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BY THE COMPTROLLER GENERAL

Report To The Congress

OF THE UNITED STATES

Fraud In Government Programs:

- -- How Extensive Is It?
- -- How Can It Be Controlled?

Volume III

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities against the Government at 21 Federal agencies. In volume I (AFMD-81-57), GAO discussed overall findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data GAO collected. This volume provides fraud profiles for each agency based on statistical data on over 77,000 fraud cases covering a 2-1/2 year period.



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COMPTROLLER GENERAL OF THE UNITED STATES

WASHINGTON D.C. 20548

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ACQUISITIONS

B-201976

To The President of the Senate and the Speaker of the House of Representatives

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities affecting 21 Federal agencies during the 2-1/2 years from October 1, 1976, through March 31, 1979. In volume I (AFMD-81-57) we discussed the overall results of our work including our findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data we collected.

This volume provides fraud profiles for each agency based on data we collected from a statistical sample of 3,227 fraud cases identified by Federal agencies over the 2-1/2 year period. The sample represents a total universe of 77,211 cases. We believe these data will be useful to people especially interested in the activities of individual agencies. To facilitate the use of this volume, we have printed the pages on tearsheets.

Please be cautious in using these data. We did not attempt to evaluate the investigative procedures used or the evidence developed. Also, we did not independently develop lists of fraud cases, but selected our sample from lists of cases provided by each agency. Thus, conclusions that one agency has better fraud detection systems than another because it discovered more cases are not necessarily valid. It also would be incorrect to conclude that because one agency has identified more fraud than another it is more prone to fraud, less well managed, or otherwise not fulfilling its responsibilities. If the number of fraud cases at any agency seems high, it may be because the agency is more conscious of fraud and abuse occurring in its programs and is more alert in detecting and investigating it than other agencies It might also mean that the programs or activities of some agencies are more susceptible to fraud than those of other agencies.

Copies of this report are being sent to the Director of the Office of Management and Budget and the heads of the agencies covered in the study.

Comptroller General of the United States

Charles A. Bowsker

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 $(x_{ij},x_{ij}) = \frac{1}{2} (x_{ij},x_{ij}) + \frac{1}{2} (x_{ij},x_{ij})$

Department of Agriculture

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	4/	statistical arrivation of data callocated and		le of 3 227 cases representing a

statistical projection of data collected on a sample of 3,227 cases representing a universe of 77,211. In some instances the figures in this report do not add to the totals presented due to rounding and weighting of the data.

Federal Covernment finan-	83_ rederal employee suspended
cial assistance	55 Federal employee issued
829 Individual recipient(s) of	warning letter
Federal Government finan-	federal employee issued letter of counseling
cial assistance	o_ Federal employee issued
663 Other individual citizens	oral warning
6.360 Other corporate or business	○ Federal employee demoted
entities (such as lending	
institutions)	ferred
28 Unknown	Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(s) of	Employee resigned pending
Federal Covernment finan-	dismissal
cial assistance	Other
Federal contractor(s)/	O Unknown
grantee(s) personnel -	
(other than state and	Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations.
of Federal Government	state and local governments, etc.)
_financial assistance	or individuals. $\underline{a}/$
o Corporate recipients of	2,056 Suspended from doing busi-
Federal Government fi−	ness with the Federal
nancial assistance in	
collusion with indivi-	Government
dual recipient(s) of	o Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
28 Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	1,722 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	Formal loss recovery plan
federal Government fi-	agreed to
nancial assistance	975 No action taken
0 Chaba a C C a d	55 Negotiating reimbursement O Declared inclinible for pro-
9. Status of fraud cases.	
7,939 Closed (Includes cases	gram participation under status claimed
officially closed by	
the agency)	employment/enlistment de-
632 Open (Includes cases under	nied
investigation and cases in	83 Other
which some action may have	625 Unknown
been taken but the cases	
were not officially closed)	Type of legal action or prosecution
·····,	taken.
The following statistics are for	o_ Civil prosecution
cases officially closed by the	553 Criminal prosecution
agency.	o Civil and criminal prosecution
240	28 Pro-trial diversion
	o Court martial
10. Type of action taken against perpe-	
trator.	Outcome of civil proceedings.
4.736 Administrative action only	o_ Judgment for plaintiff
387 Legal action only	o Judgment for the defendant
193 Administrative and legal	<u> </u>
action	
1.841 Unknown	15. Outcome of criminal prosecution.
754 No action taken - sus-	(Excludes court martials)
pect identified	(=====================================
28 No action taken - sus-	o Acquittal
pect unknown	553 Conviction (guilty plea, nolo
Esse aminain	contendre)
N. Tomas C. A. A.	0 Other
11. Types of administrative action taken	
against Federal employees. p/	
_	4/ In many pages Foderel
No action taken	<u>a/</u> In many cases, Federal agencies took more than one type administrative
55 Federal employee dismissed	more than one type administrative action against those who commit fraud
	or other illegal acts. All actions taken are recorded here.
	caken are recorded here.

Department of Commerce

	6-11		The second of th
case	following statistics are for closed es and open cases where some admin-	5.	rence and discovery of the fraud-
	rative or legal action was taken or action was taken because no suspect		ulent activity.
cou]	ld be identified.		31 Less than 3 months
			43 3 to 6 months 12 6 months to one year
1.	Number of fraud or other illegal ac-		12 1 to 2 years
1.	tivity cases in the universe.		8 More than 2 years
	•		78 Unknown
	<u>184</u>	6.	How the alleged fraud was discov-
2.	functional areas in which fraud occurred.	•	ered.
	occurred.		20 Routine audit, inspec-
	4 Procurement - award		tion, investigation,
	Property disposition		or review
	8 Payroll		8 Specially requested
	<u>129</u> Grants		audit, inspection, or
	o Financial assistance to		review
	individuals (excludes		106 Federal employee (know-
	loans)		ledge gained through work)
	Enforcement (i.e. Customs, IRS)		O Former federal employee
	o Provision of health care		8 Contractor personnel
	or social services		8 Grantee personnel (ex-
	4 Loans		cludes state or local
	4 Loan guarantees		government personnel)
	8 Personnel	•	<pre>0 Former grantee personnel</pre>
	Inventory control/proper-		o Private individual (not
	ty management		former employee)
	Mail service		4 Informant Consumer complaint form
			O Consumer complaint form O Unsuccessful bidder
	4 Procurement - monitoring Travel		0 News media
	8 Administrative services		4 Congressional Committee
	Training and education		Member - Staff
	Personal property manage-		O_ Alleged victim
	ment		4 Confession by perpetrator
	o Other		O Law enforcement investi-
	O Unknown		gation - state & local
3.	Principal types of fraud		12 Other Unknown
٦.			12 UIKIUWII
	Work hour abuses	7.	Estimate of amount of loss incurred
			by Federal Government
	frogery 4 Forgery		
	Q Kickbacks/Bribes		8 Less than \$100
	False statements		8 \$101 - \$1,000
	O Non-performance of con-		8 \$1,001 - \$10,000 8 \$10,001 - \$100,000
	tract terms		8 \$10,001 - \$100,000 4 \$100,001 - \$500,000
	12 Theft		0 \$500,001 - \$1 million
	4 Extortion		O Over \$1 million
	o Food Stamp irregulari-		12 Monetary loss - amount not
	ties 4 Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.	The period of time over which the	٠.	lent activity.
	alleged fraudulent activity took		
	place.		31 Federal Government employ-
	Less than 3 months		ees alone and with others
	39 3 to 6 months		4 State and local government
	12 6 months to one year		employees
	8 1 to 2 years		133 Federal contractor(s)/grant-
	4 More than 2 years		ee(s) personnel - other tha
	94 Unknown		state and local governments

8 corporate recipient(s) of	
Federal Government finan-	Federal employee issued
cial assistance	warning letter
Individual recipient(s) of	o_ Federal employee issued
federal Government finan-	letter of counseling
cial assistance	o Federal employee issued
4_ Other individual citizens	oral warning
4 Other corporate or business	o Federal employee demoted
entities (such as lending	Federal employee trans-
institutions)	ferred
o Unknown	F 1
State and local government	
employees in collusion with	agreed to
	O Extra duty (military)
individual recipient(s) of	o Employee resigned pending
Federal Government finan-	dismissal
cial assistance	<u>o</u> Other
o Federal contractor(s)/	4_ Unknown
grantee(s) personnel -	··
(other than state and	Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
	state and local source
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. <u>a</u> /
o Corporate recipients of	o Suspended from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance in	Head Attl the Ledetat
collusion with indivi-	Government
dual recipient(s) of	O Debarred from doing busi-
Federal Covernment fi-	ness with the Federal
nancial assistance	Government
· · · · · · · · · · · · · · · · · · ·	
o Other corporate or busi-	4 Contract/grant cancelled
ness entities (such as	31 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	O Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	8 No action taken
	4 Negotiating reimbursement
9. Status of fraud cases.	43 Declared ineligible for pro-
7. Status of Fraud Cases.	gram participation under
161 Classed (Taskedon assess	status claimed
161 Closed (Includes cases	
officially closed by	
the agency)	employment/enliatment de-
23 Open (Includes cases under	_nied
investigation and cases in	4_Other
which some action may have	20 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
,,	teken.
*. *	o Civil prosecution
The following statistics are for	
cases officially closed by the	· · · · · · · · · · · · · · · · · · ·
agency.	Civil and criminal prosecutio
	O Pre-trial diversion
	o Court martial
10. Type of action taken against perpe-	
trator.	Outcome of civil proceedings.
110 Administrativa anti	<u>o</u> Judgment for plaintiff
Administrative action only	O Judgment for the defendant
O Legal action only	0 Unknown
Administrative and legal	- OIKIDEII
action	
<u>31</u> Unknown	Outcome of criminal prosecution.
16 No action taken - sus-	(Excludes court martials)
pect identified	(marcially
O No action taken - sus-	o Acquittal
pect unknown	4 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	O Other
Against Federal analysis	
against Federal employees.	
	a/ In many pages Fodorel econoles hards
No action taken	a/ In many cases, Federal agencies took
Federal employee dismissed	more than one type administrative
_ , ,	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

