item 22 checked. d) The SNP Prosecutor should complete Part 8 "Prosecution Information" and submit Page 2, according to B.1.b ab we.
	ITEM NO. 24	- Enter the number or letters which identify the part number or letter of the court in which the case was disposed.
	ITEM NO. 25	- When the Violent Felony Charge final disposition type is <u>different</u> than the final disposition checked in item 17, enter the letter of the item no. 17 that <u>does</u> apply.
	ITEM NO. 30	- Specify the minimum and maximum terms of the sentence in years, months and days. If the maximum term is life, enter as "Life". For sentences of intermittent imprisonment, enter the duration of the term, not the number of days to be spent in confinement. Also indicate whether this sentence involves only the one sentence (single) or whether it runs concurrently or consecutively with sentences on other indictments.
	1TEM NO. 32,33,34	- Complete these items only if Item no. 27 is not a violent felony and there is a violent felony conviction.
	ITEM NO. 33	- Use the letter prefix from item no. 29 which describes the sentence imposed.
	ITEM NO. 34	- Use the letter prefix from item no. 31 which describes the conditions of sentence, if any.
•	OPTIONAL DATA ELEMENTS	

D.

- For use by DA Offices, for internal purposes. These items are designed for use by District Attorney Offices which desire special statistical reports regarding cases handled by particular ADA's or judges.
- An internal code identifying individual ADA's. The ADA identifier code should consist of no wore than three characters, such as 00', 027, 078. A separate code should be assigned for each ADA in the DA's office. The name of the ADA should not be reported to DCJS. ITEM NO. 19
- ITEH NO. 20 - An internal code identifying the presiding judge. Should be entured and used in the same manner as item no. 19.

Appendix C

VIOLENT FELONY OFFENSES (PL 70.02)*

Class B Violent Felony Offenses:

- An attempt to commit the class A-I felonies of: 1. PL 125.25 Murder 2°

 - PL 135.25 Kidnapping 1°
 - PL 150.20 Arson 1°
- The crimes of:
 - PL 120.11 Aggravated Assault on a Peace Officer¹
 - PL 125.20 Mans laughter 1° PL 130.35 Rape 1°

 - PL 130.50 Sodomy 1° 4.
 - PL 130.70 Aggravated Sexual Abuse²
 - PL 135.20 Kidnapping 2° 6.
 - PL 140.30 Burglary 1° 7.
 - PL 150.15 Arson 2°
 - 9. PL 160.15 - Robbery 1°
 - PL 265.04 Criminal Possession of a Dangerous Weapon 1° 10.
 - PL 265.09 Criminal Use of a Firearm 1°3

Class C Violent Felony Offenses:

- A. An attempt to commit any of the Class B violent felony offenses
- The crimes of:
 - PL 120.10 Assault 1°
 - PL 140.25 Burglary 2°
 - PL 160.10 Robbery 2°
 - PL 265.03 Criminal Possession of a Weapon 2°
 - PL 265.08 Criminal Use of a Firearm 2°4

4 Ibid.

^{*} The following violent felony offenses were enacted under Chapter 481 of the New York State Laws (effective September 1, 1978) unless otherwise noted.

 $[\]frac{1}{2}$ New York State Laws, Chapter 233, effective August 12, 1980.

² New York State Laws, Chapter 583, effective September 1, 1980. 3 New York State Laws, Chapter 233, effective August 12, 1980.

Class D Violent Felony Offenses:

- A. An attempt to commit any of the Class C violent felony offenses
- B. The crimes of:

PL 120.05 - Assault 2°

PL 130.65 - Sexual Abuse 1°

- 3. PL 265.02 (4)&(5) Criminal Possession of a Weapon $3^{\circ 5}$
 - Possession of any loaded firearm outside such person's home or place of business;

b. Possession of twenty or more firearms;

c. Possession of any firearm outside home or place of business, and having been convicted of a felony or class A misdemeanor within five years immediately preceding the commission of the offense.

4. PL 265.12 - Criminal Sale of a Firearm 1°6

Class E Violent Felony Offenses:

An attempt to commit Criminal Possession of a Weapon 3°-PL 265.02 (4)&(5) (see above 3a-c) if plead guilty to as a lesser included offense of the substantive crime.