Community Services Administration

case istr no a	following statistics are for closed es and open cases where some admin- eative or legal action was taken or action was taken because no suspect	5.	The period of time between occurrence and discovery of the fraudulent activity. 6 Less than 3 months
coul	d be identified.		6 Sto 6 months
			6 6 months to one year
,	N 1 of found on other illegal on		13 1 to 2 years
1.	Number of fraud or other illegal ac-		21 More than 2 years
	tivity cases in the universe.		18 Unknown
	<u>70</u>		
		6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		
			Routine audit, inspec-
	<pre>4 Procurement - award</pre>		tion, investigation,
	Property disposition		or review
	3 Payroll		3 Specially requested
	35 Grants		audit, inspection, or
	2 Financial assistance to		review
	individuals (excludes		20 Federal employee (know-
	loans)		ledge gained through
	O Enforcement (i.e. Customs,		work)
	IRS)		O Former federal employee
	O Provision of health care		Contractor personnel
	or social services		17 Grantee personnel (ex-
	O Loans		cludes state or local
	O Loan quarantees		government personnel)
	10 Personnel		9 Former grantee personnel
	2 Inventory control/proper-		5 Private individual (not
	ty management		former employee)
			1 Informant
			O Consumer complaint form
			0 Unsuccessful bidder
	Procurement - monitoring		5 News media
	5 Travel		4 Congressional Committee
	O Administrative services		Member - Staff
	o Training and education		O Alleged victim
			Confession by perpetrator
	0))		O Law enforcement investi-
			qation - state & local
	<u>o</u> Unknown		0.1
7	Ontroduct Avenue of Francis		4 Uther Unknown
٦,	Principal types of fraud		- UNKNOWN
	4 Work hour abuses	7	Estimate of amount of loss insurpod
	O Private use of government	7.	
	property		by Federal Government
	O Forgery		7
	5 Kickbacks/Bribes		1 Less than \$100
	8 False statements		\$101 - \$1,000
	23 Non-performance of con-		7 \$1,001 - \$10,000
	tract terms		\$10,001 - \$100,000
	6 Theft		6 \$100,001 - \$500,000
	1 Extortion		2 \$500,001 - \$1 million
	Fund Charm innoculous		1 Over \$1 million
	ties		21 Monetary loss – amount not
	23 Miscellaneous fraud		available
	The continuous of such	0	0 -1: :
1.	The contract of the contract that	8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		0 [-4] (
	place.		8 Federal Government employ-
	13 Less than 3 months		ees alone and with others 1 State and local government
	2 3 to 6 months		government
	6 6 months to one year		employees
	1 to 2 years		61 Federal contractor(s)/grant-
	23 More than 2 years		ee(s) personnel - other than
	16 Unknown		state and local governments

		_ Corporate recipient(s) of			ed
		Federal Government finan-		2 Federal employee issued	
	_	cial assistance		warning letter	
	0	_ Individual recipient(s) of		Federal employee issued	
		Federal Government finan-		letter of counseling	
		cial assistance		O Federal employee issued	
	0	Other individual citizens		oral warning	
	σ	Other corporate or business		6 Federal employee demoted	
		entities (such as lending		7 Federal employee trans-	
		institutions)		ferred	
	0	Unknown		1 Formal loss recovery pla	n
	0	State and local government		agreed to	
		employees in collusion with		<pre>0 Extra duty (military)</pre>	
		individual recipient(s) of		0 Employee resigned pending	0
		Federal Government finan-		dismissal	9
		cial assistance		1 Other	
	0	Federal contractor(s)/		2 Unknown	
		grantee(s) personnel -			
			12	. Types of administrative action tak	en
		(other than state and	12	against non-federal government or-	
		local government(s))		ganizations (contractors, corpora-	
		in collusion with in-		tions, non-profit organizations,	
		dividual recipient(s)		state and local governments, etc.)	
		of Federal Government			
	0	financial assistance		or individuals. <u>a</u> /	
		Corporate recipients of		5 Suspended from doing bus	i -
		Federal Government fi-		ness with the Federal	
		nancial assistance in		6	
		collusion with indivi-		Government	
		dual recipient(s) of		O Debarred from doing busi-	
		Federal Government fi-		ness with the Federal	
		nancial assistance		Government	
	0	Other corporate or busi-		8_ Contract/grant cancelled	
		ness entities (such as		17 Issued warning and they a	
		lending institutions)		greed to take corrective	
		in collusion with indi-		action	
		vidual recipient(s) of		6 formal loss recovery plan	
		Federal Government fi-		agreed to	
		nancial assistance		5 No action taken	
9.	Status o	of fraud cases.		O Declared ineligible for p	ro-
<i>,</i> ,	303000			gram participation under	
	48	Closed (Includes cases		status claimed	
		officially closed by		o Employee/enlistee dismiss	ed;
		the agency)		employment/enlistment de	-
	22	Open (Includes cases under		nied	
		investigation and cases in		1 Other	
		which some action may have		7 Unknown	
		been taken but the cases			
		were not officially closed)	13	. Type of legal action or prosecutio	n
	•	were not bivicially election		taken.	
				O Civil prosecution	
		ing statistics are for		2 Criminal prosecution	
cas	es offi	cially closed by the		Civil and criminal prosec	ution
вge	ncy.			O Pro-trial diversion	
				O Court martial	
				5500 0 1000 0 1000	
10.		action taken against perpe-	14	. Dutcome of civil proceedings.	
	trator.		14	. Odecome of errir proceedings.	
				O Judgment for plaintiff	
	40	_ Administrative action only		0 Judgment for the defender	, t
		_ Legal action only		0 Unknown	
	2	_ Administrative and legal		- Ulkilowii	
		action			
	0	Unknown	15	. Outcome of criminal prosecution.	
	6	No action taken - sus-		(Excludes court martials)	
		pect identified			
	0	No action taken - sus-		O Acquittal	
		pect unknown		2 Conviction (guilty plea,	nolo
		·		o contendre)	
				Other	
11.	Types o	f_administrative action taken			
	against	Federal employees. <u>a</u> /			
	•		., /	In many cases, Federal agencies tool	Ŀ
	1	_ No action taken	3/	more than one type administrative	•
	2	Federal employee dismissed			uzi
		•		action against those who commit from	
				or other illegal acts. All actions	
				taken are recorded bere.	

Department of Defense 1/

case istr no a	following statistics are for closed es and open cases where some adminative or legal action was taken or action was taken because no suspect d be identified.	5.	The period of time between occur- rence and discovery of the fraud- ulent activity. O Less than 3 months
1.	tivity cases in the universe.		2 3 to 6 months 1 6 months to one year 1 1 to 2 years 1 More than 2 years 0 Unknown
	<u>5</u>	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud occurred.	0.	ered.
	o Procurement - award Property disposition Payroll Grants Financial assistance to individuals (excludes		O Routine audit, inspection, investigation, or review 3 Specially requested audit, inspection, or review 2 Federal employee (know-
	loans) Enforcement (i.e. Customs, IRS)		ledge gained through work) 0 Former federal employee
	Provision of health care or social services Loans O Loan quarantees		Contractor personnel Grantee personnel (excludes state or local government personnel)
	2 Personnel		o Former grantee personnel Private individual (not former employee)
	0 Mail service Cash control Procurement - monitoring Travel		0 Informant 0 Consumer complaint form 0 Unsuccessful bidder 0 News media
	O Administrative services O Training and education Personal property manage-		O News media Congressional Committee Member - Staff O Alleged victim
	ment 0 Other Unknown		0 Confession by perpetrator 0 Law enforcement investi- quation - state & local
3.			Other Unknown
	O Work hour abuses Private use of government property	7.	Estimate of amount of loss incurred by Federal Covernment
	0 Forgery 0 Kickbacks/Bribes		0 Less than \$100 2 \$101 - \$1,000
	false statements Non-performance of contract terms Theft		1 \$1,001 - \$10,000 0 \$10,001 - \$100,000 0 \$100,001 - \$500,000
	0 Extortion 0 Food Stamp irregulari- ties		0 \$500,001 - \$1 million Over \$1 million 1 Monetary loss - amount not available
	4 Miscellaneous fraud	۵	
4.	The period of time over which the alleged fraudulent activity took	8.	Participants in the alleged fraudu- lent activity.
	place. 3 Less than 3 months 3 to 6 months		2 Federal Government employ- ees alone and with others 0 State and local government
	0 6 months to one year 1 1 to 2 years 0 More than 2 years		employees o Federal contractor(s)/grant ee(s) personnel - other th
	0 Uriknown		state and local government

 $[\]frac{1}{These\ cases\ were\ investigated\ by\ the\ Defense\ Investigative\ Service\ and\ involved\ activities\ under\ the\ direct\ responsibility\ of\ DOD\ rather\ than\ an\ individual\ service.}$

	Corporate recipient(s) of Federal Covernment finan- cial assistance Individual recipient(s) of Federal Covernment finan- cial assistance Other individual citizens Other corporate or business entities (such as lending institutions) Unknown State and local government employees in collusion with individual recipient(s) of Federal Covernment finan- cial assistance	federal employee suspended federal employee issued warning letter federal employee issued letter of counseling federal employee issued oral warning federal employee demoted federal employee trans- ferred formal loss recovery plan agreed to Extra duty (military) femployee resigned pending dismissal Other
	Federal contractor(s)/ grantee(s) personnel -	o_Unknown
	(other than state and local government(a)) in collusion with individual recipient(s) of Federal Government financial assistance Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of	12. Types of administrative action taken against non-federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/
	Federal Covernment fi-	ness with the Federal
	nancial assistance Other corporate or busi- ness entities (such as lending institutions) in collusion with indi- vidual recipient(s) of federal Covernment fi- nancial assistance	Government O Contract/grant cancelled Issued warning and they agreed to take corrective action Formal loss recovery plan agreed to No action taken Negotiating reimbursement
9.		O Negotiating reimbursement O Declared ineligible for pro- gram participation under status claimed
	Closed (Includes cases officially closed by the agency) 1 Open (Includes cases under investigation and cases in which some action may have	0 Employee/enlistee dismissed; employment/enlistment de- nied 0 Other Unknown
	been taken but the cases were not officially closed)	 Type of legal action or prosecution taken.
C 8 8	following statistics are for ea officially closed by the ncy.	O Civil prosecution O Criminal prosecution O Civil and criminal prosecution O Pro-trial diversion O Court martial
10.	Type of action taken against perpetrator.	14. Outcome of civil proceedings.
	3 Administrative action only 0 Legal action only 0 Administrative and legal action	<pre>0 Judgment for plaintiff 0 Judgment for the defendant Unknown</pre>
	Unknown No action taken - sus- pect identified	 Outcome of criminal prosecution. (Excludes court martials)
	O No action taken - sus- pect unknown	
11.	Types of administrative action taken against Federal employees. 4/	2
	0 No action taken 1 Federal employee dismissed	In many cases, federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

Defense Logistics Agency

case istr	following statistics are for closed as and open cases where some admin- ative or legal action was taken or	5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
	action was taken because no suspect d be identified.		492 Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		33 3 to 6 months 14 6 months to one year 28 1 to 2 years 44 More than 2 years
	•		2.7 Unknown
•	639	6.	How the alleged fraud was discovered.
۷٠	Functional areas in which fraud occurred.		
	25 Procurement - award Property disposition Payroll OFFICE CONTROL OF Financial assistance to		38 Routine audit, inspection, investigation, or review 30 Specially requested audit, inspection, or review
	individuals (excludes loans) Enforcement (i.e. Customs,		429 Federal employee (know- ledge gained through work)
	IRS) O Provision of health care or social services O Loans		0 Former federal employee 52 Contractor personnel 0 Grantee personnel (excludes state or local
			government personnel) order grantee personnel Private individual (not
	ty management Mail service Cash control Procurement - monitoring		former employee) 41 Informant Consumer complaint form Unsuccessful bidder
	6 Travel 3 Administrative services Training and education		0 News media 0 Congressional Committee Member - Staff
	O Personal property manage- ment O Other		11 Alleged victim Confession by perpetrator Law enforcement investi-
3.	O Unknown Principal types of fraud		gation - state & local 3 Other Unknown
	6 Work hour abuses Private use of government property	7.	Estimate of amount of loss incurred by Federal Government
	o Forgery 11 Kickbacks/Bribes 31 False statements 45 Non-performance of con-		125 Less than \$100 202 \$101 - \$1,000 137 \$1,001 - \$10,000 17 \$10,001 - \$100,000
	tract terms 493 Theft 77 Extortion 6 Food Stamp irregulari-		6 \$100,001 - \$500,000 0 \$500,001 - \$1 million 0 Over \$1 million
	ties Miscellaneous fraud	0	Monetary loss - amount not available
4.	The period of time over which the alleged fraudulent activity took	8.	Participants in the alleged fraudu- lent activity. 131 Federal Covernment employ-
	place. 484 Less than 3 months 30 3 to 6 months		131 Tederal Government employ- ees alone and with others 0 State and local government employees
	19 6 months to one year 28 1 to 2 years 44 More than 2 years 33 Unknown		federal contractor(s)/grant- ee(s) personnel - other than state and local governments

federal Government finan-	
cial assistance	Federal employee issued
O Individual recipient(s) of	warning letter
Federal Government finen-	O Federal employee issued
cial assistance	letter of counseling
25 Other individual citizens	6 Federal employee issued
O Other corporate or business	oral warning
entities (such as lending	5 Federal employee demoted 8 Federal employee trans-
institutions)	
384 Unknown	ferred
O State and local government	Formal loss recovery plan agreed to
emplayees in collusion with	o Extra duty (military)
individual recipient(s) of	O Employee resigned pending
Federal Covernment finan-	dismissal
cial assistance	Other
Federal contractor(s)/	
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. \underline{a} /
O Corporate recipients of	-
Federal Covernment fi-	3 Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(s) of	25_ Debarred from doing busi-
Federal Covernment fi-	ness with the Federal
nancial assistance	Government
O Other corporate or busi-	6 Contract/grant cancelled
ness entities (such as	14 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	44 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	74 No action taken
	O Negotiating reimbursement
Status of fraud cases.	O Declared ineligible for pro-
	gram participation under
630 Closed (Includes cases	status claimed
officially closed by	O Employee/enlistee dismissed;
the agency)	employment/enlistment de-
9 Open (Includes cases under	nied
investigation and cases in	3 Other
which some action may have	<u> </u>
been taken but the cases	17 Type of level action
were not officially closed)	 Type of legal action or prosecution taken.
	Caken.
75. 6.33	o Civil properties
The following statistics are for	O Civil prosecution41 Criminal prosecution
cases officially closed by the	
agency.	o Pre-trial diversion
	5 Court martial
10. Type of action taken against perpe-	Court marcial
tretor.	14. Outcome of civil proceedings.
crocor,	14. Succome or civil proceedings.
106 Administrative action poly	o Judgment for plaintiff
	O Judgment for the defendant
30 Administrative and legal	Unknown
action	
36 Unknown	No. Outcome of the second
61 No action taken - sus-	15. Outcome of criminal prosecution.
pect identified	(Excludes court martials)
382 No action taken - sug-	A = 211 ×
pect unknown	3 Acquittal
pecc unknown	44 Conviction (guilty plea, nolo
_	contendre)
11. Types of administrative action taken	o Other
against Federal employees.	
=	
41 No action taken	<u>a</u> ∕ In many cases, Federal agencies took
14 Federal employee dismissed	more than one type administrative
, ,, - 3.5 3.5 3.7 3.7 3.7 3.7 3.7 3.7 3.7 3.7 3.7 3.7	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

Department of the Army

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect			The period of time between occur- rence and discovery of the fraud- ulent activity.	
could be identified.			14,464 Less than 3 months	
1.	Number of fraud or other illegal activity cases in the universe.		747 3 to 6 months 322 6 months to one year 119 1 to 2 years 164 More than 2 years 124 Unknown	
	<u>15,941</u> _	,	Here the alleged found was dispose	
2	Functional areas in which fraud	6.	How the alleged fraud was discovered.	
2.	occurred.		cred.	
	Procurement - award			
	196 Property disposition 422 Payroll Grants		296 Specially requested audit, inspection, or	
	o Grants financial assistance to individuals (excludes		review 6,974 Federal employee (know-	
	loans)		ledge gained through	
	IRS)		13 former federal employee Ocontractor personnel	
	or social services		© Grantee personnel (excludes state or local	
	O Loan guarantees		government personnel)	
	594 Personnel 6.182 Inventory control/proper-		O Former grantee personnel 587 Private individual (not	
	ty management 98 Mail service		former employee)	
	137 Cash control Procurement - monitoring		o Consumer complaint formo Unsuccessful bidder	
	494 Travel		0 News media 0 Congressional Committee	
	o Training and education		Member - Staff	
	6.539 Personal property manage- ment		6,951 Alleged victim 79 Confession by perpetrator	
	640 Other 85 Unknown		209 Law enforcement investi- gation - state & local	
7	Principal types of fraud		275 Other 79 Unknown	
3.			75 GINIDOIT	
		7.	Estimate of amount of loss incurred by Federal Government	
			<u>1,570</u> Less than \$100 <u>5,016</u> \$101 - \$1,000	
	False statements Non-performance of con-		1.630 \$1,001 - \$10,000	
	truct terms		53 \$10,001 - \$100,000 0 \$100,001 - \$500,000	
	12.932		<pre> \$500,001 − \$1 million</pre>	
	food Stamp irregulari- ties Miscellaneous fraud		705 Monetary loss - amount not available	
	1 108 Miscellaneous Fraud	8.	Participants in the alleged fraudu-	
4.	The period of time over which the alleged fraudulent activity took		lent activity.	
	place. 1 <u>4,873</u> Less than 3 months		5,530 Federal Government employ- ees .alone and with others	
	386 3 to 6 months		O State and local government	
	230 6 months to one year 66 1 to 2 years		employees 39 Federal contractor(s)/grant-	
	151 More than 2 years 235 Unknown		ee(s) personnel - other than state and local governments	

Endonal Country (8) of	<u>541</u> Federal employee suspended
Federal Government finan-	465 Federal employee issued
cial assistance	warning letter
26 Individual recipient(s) of	79 Federal employee issued
Federal Government finan-	letter of counseling
cial assistance	412 Federal employee issued
412 Other individual citizens	oral warning
85 Other corporate or business	1,266 Federal employee demoted
entities (such as lending	328 Federal employee trans-
institutions)	ferred
<u>9.845</u> Unknown	1,599 Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	809 Extra duty (military)
individual recipient(s) of	O Employee resigned pending
Federal Covernment'finan-	dismissal
cial assistance	_ · · · · · · · · · · · · · · · · · · ·
<pre>0 Federal contractor(s)/</pre>	
grantee(s) personnel -	359 Unknown
(other than state and	12 Types of administration action to
	12. Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
o Corporate recipients of	O Suspended from doing busi-
Federal Government fi-	ness with the federal
nancial assistance in	ness attn the tenstal
collusion with indivi-	Government
dual recipient(a) of	o Debarred from doing busi-
Federal Covernment fi-	ness with the federal
nancial assistance	Covernment
O Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	13 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	98 Formal loss recovery plan
	agreed to
federal Government fi-	
nancial assistance	
9. Status of fraud cases.	13 Declared ineligible for pro-
	gram participation under
15,843 Closed (Includes cases	_status claimed
officially closed by	<u>211</u> Employee/enlistee dismissed;
the agency)	employment/enlistment de-
98 Open (Includes cases under	nied
investigation and cases in	<u>°53</u> Other
which some action may have	<u>288</u> Unknown
been taken but the cases	
were not officially closed)	Type of legal action or prosecution
••••••••••••••••••••••••••••••••••••••	taken.
The following statistics are for	o Civil prosecution
acces officially aloned by the	333 Criminal prosecution
cases officially closed by the	O Civil and criminal prosecution
agency.	O Pre-trial diversion
•	698 Court martial
10 To	Confe marcial
10. Type of action taken against perpe-	14. Outcome of civil proceedings.
trator.	14. duccome of civil proceedings.
	0 1dama-4 51-1-4:55
3.329 Administrative action only	o Judgment for plaintiff
280 Legal action only	O Judgment for the defendant
750 Administrative and legal	O Unknown
action	
280 Unknown	Outcome of criminal prosecution.
1,456 No action taken - sus-	(Excludes court martials)
pect identified	
9,747 No action taken - sus-	182 Acquittal
pect unknown	
pocc anknown	848 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	o Other
against Federal employees.	
James Cool of Compleyees.	
1,429 No action taken	a/ In many cases, federal agencies took
	more than one type administrative
973 federal employee diamissed	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.
	caken are recorded nete.

Department of the Navy

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect		5.	rence and discovery of the fraud- ulent activity.
coul	d be identified.		15,343 Less than 3 months 848 3 to 6 months
1.	Number of fraud or other illegal activity cases in the universe.		348 3 to 6 months 363 6 months to one year 1 to 2 years 138 More than 2 years 630 Unknown
	17,799	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud occurred.	•	ered.
	55 Procurement - award Property disposition Payroll Crants Financial assistance to individuals (excludes loans) O Enforcement (i.e. Customs, IRS) Provision of health care or social services O Loans Loan guarantees Personnel Inventory control/property management Mail service 607 Cash control Procurement - monitoring Travel 138 Administrative services Training and education Personal property management T56 Other Unknown Other Unknown Other Unknown Other Unknown Other Unknown Other O		773 Routine audit, inspection, investigation, or review 276 Specially requested audit, inspection, or review 9,601 Federal employee (know-ledge gained through work) 55 Former federal employee Contractor personnel (excludes state or local government personnel) Former grantee personnel Private individual (not former employee) 169 Informant Consumer complaint form Unsuccessful bidder News media Congressional Committee Member - Staff 3,023 Alleged victim 276 Confession by perpetrator Law enforcement investigation - state & local 1,135 Other
3.	Principal types of fraud 253 Work hour abuses Private use of government property 532 Forgery 28 Kickbacks/Bribes 1,324 55 Non-performance of contract terms 13,385 Iheft 87 Extortion food Stamp irregularities 2,026 Miscellaneous fraud	7.	### Table 10 ### Ta
4.	The period of time over which the alleged fraudulent activity took place. 15.844 Less than 3 months 674 3 to 6 months 367 6 months to one year 256 1 to 2 years 142 More than 2 years Unknown	8.	Participants in the alleged fraudu- lent activity. 6,924 Federal Covernment employ- ees alone and with others State and local government employees 55 Federal contractor(s)/grant- ee(s) personnel - other than state and local governments