Other Offenses Included as VFO's*

Class A-1 Offenses:

- The crimes of:
 - 1. PL 125.27 Murder 1° 2. PL 125.25 Murder 2°

 - 3. PL 135.25 Kidnapping 1°
 - 4. PL 150.20 Arson 1°

⁵ Ibid.

⁶ Ibid.

⁷ Ibid.

^{*} Not designated as VFO's, but are included as such for statistical purposes.

FIREARM/ARMED FELONY OFFENSE (PL 70.02)

Class B Firearm/Armed Felony Offenses:

- A. The crimes of:
 - 1. PL 120.11 Aggravated Assault on a Peace Officer
 - 2. PL 140.30 (1) & (4) Burglary 1°
 - 3. PL 160.15 (2) & (4) Robbery 1°
 - 4. PL 265.04 Criminal Possession of a Dangerous Weapon 1°
 - 5. PL 265.09 (1) & (2) Criminal Use of a Firearm 1°

Class C Firearm/Armed Felony Offenses:

- A. An attempt to commit any of the Class B firearm/armed felony offenses.
- B. The crimes of:
 - 1. PL 120.10 (1) Assault 1°
 - 2. PL 140.25 (1a) & (1d) Burglary 2°
 - 3. PL 160.10 (2b) Robbery 2°
 - 4. PL 265.03 Criminal Possession of a Weapon 2°
 - 5. PL 265.08 (1) & (2) Criminal Use of a Firearm 2°

Class D Firearm/Armed Felony Offenses:

- A. An attempt to commit any of the Class C firearm/armed felony offenses
- B. The crimes of:
 - 1. PL 120.05 Assault 2°
 - 2. PL 265.02 (4) & (5) Criminal Possession of a Weapon 3°
 - 3. PL 265.12 Criminal Sale of a Firearm 1°

Class E Firearm/Armed Felony Offenses:

A. An attempt to commit Criminal Possession of a Weapon 3° - PL 265.02 (4) & (5) if plead guilty to as a lesser included offense of the Substantive Crime.

-116-Violent Felonies Lowest Permissable Charge Reductions and Pleas

			•
Offense Charge	Pre-Felony Hearing	Post-Felony Hearing	Post-Indictment
Class A Felony Other than PL 220	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Must Plead to a Class C Violent Felony
Class B Armed Violent Felony	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Must Plead to a Class C Violent Felony
Other Class B Violent Felony	Reduced to Misdemeanor Interest of Justice with D.A. consent	Reduced to Misdemeanor Interest of Justice with D.A. consent	Must Plead to a Class D Violent Felony
Class C Armed Violent Felony	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Must Plead to a Class D Violent Felony
Other Class C Violent Felony -	Reduced to Misdemeanor Interest of Justice with D.A. consent	Reduced to Misdemeanor Interest of Justice with D.A. consent	Must Plead to a Class D Violent Felony
Class D Violent Felony of PL 265.12 and PL 265.02 (5)	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Must Plead to a Class E Violent Felony
Class D Violent Felony of PL 265.02 (4)	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Must Plead to a Class E Violent Felony Except if No Prior Felony (10 yrs.) or a Misdemeanor (5 yrs.) Conviction, than Plea to a Misdemeanor of PL 265.01
Other Class D Armed Violent Felony	No Reduction to Misdemeanor	No Reduction to Misdemeanor	Can Plead to Misdemeanor except if Predicate Felon than Class E Felony
Other Class D Violent Felony	Reduced to Misdemeanor interest of justice consent of D.A.	Reduced to Misdemeanor interest of justice consent of D.A.	Can Plead to Misdemeanor except if predicate felon than Class E Felony

SENTENCING

VIOLENT FELONY OFFENDERS - PL 70.02 (NO PRIOR VIOLENT OR FELONY OFFENSE CONVICTIONS)

Class B Violent Felony Offenses

- A. For all attempted class A-I violent felony offenses; class B violent felony offenses
 - 1. Mandatory indeterminate sentence of imprisonment:

 Minimum term One third of the maximum term imposed

 (in armed violent felony offense convictions, the minimum can also be one third to one half the maximum)

 Maximum term Between six years and twenty-five years;

 The amount of time must be specified in the sentence.

Class C Violent Felony Offenses

- A. For all attempted class B violent felony offenses; class C violent felony offenses
 - Mandatory indeterminate sentence of imprisonment:
 Minimum term One third of the maximum term imposed
 Maximum term Between four and one half years and fifteen years;
 The amount of time must be specified in the sentence.