	End-		114	
	federal Government finan-		0	_ Federal employee issued
	cial assistance			warning letter
·——	2 Individual recipient(s) of		0	
	Federal Covernment finan-			letter of counseling
	cial assistance		28	_ Federal employee issued
<u> 1.206</u>				oral wurning
	Other corporate or business		83	_ Federal employee demoted
	entities (such as lending		114	
	institutions)			ferred
9.585	<u>Unknown</u>		2.187	_ Formal loss recovery plan
	State and local government			agreed to
	employees in collusion with			
	individual recipient(s) of		0	
	Federal Covernment'finan-			dismissal
	cial assistance		87	-
0	Federal contractor(s)/		2.062	_
	grantee(s) personnel -			-
	(other than state and	1	2. Types of	administrative action taken
	local government(s))		against	non-federal government or-
	in collusion with in-		qanizati	ions (contractors, corpora-
	dividual recipient(s)		tions, r	non-profit organizations,
	of Federal Government		state ar	nd local governments, etc.)
	financial assistance		or indiv	iduals. a/
0				-
	Federal Government fi-		0	
	nancial assistance in			ness with the Federal
	collusion with indivi-			Covernment
	dual recipient(s) of		0	Debarred from doing busi-
	Federal Government fi-		<u>~</u>	ness with the Federal
	nancial assistance			Covernment
0			0	Contract/grant cancelled
	ness entities (such as		28	
	lending institutions)			greed to take corrective
	in collusion with indi-			action
	vidual recipient(s) of		229	Formal loss recovery plan
	Federal Government fi-			agreed to
	nencial assistance		307	No action taken
			0	Negotiating reimbursement
9. Status o	of fraud cases.		0	Declared ineligible for pro-
				gram participation under
16,881	_ Closed (Includes cases			status claimed
	officially closed by		5 5	Employee/enlistee dismissed;
	the agency)			employment/enlistment de-
918	_ Open (Includes cases under			nied
	investigation and cases in		142	
	which some action may have		1,041	Unknown
	been taken but the cases			
	were not officially closed)	13		legal action or prosecution
			taken.	
				Civil anguaration
	ing statistics are for			Civil prosecution
	cially closed by the		284	Criminal prosecution
agency.			<u> </u>	Civil and criminal prosecution
				Pre-trial diversion Court martial
10 T.m6			55	court martial
trator.	action taken against perpe-	1.6	Outcome	of civil proceedings.
trator.		14	. Odecome	or civil proceedings.
5,321	Administration		0	Judgment for plaintiff
- 3,321				Judgment for the defendant
339			0	
	Administrutive and legul action		<u>~</u>	
757		1.5	0	
1,455	No action taken – sus∸	1)	. Custude	of criminal prosecution. B court martials)
	pect identified		(Exclude:	s court martials)
9,009	No action taken - sus-		•	Appriliated
	pect unknown			Acquittal
•	pace diskilowi			Conviction (quilty plea, note
_			2.0	contendre)
11. Types of	f_administrative action taken		28_	Other
against	Federal employees. a/			
	-		1	and Francis and the state of
1.399		<u>a</u> /	in many ca	ses, Federal agencies took
603	Federal employee dismissed			one type administrative
				inst those who commit fraud
			token:	llegal acts. All actions
			taken are	recorded here.

Department of Energy

ase str	following statistics are for closed es and open cases where some admin- cative or legal action was taken or.	5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
	action was taken because no suspect		
oul	ld be identified.		409 Less than 3 months
			14 3 to 6 months
			30 6 months to one year
1.	Number of fraud or other illegal ac-		11 1 to 2 years
	tivity cases in the universe.		13 More than 2 years
			148 Unknown
	624	_	
		6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		6
			37 Routine audit, inspec-
	18 Procurement - award		tion, investigation,
	Property disposition		or review
	<u>19</u> Payroll		
	o Grants		audit, inspection, or
	o Financial assistance to		review
	individuals (excludes		52 Federal employee (know-
	loans)		ledge gained through
	8 Enforcement (i.e. Customs,		_work)
	IRS)		2 Former federal employee
	<pre>0 Provision of health care</pre>		481 Contractor personnel
	or social services		o Grantee personnel (ex-
	o_Loans		cludes state or local
	<u>Q</u> Loan guarantees		, government personnel)
	9 Personnel		o Former grantee personnel
	507 Inventory control/proper-		7 Private individual (not
	ty management		former employee)
	o Mail service		23 Informant
	2 Cash control		O Consumer complaint form
	14 Procurement - monitoring		1 Unsuccessful bidder
			O News media
	14 Administrative services		O Congressional Committee
	O Training and education		Member - Staff
	O Personal property manage-		O Alleged victim
	ment		O Confession by perpetrator
	6 Other		O Law enforcement investi-
	2 Unknown		gation - state & local
			4 Other
3	Principal types of fraud		7 Unknown
٠.	Tricipal cypes of Trade		- CHANGEN
	20_ Work hour abuses	~	
	19 Private use of government	7.	
	property		by Federal Government
	6 Forgery		174 Land Mary #100
	Kickbacks/Bribes		<u>174</u> Less than \$100
	34 False statements		247 \$101 - \$1,000
	Non-performance of con-		70 \$1,001 - \$10,000
	tract terms		12 \$10,001 - \$100,000
	489 Theft		<u>o</u> \$100,001 - \$500,000
	Extortion		<u>o</u> \$500,001 - \$1 million
	0 Food Stamp irregulari-		O Over \$1 million
	ties		61 Monetary loss - amount not
	30 Miscellaneous fraud		available
	Tracerranced Trada		
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		
	place.		<u>51</u> Federal Government employ-
	495 Less than 3 months		ees alone and with others
	18 3 to 6 months		o State and local government
	24 6 months to one year		employees
	6 1 to 2 years		
	14 More than 2 years		ee(s) personnel - other than
	67 Unknown		state and local governments

	O Corporate recipient(s) of Federal Government finan-	Federal employee suspended defederal employee issued
	cial assistance Individual recipient(s) of Federal Covernment finan-	warning letter Federal employee issued letter of counseling
	cial assistance 6 Other individual citizens	5 Federal employee issued
	3 Other corporate or business	oral warning
	entities (such as lending institutions)	
	457 Unknown	g Formal loss recovery plan
	O State and local government employees in collusion with	agreed to
	individual recipient(s) of	o Extra duty (military)i Employee resigned pending
	Federal Government'finan-	dismissal dismissal
	cial assistance	Other
	Federal contractor(s)/	Unknown
	grantee(s) personnel - (other than state and	12 Types of administrative action told
	local government(s)	 Types of administrative action taken against non-Federal government or-
	in collusion with in-	ganizations (contractors, corpora-
	dividual recipient(s)	tions, non-profit organizations.
	of Federal Government	state and local governments, etc.)
	financial assistance Corporate recipients of	or individuals. a/
	Federal Government fi-	O Suspended from doing busi-
	nancial assistance in	ness with the federal
	collusion with indivi-	Covernment
	dual recipient(s) of Federal Covernment fi-	o Debarred from doing busi- ness with the Federal
	nancial assistance	Government
	Other corporate or busi-	3 Contract/grant cancelled
	ness entities (such as	I Issued warning and they a-
	lending institutions)	greed to take corrective
	in collusion with indi- vidual recipient(s) of	action 5 Formal loss recovery plan
	federal Government fi-	agreed to
	nancial assistance	115 No action taken
_		O Negotiating reimbursement O Declared ineligible for pro-
9.	Status of fraud cases.	gram participation under status claimed
	officially closed by	<pre>0 Employee/enlistee dismissed;</pre>
	the agency)	employment/enlistment de-
	17 Open (Includes cases under	nied 2 Other
	investigation and cases in which some action may have	1 Unknown
	been taken but the cases	**************************************
	were not officially closed)	 Type of legal action or prosecution taken.
The	following statistics are for	o Civil prosecution
	es officially closed by the	22 Criminal prosecution
	ncy.	Civil and criminal prosecution
		2 Pre-trial diversion Court martial
10.	Type of action taken against perpe-	
	trator.	14. Outcome of civil proceedings.
	36 Administrative action only	
	22 Legal action only	
	Administrative and legal action	O GIRIOWI
	1 Unknown	15. Outcome of criminal prosecution.
	101 No action taken - sus-	(Excludes court martials)
	pect identified	
	446 No action taken - sus-	o Acquittal
	pect unknown	22 Conviction (guilty plea, nolo contendre)
, .	Tuesda of the state of the stat	O Other
11.	Types of administrative action taken against Federal employees. ⊍	
		a/ In many cases, Federal agencies took
	2 Federal employee dismissed	more than one type administrative action against those who commit fraud
		or other illegal acts. All actions
		tuken are recorded here.

	Environmental Protection Agency			
The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.		5.	The period of time between occurrence and discovery of the fraudulent activity. 55 Less than 3 months 14 3 to 6 months 6 months to one year	
1.	Number of fraud or other illegal activity cases in the universe.		8 1 to 2 years 19 More than 2 years Unknown	
	<u>140</u>	6.	How the alleged fraud was discov-	
2.	functional areas in which fraud		ered.	
	occurred.		26 Pautina audit incom	
	2.4 December 5 good		16 Routine audit, inspection, investigation,	
	Procurement - award Property disposition		or review	
	16 Payroll		16 Specially requested	
	3 Grants		audit, inspection, or	
	Financial assistance to individuals (excludes loans)		review	
	<pre>11 Enforcement (i.e. Customs,</pre>		work)	
	IRS)		O Former federal employee Contractor personnel	
	O Provision of health care or social services		O Grantee personnel (ex-	
	O Loans		cludes state or local	
	O Loan guarantees		government personnel)	
			o Former grantee personnel	
	19 Inventory control/proper-		Private individual (not former employee)	
	ty management O Mail service		14 Informant	
	3 Cash control		O Consumer complaint form	
	27 Procurement - monitoring		0 Unsuccessful bidder	
	38 Travel		O News media	
	Administrative services		Congressional Committee Member - Staff	
	7 Training and education 9 Personal property manage-		O Alleged victim	
	ment		Confession by perpetrator	
	o Other		O Law enforcement investi-	
	3 Unknown		gation - state & local	
3.	Principal types of fraud		6 Other 3 Unknown	
	11 Work hour abuses Private use of government property	7.	by Federal Government	
	O Forgery		8 Less than \$100	
	14 Kickbacks/Bribes		27 \$101 - \$1,000	
	52 False statements 8 Non-performance of con-		16 \$1,001 - \$10,000	
	tract terms		3 \$10,001 - \$100,000 \$100,001 - \$500,000	
	16Theft		3 \$500,001 - \$1 million	
	O Extortion		O Over \$1 million	
	0 Food Stamp irregulari-		27 Monetary loss – amount not	
	ties 19 Miscellaneous fraud		available	
		8.	Participants in the alleged fraudu-	
4.	The period of time over which the alleged fraudulent activity took		lent activity.	
	place.		66 Federal Government employ- ees alone and with others	
	8 Less than 3 months		33 State and local government	
	3 to 6 months 6 months to one year		employees	
	11 1 to 2 years		<pre>36 Federal contractor(s)/grant-</pre>	
	25 More than 2 years		ee(s) personnel - other than	
	16 Unknown		state and local governments	

Federal Government finan-	- 5 Federal employee suspended
cial assistance	rederal employee issued
o Individual recipient(s) of	warning letter
Federal Government finan-	O Federal employee issued
cial assistance	letter of counseling
5 Other individual citizens	14 Federal employee issued
O Other corporate or business	oral warning
	O Federal employee demoted
entities (such as lending	O Federal employee trans-
institutions)	ferred
O Unknown	27 Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(s) of	3 Employee resigned pending
Federal Covernment finan-	dismissal
cial assistance	O Other
Federal contractor(s)/	
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
O Corporate recipients of	-
Federal Government fi-	O Suspended from doing busi-
nancial assistance in	ness with the federal
collusion with indivi-	·
dual recipient(s) of	Covernment
Federal Government fi-	O Debarred from doing busi-
nancial assistance	ness with the Federal
	Government
O Uther corporate or busi-	O Contract/grant cancelled
ness entities (such as	5 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	25 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	30 No action taken
0 65 6 6	O Negotiating reimbursement
Status of fraud cases.	O Declared ineligible for pro-
100 00	gram participation under
126 Closed (Includes cases	status claimed
officially closed by	<pre> 0 Employee/enlistee dismissed;</pre>
the agency)	employment/enlistment de-
14 Open (Includes cases under	nied
investigation and cases in	<u>5</u> Other
which some action may have	8 Unknown
been taken but the cases	
were not officially closed)	Type of legal action or prosecution
	taken.
The following statistics are for	3 Civil prosecution
cases officially closed by the	Criminal prosecution
agency.	0 Civil and criminal prosecution
	3 Pre-trial diversion
	o Court martial
10. Type of action taken against perpe-	
trator.	Outcome of civil proceedings.
	-
82 Administrative action only	o Judgment for plaintiff
	0 Judgment for the defendant
5 Administrative and legal	3 Unknown
action	
0 Unknown	15 Outcome of opinion
27 No action taken - sus-	15. Outcome of criminal prosecution.
pect identified	(Excludes court martials)
O No action taken - sus-	A :+! •
pect unknown	o Acquittal
poer distingti	8 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	3_Other
against Federal employees. a/	
11 No action taken	a/ In many cases, Federal agencies took
5 Federal employee dismissed	more than one type administrative
	action against those who commit fraud
	or other illegal acts. All actions
	taken are unuanded have

11.

General Services Administration

case istr	following statistics are for closed s and open cases where some admin- ative or legal action was taken or	5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
	ction was taken because no suspect d be identified.		890 Less than 3 months
COUT	d be identified.		890 Less than 3 months 37 3 to 6 months
			50 6 months to one year
1.	Number of fraud or other illegal ac-		21 1 to 2 years
1.	tivity cases in the universe.		44 More than 2 years
	tivity cases in the universe.		84 Unknown
	1,126		
		6.	•
2.	Functional areas in which fraud		ered.
	occurred.		64 Routine audit, inspec-
	38 Procurement - award		tion, investigation,
	17 Property disposition		or review
	27 Payroll		46 Specially requested
	0 Grants		audit, inspection, or
	I Financial assistance to		review
	individuals (excludes		846 Federal employee (know-
	loans)		ledge gained through
	1 Enforcement (i.e. Customs,		work)
	IRS)		
	O Provision of health care		Contractor personnel
	or social services		O Grantee personnel (ex-
	o_Loans		cludes state or local
	o_ Loan guarantees		_government_personnel)
	5 Personnel		O Former grantee personnel
	899 Inventory control/proper-		
	ty management		former employee)
	5 Mail service		19 Informant
	6 Cash control		O Consumer complaint form
	110 Procurement - monitoring		4 Unsuccessful bidder
	4 Travel		O News media
	13 Administrative services Training and education		Congressional Committee Member - Staff
	O Training and education Personal property manage-		
	ment		O Alleged victim Confession by perpetrator
	O Other		1 Law enforcement investi-
	0 Unknown		gation - state & local
	- OTKHOUT		31 Other
3.	Principal types of fraud		57 Unknown
	•		
	13 Work hour abuses	7.	Estimate of amount of loss incurred
	45 Private use of government		by Federal Government
	property		-,
	9 Forgery		127 Less than \$100
	42 Kickbacks/Bribes False statements		613 \$101 - \$1,000 ·
			<u>137</u> \$1,001 - \$10,000
	Non-performance of contract terms		33 \$10,001 - \$100,000
	907 Theft		<u>16</u> \$100,001 - \$500,000
	2 Extortion		<u>o</u> \$500,001 - \$1 million
	O Food Stamp irregulari-		5 Over \$1 million
	ties		137 Monetary loss - amount not
	48 Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.	The period of time over which the	٠.	lent activity.
•	alleged fraudulent activity took		
	place.		198 Federal Government employ-
	931 Less than 3 months		ees alone and with others
	23 3 to 6 months		o State and local government
	56 6 months to one year		employees
	24 1 to 2 years		71 Federal contractor(s)/grant-
	51 More than 2 years		ee(s) personnel - other than
	41 Unknown		state and local governments

		corporate recipient(s) of		35 rederal employee suspended
		Federal Government finan-		
		cial assistance		warning letter
	1	Individual recipient(s) of		o Federal employee issued
		Federal Covernment finan-		letter of counseling
		cial assistance		
	49	Other individual citizens		oral warning
	7	Other corporate or business		9 Federal employee demoted
		entities (such as lending		
		institutions)		
		•		ferred
	807	Unknown		12 Formal loss recovery plan
		State and local government		agreed to
		employees in collusion with		o_Extra duty (military)
		individual recipient(a) of		g Employee resigned pending
		Federal Covernment finan-		dismissal
		cial assistance		1 Other
	0	Federal contractor(a)/		6 Unknown
		grantee(s) personnel -	12	Tupos of administrative setime total
		(other than state and	12	. Types of administrative action taken
		local government(s))		against non-Federal government or-
		in collusion with in-		ganizations (contractors, corpora-
		dividual recipient(s)		tions, non-profit organizations,
		of Federal Government		state and local governments, etc.)
		financial assistance		or individuals. a/
	0	Corporate recipients of		
				4 Suspended from doing busi-
		Federal Government fi-		ness with the Federal
		nancial assistance in		
		collusion with indivi-		Government
		dual recipient(s) of		4 Debarred from doing busi-
		Federal Covernment fi-		ness with the Federal
		nancial assistance		Government
	0	Other corporate or busi-		<pre>10 Contract/grant cancelled</pre>
	<u>_</u>			11 Issued warning and they a-
		ness entities (such as		
		lending institutions)		greed to take corrective
		in collusion with indi-		action
		viduel recipient(s) of		20 Formal loss recovery plan
		Federal Government fi-		agreed to
		nancial assistance		132 No action taken
				2 Negotiating reimbursement
	Ch	F formed annual		O Declared ineligible for pro-
9.	Status of	f fraud cases.		gram participation under
	1,076	Closed (Includes cases		status claimed
		officially closed by		O Employee/enlistee dismissed;
		the agency)		employment/enlistment de-
	50	Open (Includes cases under		nied
		investigation and cases in		9 Other
		which some action may have		18 Unknown
		been taken but the cases	17	. Type of legal action or prosecution
		were not officially closed)	1)	
				taken.
		•		. Civil annualting G
The	fallowi	ing statistics are for		4 civil prosecution
				95 Criminal prosecution
		ially closed by the		o Civil and criminal prosecution
age	ncy.			9 Pro-trial diversion
				O Court martial
				O COURT MAICEAN
10.	Type of a	action taken against perpe-		0.4
	trator.	- ' '	14	. Outcome of civil proceedings.
		,		
	100	Administrative action only		4 Judgment for plaintiff
	106			O Judgment for the defendant
	63			O Unknown
	45	Administrative and legal		
		action		
	0	Unknown	15	. Outcome of criminal prosecution.
	7.8	No action taken - sus-		(Excludes court martials)
		pect identified		•
	785			9 Acquittal
	703	The second secon		os Conviction (avillar ales and
		pect unknown		86 Conviction (quilty plea, nolo
				contendre)
11	Types of	Odministration 11		<u>o</u> Other
11.	Types of	administrative action taken		
	agarust	Federal employees. a/		•
		_	~ 1	In many assess Foderal accomise test
	75	No action taken	<u>a</u> /	In many cases, Federal agencies took
	22	Federal employee dismissed		more than one type administrative
				action against those who commit fraud
		•		or other illegal acts. All actions

Department of Health, Education and Welfare

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect		5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
coul	d be identified.		Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		3 to 6 months 34 6 months to one year 57 1 to 2 years 57 More than 2 years 103 Unknown
	279 .	_	
	_	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		Co. A
			Routine audit, inspec-
	Procurement - award		tion, investigation,
	<pre>O Property disposition</pre>		or review
	Payroll		<u>o</u> Specially requested
	74 Grants		audit, inspection, or
	34 Financial assistance to		review
	individuals (excludes		Federal employee (know-
	loans)		ledge gained through
	O Enforcement (i.e. Customs,		work)
	IRS)		Former federal employee
	108 Provision of health care		Contractor personnel
	or social services		Grantee personnel (ex-
	6 Loans		cludes state or local
	Loan guarantees		government personnel)
	6 Personnel		
	O Inventory control/proper-		23 Private individual (not
	ty management		former employee)
	O Mail service		T - C
	O Cash control		O Consumer complaint form
	O Procurement - monitoring		
			
			Congressional Committee Member - Staff
	6 Training and education		A33 1
	O Personal property manage- ment		O Alleged victim
			o Confession by perpetrator Law enforcement investi-
	0 Unknown		gation – state & local
-	0.7 1.1 16.6 4		51Other
3.	Principal types of fraud		6 Unknown
	11 Work hour abuses		
	0 Private use of government	7.	
			by Federal Government
	property 17 Forgery		
	6 Kickbacks/Bribes		Less than \$100
			<u>51</u> \$101 - \$1,000
			<u>57.</u> \$1,001 - \$10,000
	Non-performance of con-		<u>34</u> \$10,001 - \$100,000
	tract terms		<u>6</u> \$100,001 - \$500,000
	17 Theft		<u>o</u> \$500,001 - \$1 million
	Extortion		Over \$1 million
	σ Food Stamp irregulari-		63 Monetary loss - amount not
	ties		available
	Hiscellaneous fraud		
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		
	place.		Federal Government employ-
	40 Less than 3 months		ees alone and with others
	17 3 to 6 months		O State and local government
	40 6 months to one year		employees
	40 1 to 2 years		29 Federal contractor(s)/grant-
	57 More than 2 years		ee(s) personnel - other tha
	86 Unknown		state and local governments
			

Corporate recipient(s) of Federal Government finan- cial assistance Individual recipient(s) of Federal Government finan- cial assistance 29 Other individual citizens Other corporate or business entities (such as lending institutions) Unknown 5 State and local government employees in collusion with individual recipient(s) of Federal Covernment finan- cial assistance Federal contractor(s)/	O Federal employee suspended Federal employee issued warning letter Federal employee issued letter of counseling Federal employee issued oral warning Federal employee demoted Federal employee trans- ferred Formal loss recovery plan agreed to Extra duty (military) Employee resigned pending dismissal Other Unknown
<pre>grantee(s) personnel - (other than state and local government(s)) in collusion with in- dividual recipient(s) of Federal Government</pre>	12. Types of administrative action taken against non-Federal government or- ganizations (contractors, corpora- tions, non-profit organizations, state and local governments, etc.)
financial assistance Corporate recipients of Federal Government fi- nancial assistance in	or individuals. <u>a</u> /a Suspended from doing busi- ness with the Federal
collusion with indivi- dual recipient(s) of Federal Government fi- nancial assistance	Government O Debarred from doing busi- ness with the Federal Government Contract/grant cancelled
other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance	6 Contract/grant cancelled 1 Issued warning and they a- greed to take corrective action 57 Formal loss recovery plan agreed to No action taken Negotiating reimbursement
9. Status of fraud cases. 274 Closed (Includes cases	Declared ineligible for pro- gram participation under status claimed
officially closed by the agency) Open (Includes cases under investigation and cases in which some action may have been taken but the cases	Employee/enlistee dismissed; employment/enlistment de- nied Other Unknown
were not officially closed)	 Type of legal action or prosecution taken.
The following statistics are for cases officially closed by the agency.	Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion Court martial
 Type of action taken against perpe- trator. 	14. Outcome of civil proceedings.
Administrative action only Legal action only Administrative and legal action	Judgment for plaintiff Judgment for the defendant Unknown
O Unknown No action taken - sus	<pre>15. Outcome of criminal prosecution. (Excludes court martials)</pre>
0 No action taken - sus- pect unknown	O Acquittal Conviction (guilty plea, nolo contendre) O Other
ll. Types of administrative action taken against Federal employees. <u>a</u> /	
17 No action taken 11 Federal employee dismissed	a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