Class D Violent Felony Offenses

- A. For all attempted class C violent felony offenses; sexual abuse 1° PL 130.65; or Assault 2° PL 120.05: If plea entered in satisfaction of "armed felony" indictment and no mitigating factors are found.
 - Mandatory indeterminate sentence of imprisonment:
 Minimum term One year to one third of the maximum term imposed
 Maximum term Between three years and seven years;
 The amount of time must be specified in the sentence.
- B. For attempted assault 1° PL 120.10; assault 2° PL 120.05 not covered by A. One of the following options:
 - Indeterminate sentence of imprisonment as per A 1.
 - 2. Definite sentence up to one year.
 - 3. Intermittent sentence up to one year.

- C. For all attempted class C violent felony offenses; sexual abuse 1° -PL 130.65 not covered by A or B. One or the following options:
 - Indeterminate sentence of imprisonment as per A 1.
 - 2. Definite sentence up to one year.
 - 3. Intermittent sentence up to one year.
 - 4. Intermittent up to 4 months or 6 months plus probation or conditional discharge.
 - 5. Probation (5 years).
 - 6. Conditional discharge (3 years).
 - 7. Unconditional discharge.
- D. For criminal possession of a weapon 3° PL 265.02 (4) & (5); criminal sale of a firearm 1° PL 265.12

One or the the following options:

1. Indeterminate sentence of imprisonment as per A 1.

Definite sentence of one year;

- 3. When the court finds mitigating factors in the case¹ for a defendant with a prior felony or A misdemeanor conviction within the past five years immediately preceding the commission of the offense or the court finds the sentence to be unduly harsh for a defendant with no such conviction, than one of the following options:
 - a. Conditional discharge (3 years).

b. Five years probation.

- c. Intermittent sentence to four months or six months plus probation or conditional discharge.
- d. Definite sentence of less than one year.
- e. Unconditional discharge.

Class E Violent Felony Offenses

- A. For attempted criminal possession of a weapon 3° PL 265.02 (4)&(5) One or more of the following:
 - Indeterminate sentence of imprisonment:
 Minimum term One year to one third of the maximum term imposed
 Maximum term Between three years and four years;
 The amount of time must be specified in the sentence.
 - 2. See class D violent felony offense sentencing guidelines under criminal possession of a weapon 3° PL 265.02 (4)&(5): C1-3 above.

¹See mitigating circumstance section in this report for further information

SENTENCING

SECOND VIOLENT FELONY OFFENDERS - PL 70.04 (ONE PRIOR VIOLENT FELONY OFFENSE CONVICTION)

Class B Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - One half the maximum term imposed
Maximum - Between twelve years and twenty-five years;
The amount of time must be specified in the sentence.

Class C Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - One half the maximum term imposed
Maximum - Between eight years and fifteen years;
The amount of time must be specified in the sentence.

Class D Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - One half the maximum term imposed
Maximum - Between five years and seven years;
The amount of time must be specified in the sentence.

Class E Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment: Minimum - One half the maximum term imposed Maximum - At least four years; The amount of time must be specified in the sentence.

SENTENCING

PERSISTENT VIOLENT FELONY OFFENDERS - PL 70.08 (TWO OR MORE PRIOR VIOLENT FELONY OFFENSE CONVICTIONS)

Class B Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - Between ten years and twenty-five years
Maximum - Life;
The amount of time must be specified in the sentence.

Class C Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - Between eight years and twenty-five years
Maximum - Life;
The amount of time must be specified in the sentence.

Class D Violent Felony Offenses

A. Mandatory indeterminate sentence of imprisonment:
Minimum - Between six years and twenty-five years
Maximum - Life;
The amount of time must be specified in the sentence.

GENERAL REFERENCE GUIDE TO OTHER SENTENCING PROVISIONS APPLICABLE TO CONVICTIONS

- Youthful Offender see PL Section 60.02.
- 2. First Felony Offender see PL Section 60.05, 60.01, 70.00.
- 3. Second Felony Offender see PL Section 60.05, 70.06.
- 4. Persistent Felony Offender see PL Section 60.05, 70.10.
- 5. Misdemeanor Convictions see PL Section 60.01 and 60.11.