Department of Housing and Urban Development

_			
case ist: no a	following statistics are for closed es and open cases where some admin- rative or legal action was taken or action was taken because no suspect	5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
l.	tivity cases in the universe.		344 Less than 3 months 172 3 to 6 months 258 6 months to one year 373 1 to 2 years 344 More than 2 years 172 Unknown
	1,665	6.	How the alleged fraud was discov-
. 2.	Functional areas in which fraud occurred.		ered.
3.	O Procurement - award Property disposition Payroll Property disposition Payroll Property disposition Payroll Property disposition Payroll Property disposition Principal Service Provision of least to consolid services Provision of health care or social services Provision of health care or social services Personnel Presonnel Property management Principal types of fraud		373 Routine audit, inspection, investigation, or review 57 Specially requested audit, inspection, or review 373 Federal employee (knowledge gained through work) 29 Former federal employee 258 Contractor personnel (excludes state or local government personnel) 0 Former grantee personnel 10 Private individual (not former employee) 10 Informant 11 Consumer complaint form Unsuccessful bidder 12 News media 23 Congressional Committee Member - Staff 34 Alleged victim 25 Confession by perpetrator Law enforcement investigation - state & local Unknown
	29 Work hour abuses 29 Private use of government property 57 Forgery 29 Kickbacks/Bribes	7.	Estimate of amount of loss incurred by Federal Government O Less than \$100
	1,091 false statements Non-performance of contract terms 115 Ineft 86 Extortion 0 Food Stamp irregularities 115 Miscellaneous fraud		201 \$101 - \$1,000
4.	The period of time over which the alleged fraudulent activity took	8.	Participants in the alleged fraudu- lent activity.
	144 3 to 6 months 115 6 months to one year 1 to 2 years 287 More than 2 years 115 Unknown		

		Corporate recipient(s) of		rederal employee suspended
		Federal Government finan-		Federal employee issued
		cial assistance		warning letter
	718	Individual recipient(s) of		federal employee issued
		federal Government finan-		letter of counseling
		cial assistance		o Federal employee issued
	57	Other individual citizens		oral warning
	344	Other corporate or business		6 Federal employee demoted
		entities (such as lending		7 Federal employee trans-
		institutions)		ferred
		Unknown	,	29 Formal loss recovery plan
	29	State and local government		agreed to
		employees in collusion with		e i
		individual recipient(s) of		g Employee resigned pending
		Federal Covernment finan-		dismissal
		cial assistance		044
		•		
	57	Federal contractor(s)/		Unknown
		grantee(s) personnel -	10	Turns of administrative entire taken
		(other than state and	12.	Types of administrative action taken
		local government(s))		against non-federal government or-
		in collusion with in-		ganizations (contractors, corpora-
		dividual recipient(s)		tions, non-profit organizations,
		of Federal Government		state and local governments, etc.)
		financial assistance		or individuals. <u>a</u> /
	0	Corporate recipients of		86 Suspended from doing busi-
		Federal Covernment fi-		ness with the Federal
		mancial assistance in		uss Attu the Legelat
		collusion with indivi-		Government
		dual recipient(s) of		29 Debarred from doing busi-
		Federal Government fi-		ness with the Federal
				Government
		nancial assistance		O Contract/grant cancelled
	144	Other corporate or busi-		316 Issued warning and they a-
		ness entities (such as		greed to take corrective
		lending institutions)		action
		in collusion with indi-		e 3 3 31
		vidual recipient(e) of		agreed to
		Federal Government fi-		
		nancial assistance		
				6 1 1 1 1 1 1 F
9.	Status of	fraud cases.		O Declared ineligible for pro-
•	•••			gram participation under
	1 608	Closed (Includes cases		status claimed
	1,000	officially closed by		o Employee/enlistee dismissed;
		the agency)		employment/enlistment de-
	e 7	Open (Includes cases under		nied
		investigation and cases in		86 Other
		which some action may have		86 Unknown
		been taken but the cases		
			13	. Type of legal action or prosecution
		were not officially closed)	10	taken.
				Caratt
				o · Civil prosecution
The	followi	ing statistics are for		
CAE	es offic	rially closed by the		
		,		
ugi	ency.			O Pre-trial diversion
				O Court martial
)n	Type of a	action taken against perpe-		0.1
	trator.	- Janes Parke	14	. Outcome of civil proceedings.
	C. GCGI.			
		Administrative action only		O Judgment for plaintiff
	890			O Judgment for the defendant
	29	Legal action only		<u>o</u> Unknown
	57			
		action	1.5	. Outcome of criminal prosecution.
	0		10	(Excludes court martials)
	632	No action taken - sus-		(ENCIONES CONTE MATERIAL)
		pect identified		a Acquittel
	0		•	O Acquittal
		pect unknown		86 Conviction (guilty plea, nolo
				contendre)
	*			o_Other
11.		administrative action taken		
	against	Federal employees. <u>a</u> /		
		_	а/	In many cases, federal agencies took
	57	_ No action taken	3/	more than one type administrative
	0	Federal employee dismissed		action against those who commit fraud
		_ · ·		or other illegal acts. All actions
				taken are recorded here.
				faken are recorded dere.

Department of Interior

case	following statistics are for closed s and open cases where some admin- ative or legal action was taken or	5 .	The period of time between occur- rence and discovery of the fraud- ulent activity.
151.1	active of legal action was careful of		diche decivity.
	ction was taken because no suspect		1 11 7
coul	d be identified.		Less than 3 months
			9 3 to 6 months
			9 6 months to one year
	N . C.C. day abban Alloyol on		3 1 to 2 years
ı.	Number of fraud or other illegal ac-		
	tivity cases in the universe.		6 More than 2 years
	•		g Unknown
	1 4 2		
	<u>143</u>	6.	How the alleged froud was discou
	•	٥.	
2.	Functional areas in which fraud		ered.
	occurred.		
			12 Routine audit, inspec-
	9 Procurement - award		tion, investigation,
	Property disposition		or review
	15 Payroll		O Specially requested
	 _ ' .		audit, inspection, or
			the state of the s
	o Financial assistance to		review
	individuals (excludes		82 Federal employee (know-
	loans)		ledge gained through
	=·····•		work)
	3 Enforcement (i.e. Customs,		
	IRS)		3 Former federal employee
	o Provision of health care		O Contractor personnel
	or social services		O Grantee personnel (ex-
			cludes state or local
	3_ Loans		
	o Loan guarantees		government personnel)
	3 Personnel		0 Former grantee personnel
			30 Private individual (not
	ty management		former employee)
	o Mail service		12 Informant
	15 Cash control		O Consumer complaint form
			0 Unsuccessful bidder
	9 Procurement - monitoring		
	6 Travel		O News media
	6 Administrative services		O Congressional Committee
			Member - Staff
			
	o Personal property manage-		O Alleged victim
	ment		O Confession by perpetrator
	3 Other		O Law enforcement investi-
	3 Unknown		gation - state & local
	OTIKITOWIT		ai.
			3 Other
3.	Principal types of fraud		O_ Unknown
	12 Work hour abuses	_	
		7.	Estimate of amount of loss incurred
	<u>64</u> Private use of government		by Federal Government
	property		,
	O Forgery		or loss that \$100
	O Kickbacks/Bribes		24 Less than \$100
			<u>39</u> \$101 - \$1,000
			9 \$1,001 - \$10,000
	Non-performance of con-		6 \$10,001 - \$100,000
	tract terms		
	³⁹ Theft		
			O Over \$1 million
	o Food Stamp irregulari-		15 Monetary loss - amount not
	ties		available
	15 Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
•	alleged fraudulent activity took		==-: =====,:
			5.4
	place.		
	100 Less than 3 months		ees alone and with others
	6 3 to 6 months		o State and local government
			
	6 months to one year		employees
	6_ 1 to 2 years		3 Federal contractor(s)/grant-
	3 More than 2 years		ee(s) personnel - other tha
	15 Unknown		state and local governments
	UIIKIIUWII		acace and incar dovernmenca

	<u> </u>	corporate recipient(s) or		
		Federal Government finan-		9 Federal employee issued
		cial assistance		warning letter
		Individual recipient(s) of		18 Federal employee issued
		Federal Government finan-		
		cial assistance		letter of counseling
				15 Federal employee issued
		Other individual citizens		oral warning
		Other corporate or business		O Federal employee demoted
		entities (such as lending		6 Federal employee trans-
		institutions)		
		•		ferred
		Unknown		39 Formal loss recovery plan
		State and local government		agreed to
		employees in collusion with		o_ Extra duty (military)
		individual recipient(s) of		g Employee resigned pending
		Federal Government finan-		
				leeeimaib
		cial assistance		Other
		Federal contractor(s)/		6_ Unknown
		grantee(s) personnel -		
		(other than state and	12	. Types of administrative action taken
		local government(s))		against non-federal government or-
		in collusion with in-		ganizations (contractors, corpora-
		dividual recipient(s)		tions, non-profit organizations,
		of Federal Government		state and local governments, etc.)
		financial assistance		or individuals. a/
				_
		Corporate recipients of		O Suspended from doing busi-
		Federal Covernment fi-		ness with the Federal
		mancial assistance in		Hose attl. the Leneral
		collusion with indivi-		Government
		dual recipient(s) of		O Debarred from doing busi-
		Federal Covernment fi-		ness with the Federal
		nancial assistance		Government
	0	Other corporate or busi-		3 Contract/grant cancelled
•		ness entities (such as		3 Issued warning and they a-
				greed to take corrective
		lending institutions)		3
		in collusion with indi-		action
		vidual recipient(s) of		Formal loss recovery plan
		Federal Government fi-		agreed to
		nancial assistance		3 No action taken
				Negotiating reimbursement
_	Chaba = 6	fraud sooss		Q Declared ineligible for pro-
9.	Status of	fraud cases.		gram participation under
	127	Closed (Includes cases		status claimed
		officially closed by		
		the agency)		employment/enlistment de-
	16	Open (Includes cases under		nied
				o Other
		investigation and cases in		
		which some action may have		o Unknown
		been taken but the cases		
		were not officially closed)	13	. Type of legal action or prosecution
		ente vide dividedity elocat		taken.
				COROTT
				0.01.11
The	followi	ng statistics are for		O Civil prosecution
		ially closed by the		O Criminal prosecution
		Tally Closed by the		O Civil and criminal prosecution
age	ncy.			O Pro-trial diversion
				<u>o</u> Court martial
10.	Type of a	ction taken against perpe-		
	trator.	arran canan againse purpo-	14	. Outcome of civil proceedings.
	cracor.			
				o Judgment for plaintiff
	109			g Judgment for the defendant
	0	Legal action only		o Unknown
	0	Administrative and legal		O UNKNOWN
		action		
			16	Outcome of onimical assessmenting
		Unknown	15	Outcome of criminal prosecution.
	18	No action taken – sus-		(Excludes court martials)
		pect identified		
	0			o Acquittal
		The Latter Cancil Sas-		O Conviction (guilty plea, nolo
		pect unknown		contendre)
11	Types of	administrati -		o Other
11.	Types of	administrative action taken		
	against	Federal employees. a/		
		-	_ ,	T Fident Section
	18	No action taken	<u>a</u> /	In many cases, Federal agencies took
				more than one type administrative
	15	tederal employed dismissed		
	15	Federal employee dismissed		action against those who commit fraud
	15	rederal employee dismissed		action against those who commit fraud
	15,_	rederal employee dismissed		

Department of Labor

case isti no a	following statistics are for closed es and open cases where some admin- rative or legal action was taken or action was taken because no suspect ld be identified.	5.	rence and discovery of the fraudulent activity.	
1.	Number of fraud or other illegal ac-		56 Less than 9 months 46 3 to 6 months 53 6 months to one year 143 1 to 2 years	
	tivity cases in the universe.		More than 2 years Unknown	
	<u>430</u>	,	De Alice III e d'En ed et	
2.	Functional areas in which fraud occurred.	6.	How the alleged fraud was discovered.	
	•			
	O Procurement - award		tion, investigation,	
	o Property disposition		or review	
	44 Payroll		<u>o</u> Specially requested	
	Grants		audit, inspection, or	
	226 Financial assistance to		review	
	individuals (excludes loans)		Federal employee (know- ledge gained through	
			work)	
	IRS)		Former federal employee	
	o Provision of health care		Contractor personnel	
	or social services		55 Grantee personnel (ex-	
	o Loans		cludes state or local	
	Loan guarantees		government personnel)	
	45 Personnel		former grantee personnel	
	O Inventory control/proper-		Private individual (not	
	ty management		former employee)	
	O Mail service .		Informant	
	2 Cash control		Consumer complaint form	
	o Procurement - monitoring Travel	•	Unsuccessful bidder News media	
	2 Iravel Administrative services		O Congressional Committee	
	82 Training and education		Member - Staff	
	O Personal property manage-		Alleged victim	
	ment		O Confession by perpetrator	
	O Other		45 Law enforcement investi-	
	0 Unknown		gation - state & local	
			Other	
3.	Principal types of fraud		4 Unknown	
	28 Work hour abuses	7.	Estimate of amount of loss incurred	
	Private use of government		by Federal Government	
	property		•	
	37 Forgery		2 Less than \$100	
	0 Kickbacks/Bribes 280 False statements		<u>41</u> \$101 - \$1,000	
	280 False statements Non-performance of con-		155 \$1,001 - \$10,000	
	tract terms		<u>72</u> \$10,001 - \$100,000	
	o Theft		<u>12</u> \$100,001 - \$500,000	
	15 Extortion		\$500,001 - \$1 million	
	o Food Stamp irregulari-		Over \$1 million	
	ties		110 Monetary loss – amount not available	
	21 Miscellaneous fraud		available	
		8.	Participants in the alleged fraudu-	
4.	The period of time over which the		lent activity.	
	alleged fraudulent activity took		•	
	place.		federal Government employ-	
	Less than 3 months		ees alone and with others	
			State and local government	
	108 6 months to one year		employees	
	<u>100</u> 1 to 2 years		federal contractor(s)/grant -	
	More than 2 years		ee(s) personnel - other thou	
	78 Unknown		state and local governments	

	O Corpor recipient(s) of	o
	Federal Government finan-	o Federal employee issued
	cial assistance	warning letter
	Individual recipient(s) of	Federal employee issued
	Federal Government finan-	letter of counseling
	cial assistance	Federal employee issued
	14 Other individual citizens	_oral_warning
	O Other corporate or business	Federal employee demoted
	entities (such as lending	federal employee trans-
	institutions)	ferred
	OUnknown	Formal loss recovery plan
	9 State and local government	agreed to
	employees in collusion with	Extra duty (military)
	individual recipient(s) of	o Employee resigned pending
	Federal Government finan- cial assistance	dismissal
		0 Other
	12 Federal contractor(s)/	O Unknown
	grantee(s) personnel -	12 Types of administrative action toler
	(other than state and	12. Types of administrative action taken
	local government(s))	against non-Federal government or-
	in collusion with in-	ganizations (contractors, corpora-
	dividual recipient(s)	tions, non-profit organizations, state and local governments, etc.)
	of Federal Government	or individuals -/
	financial assistance	or individuals. <u>a</u> /
	Corporate recipients of	Suspended from doing busi-
	Federal Government fi-	ness with the Federal
	nancial assistance in	Government
	collusion with indivi-	D because for the boat
	dual recipient(s) of	ness with the Federal
	Federal Government fi-	
	nancial assistance	Government
	Other corporate or busi-	7 Contract/grant cancelled 1ssued warning and they a-
	ness entities (such as	7 Issued warning and they a- greed to take corrective
	lending institutions)	action
	in collusion with indi-	
	vidual recipient(s) of	formal loss recovery plan agreed to
	Federal Government fi-	121 No action taken
	nancial assistance	O Negotiating reimbursement
_	5 5 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	42 Declared ineligible for pro-
9.	Status of fraud cases.	gram participation under
	Clared (Tabledon accon	status claimed
	356 Closed (Includes cases officially closed by	@ Employee/enlistee dismissed;
		employment/enlistment de-
	the agency) 74 Open (Includes cases under	nied
	investigation and cases in	2 Other
	which some action may have	3 Unknown
	been taken but the cases	
	were not officially closed)	Type of legal action or prosecution
	were not or relatify closes,	teken.
٠.	6 33	O Civil prosecution
The	following statistics are for	Criminal prosecution
	es officially closed by the	O Civil and criminal prosecution
age	ncy.	Prc-trial diversion
		O Court martial
10	Twos of action taken posical come	And the second of the second o
10.	Type of action taken against perpetrator.	14. Outcome of civil proceedings.
	cracor.	
	Administrative estimately	o Judgment for plaintiff
	116 Administrative action only Legal action only	O Judgment for the defendant
		0 Unknown
	action Administrative and legal	
	O Unknown	15. Outcome of criminal prosecution.
	194 No action taken - sus-	(Excludes court martials)
	pect identified	(CADIDOCS COOLE MOLETALS)
	O No action taken - sus-	<pre>0 Acquittal</pre>
	pect unknown	Conviction (guilty plea, nolo
	pect unathwit	contendre)
		0 Other
11.	Types of administrative action taken	
	against Federal employees. a/	
	. 	/ In many number foderal accorded took
	85 No action taken	<u>a/</u> In many cases, federal agencies took more than one type administrative
	28 Federal employee dismissed	action against those who commit fruud
		or other illegal acts. All actions
		taken are recorded here.
		favour are recolated outer.

Law Enforcement Assistance Administration

Department of Justice

The following statistics are for closed cases and open cases where some admin- istrative or legal action was taken or no action was taken or		5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
could be identified.			5 Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		8 3 to 6 months 6 months to one year 19 1 to 2 years 8 More than 2 years Unknown
	56		
		6.	· · · · · · · · · · · · · · · · · · ·
2.	Functional areas in which fraud		ered.
	occurred.		19 Routine audit, inspec-
	3 Procurement - award		tion, investigation,
	O Property disposition		or review
	3 Payroll		O Specially requested
	13 Grants		audit, inspection, or
	o Financial assistance to		review
	individuals (excludes		5 federal employee (know-
	loans)		ledge gained through
	O Enforcement (i.e. Customs,		work)
	IRS)		o Former federal employee
	3 Provision of health care		O Contractor personnel
	or social services		3 Grantee personnel (ex-
	Q Loans		cludes state or local
			government personnel)
	5 Inventory control/proper-		
	ty management		former employee)
	Mail service		3 Informant
	5_ Cash control		Q Consumer complaint form
	3 Procurement - monitoring		3 Unsuccessful bidder
			3 News media
	3 Administrative services		O Congressional Committee
	5 Training and education		Member - Staff
	O Personal property manage-		o Alleged victim
	ment		o Confession by perpetrator
	21.1		O Law enforcement investi-
	<u>o</u> Unknown		gation - state & local
~			14 Other
٥.	Principal types of fraud		O Unknown
	o Work hour abuses	,	
	O Private use of government	7.	Estimate of amount of loss incurred
	property		by Federal Government
	3 Forgery		
			3 Less than \$100
	- 		<u>13</u> \$101 - \$1,000
			8 \$1,001 - \$10,000
	Non-performance of con-		16 \$10,001 - \$100,000
	tract terms		<u>o</u> \$100,001 - \$500,000
	8_ Theft		0 \$500,001 - \$1 million
	o_ Extortion		O Over \$1 million
	o Food Stamp irregulari-		13 Monetary loss - amount not
	ties		available
	Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		, -
	place.		
	13 Less than 3 months		ees alone and with others
			
	19 6 months to one year		employees
	8 1 to 2 years		19 Federal contractor(s)/grant-
	8 More than 2 years		ee(s) personnel – other tha
	5_ Unknown		state and local governments

O Corporate recipient(s) of	o Federal employee suspended
Federal Government finan-	o Federal employee issued
cial assistance	_warning letter
o Individual recipient(s) of	o Federal employee issued
Federal Covernment finan-	letter of counseling
cial assistance	
3 Other individual citizens	oral warning
Other corporate or business	o Federal employee demoted
entities (such as lending	
institutions) ₃ Unknown	ferred
	Formal loss recovery plan
State and local government	agreed to
employees in collusion with individual recipient(s) of	o Extra duty (military)
Federal Covernment finan-	Employee resigned pending dismissal
cial assistance	044
o Federal contractor(s)/	a Unknown
grantee(s) personnel -	12. Types of administrative action taken
(other than state and	against non-Federal government or-
local government(s))	ganizations (contractors, corpora-
in collusion with in-	tions, non-profit organizations.
dividual recipient(s)	state and local governments, etc.)
of Federal Government financial assistance	or individuals. a/
	or individuals. a/
Corporate recipients of Federal Government fi-	o_ Suspended from doing busi-
nancial assistance in	ness with the Federal
- 111	Government
collusion with indivi-	O Debarred from doing busi-
dual recipient(a) of Federal Covernment fi-	ness with the Federal
nancial assistance	Government
	3 Contract/grant cancelled
Other corporate or busi- ness entities (such as	
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	Formal loss recovery plan
Federal Covernment fi-	agreed to
nancial assistance	21 No action taken
Hancial applicance	5 Negotiating reimbursement
). Status of fraud cases.	O Declared ineligible for pro-
7. Status of fraud cases.	gram participation under
46 Closed (Includes cases	status claimed
officially closed by	<pre>0 Employee/enlistee dismissed;</pre>
	employment/enlistment de-
the agency)10 Open (Includes cases under	nied
investigation and cases in	o Other
which some action may have	O Unknown
been taken but the cases	
	13. Type of legal action or prosecution
were not officially closed)	taken.
	CGROTT
	O Civil prosecution
he following statistics are for	5 Criminal prosecution
ases officially closed by the	O Civil and criminal prosecution
igency.	0 Pro-trial diversion
	O Court martial
,	
O. Type of action taken against perpe-	14. Outcome of civil proceedings.
trator.	14. Gabonio di arter processingo
•	o Judgment for plaintiff
	O Judgment for the defendant
3 Legal action only	0 Unknown
3 Administrative and legal	
action	
o Unknown	15. Outcome of criminal prosecution.
19 No action taken - sus-	(Excludes court martials)
pect identified	
3 No action taken - sus-	3 Acquittal
pect unknown	3 Conviction (guilty plea, nolo
	contendre)
I Types of administrative action to	o_Other
11. Types of administrative action taken	
against Federal employees. <u>a</u> ∕	
o No setion tele-	a/ In many cases, federal agencies took
O No action taken	more than one type administrative
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

U.S. Postal Service

The following statistics are for closed The period of time between occurcases and open cases where some adminrence and discovery of the fraudistrative or legal action was taken or ulent activity. no action was taken because no suspect could be identified. Less than 3 months 201 3 to 6 months 976 538 6 months to one year 268 1 to 2 years 1. Number of fraud or other illegal ac-More than 2 years tivity cases in the universe. 192 Unknown 987 11,161 How the alleged fraud was discov-2. Functional areas in which fraud ered. occurred. Routine audit, inspec-2.224 Procurement - award tion, investigation, Property disposition or review 0 Payroli Specially requested 409 835 Grants audit, inspection, or 0 Financial assistance to 0 review individuals (excludes Federal employee (know-3.952 loans) ledge gained through 0 Enforcement (i.e. Customs, · work) IRS) Former federal employee Provision of health care 0 Contractor personnel 176 or social services Grantee personnel (ex-Ω Loans cludes state or local Ω Loan guarantees government personnel) Ω Personnel Former grantee personnel 108 Inventory control/proper-Private individual (not 882___ 2.834 ty management former employee) Mail service Informant 674 237 Cash control Consumer complaint form 2.075 338 Procurement - monitoring Unsuccessful bidder Ω 0 Travel News media ο 0 Administrative services Congressional Committee 0__ Ω Training and education Member - Staff 0 Alleged victim Personal property manage-0 Ω ment 0 Confession by perpetrator Other Law enforcement investi-0 ٥ Unknown gation - state & local α 314 Other 3. Principal types of fraud Unknown 258 Work hour abuses 296 7. Estimate of amount of loss incurred Private use of government 83 by Federal Government property Forgery 107 Less than \$100 Kickbacks/Bribes -667 0 \$101 - \$1,000 3,626 False statements 325 \$1,001 - \$10,000 799 Non-performance of con-14 \$10,001 - \$100,000 153 tract terms \$100,001 - \$500,000 \$500,001 - \$1 million _ .à_ 7,783 Theft 0 Extortion 0 Over \$1 million 28 Food Stamp irregulari-Monetary loss - amount not 1.314 ties available Miscellaneous fraud 2.552 8. Participants in the alleged fraudu-4. The period of time over which the lent activity. alleged fraudulent activity took

Federal Covernment employ-

ees alone and with others

State and local government

Federal contractor(s)/grant-

ee(s) personnel - other than

state and local governments

employees

6,514

567

place.

7.948

798

624

536

244

1.012

Less than 3 months

6 months to one year

More than 2 years

3 to 6 months

1 to 2 years

Unknown

	Other corporate or business entities (such as lending institutions)	Federal employee suspended federal employee issued warning letter 243 Federal employee issued letter of counseling Federal employee issued oral wurning 197 Federal employee demoted Federal employee transferred 1.315 Formal loss recovery plan agreed to Extra duty (military) Employee resigned pending dismissal Other Unknown 12. Types of administrative action taken
:	local government(s)) in collusion with in- dividual recipient(s) of Federal Government	against non-federal government or- ganizations (contractors, corpora- tions, non-profit organizations, state and local governments, etc.)
	financial assistance Corporate recipients of	or individuals. <u>a</u> /
	Federal Covernment fi-	23 Suspended from doing busi- ness with the federal
	nancial assistance in collusion with indivi-	Government
	dual recipient(a) of	O Debarred from doing busi-
	Federal Covernment fi- nancial assistance	ness with the Federal Government
	Other corporate or busi- ness entities (such as	gg Contract/grant cancelled
	lending institutions)	 8:4 Issued warning and they a- greed to take corrective
	in collusion with indi-	action
	vidual recipient(s) of Federal Government fi-	1.234 Formal loss recovery plan agreed to
	nancial assistance	
9. Status	of fraud cases.	Negotiating reimbursement Declared ineligible for program participation under
_10,693	Closed (Includes cases officially closed by	status claimed
	the agency)	<pre> Employee/enlistee dismissed; employment/enlistment de-</pre>
468	Open (Includes cases under investigation and cases in	nied
	which some action may have	
	been taken but the cases	
	were not officially closed)	 Type of legal action or prosecution taken.
The follow cases offi agency.	ving statistics are for icially closed by the	Civil prosecution 1.911 Criminal prosecution Civil and criminal prosecution alg Pre-trial diversion 55 Court Martial
10. Type of tretor.	action taken against perpe-	14. Outcome of civil proceedings.
4.504 252 2.133	Legal action only	
<u>187</u> 1.757	No action taken - sus- pect identified	 Outcome of criminal prosecution. (Excludes court martials)
1.861	pect unknown	74 Acquittal 1,818 Conviction (guilty plea, nolo contendre)
11. Types o	f administrative action taken Federal employees. <u>a</u> /	74_ Other
		a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

Small Business Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect		5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
coul	d be identified.		173 Less than 3 months 117 3 to 6 months
1.	Number of fraud or other illegal activity cases in the universe.		117 6 months to one year 110 1 to 2 years 88 More than 2 years Unknown
2.	692 Functional areas in which fraud	6.	How the alleged fraud was discovered.
	occurred.		24 Routine audit inspec-
	27 Procurement - award Property disposition		tion, investigation, or review
	o Payroll Grants Financial assistance to		Specially requested audit, inspection, or review
	individuals (excludes loans) o Enforcement (i.e. Customs,		federal employee (know- ledge gained through work)
	IRS)o Provision of health care		o Former federal employee Contractor personnel
	or social services 359 Loans 262 Loan guarantees		Grantee personnel (excludes state or local government personnel)
	23 Personnel Inventory control/proper- ty management		Former grantee personnel Private individual (not former employee)
	0 Mail service 2 Cash control		32 Informant Consumer complaint form
	o Procurement - monitoring 15 Travel Administrative services		
			Member - Staff O Alleged victim
	ment		Confession by perpetrator Law enforcement investi- gation - state & local
3.	Principal types of fraud		51 Other Unknown
	5 Work hour abuses 73 Private use of government property	7.	Estimate of amount of loss incurred by Federal Government
	1 Forgery 27 Kickbacks/Bribes 235 False statements		
	235 False statements Non-performance of contract terms		139 \$1,001 - \$10,000 317 \$10,001 - \$100,000
	5 Theft 12 Extortion		39 \$100,001 - \$500,000 0 \$500,001 - \$1 million 1 Over \$1 million
	0 Food Stamp irregulari- ties 383 Miscellaneous fraud		19 Monetary loss - amount not available
4.	The period of time over which the alleged fraudulent activity took	8.	Participants in the alleged fraudu- lent activity.
	place		95 Federal Covernment employ- ees alone and with others
	64 3 to 6 months 39 6 months to one year		
	30 1 to 2 years 46 More than 2 years 101 Unknown		federal contractor(s)/grant- ee(s) personnel - other that state and local governments

federal Government finan-	a Fodonall-
cial assistance	warning letter
58 Individual recipient(s) of	3 Federal employee issued
Federal Government finan-	letter of counseling
cial assistance	
Other individual citizens	
11 Other corporate or business	oral warning
entities (such as lending	3 Federal employee demoted
institutions)	Federal employee trans-
	ferred
1 Unknown	o Formal loss recovery plan
	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(a) of	Employee resigned pending
Federal Government finan-	dismissal
cial assistance	•
	3 Unknown
grantee(s) personnel -	
(other than state and	Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
O Corporate recipients of	or marviduars. a/
Federal Government fi-	O Suspended from doing busi-
	ness with the Federal
nancial assistance in	
collusion with indivi-	Government .
dual recipient(a) of	O_ Debarred from doing busi-
Federal Covernment fi-	ness with the Federal
nancial assistance	Government
O Other corporate or busi-	o Contract/grant cancelled
ness entities (such as	O Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	
Federal Government fi-	agreed to
nancial assistance	
Hencial applacation	No action taken
	Negotiating reimbursement
9. Status of fraud cases.	
	gram participation under
690 Closed (Includes cases	status claimed
officially closed by	o Employee/enlistee dismissed;
the agency)	employment/enlistment de-
2 Open (Includes cases under	nied
investigation and cases in	o Other

which some action may have	352 Unkaawa
which some action may have	352 Unknown
been taken but the cases	
	13. Type of legal action or prosecution
been taken but the cases	
been taken but the cases	13. Type of legal action or prosecution taken.
been taken but the cases were not officially closed)	13. Type of legal action or prosecution taken. 10 Civil prosecution
been taken but the cases were not officially closed) The following statistics are for	13. Type of legal action or prosecution taken.
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution
been taken but the cases were not officially closed) The following statistics are for	13. Type of legal action or prosecution taken. 10 Civil prosecution 27 Criminal prosecution Civil and criminal prosecution
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency.	13. Type of legal action or prosecution taken. 10 Civil prosecution 27 Criminal prosecution Civil and criminal prosecution
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe-	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion Court martial
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency.	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator.	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion Court martial 14. Outcome of civil proceedings.
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 487 Administrative action only	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. Administrative action only Legal action only	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Administrative and legal	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. Administrative action only Legal action only Legal action only action	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion Court martial 14. Outcome of civil proceedings. 10 Judgment for plaintiff Judgment for the defendant Unknown
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Administrative and legal	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. Administrative action only Legal action only Administrative and legal action Unknown	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Administrative and legal action Unknown 13 No action taken - sus-	13. Type of legal action or prosecution taken. 10 Civil prosecution Criminal prosecution Civil and criminal prosecution Pre-trial diversion Court martial 14. Outcome of civil proceedings. 10 Judgment for plaintiff Judgment for the defendant Unknown
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator.	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator.	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator.	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. Administrative action only legal action only legal action only action action 13 Unknown No action taken - suspect identified No action taken - suspect unknown	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Legal action only Administrative and legal action 13 Unknown 123 No action taken - suspect identified No action taken - suspect unknown 11. Types of administrative action taken	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Legal action only Administrative and legal action 13 Unknown 123 No action taken - suspect identified No action taken - suspect unknown 11. Types of administrative action taken	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. Administrative action only legal action only legal action only action action 13 Unknown No action taken - suspect identified No action taken - suspect unknown	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator.	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Legal action only Administrative and legal action 13 Unknown 123 No action taken - suspect identified 0 No action taken - suspect unknown 11. Types of administrative action taken against Federal employees. A	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator.	13. Type of legal action or prosecution taken. 10
been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 487 Administrative action only Legal action only Legal action only Administrative and legal action 13 Unknown 123 No action taken - suspect identified 0 No action taken - suspect unknown 11. Types of administrative action taken against Federal employees. A	13. Type of legal action or prosecution taken. 10

Social Security Administration

he following statistics are for closed ases and open cases where some admin- strative or legal action was taken or o action was taken because no suspect		5.	The period of time between occur- rence and discovery of the fraud- ulent activity. 1 458 Less than 3 months
oul	d be identified.		1,090 3 to 6 months
ì.	Number of fraud or other illegal activity cases in the universe.		1,946 6 months to one year 3,216 1 to 2 years 4,541 More than 2 years Unknown
	13,147	6.	How the alleged fraud was discov-
2.			ered.
	occurred. O Procurement - award		7,778 Routine audit, inspection, investigation,
	O Property disposition		or review
	o Payroll		Specially requested
	o Grants		audit, inspection, or review
	13,147 Financial assistance to individuals (excludes loans)		709 Federal employee (know- ledge gained through
			work)
	IRS)		Former federal employee Contractor personnel
	o Provision of health care or social services		Grantee personnel (ex-
	0 Loans		cludes state or local
	O Loan quarantees		government personnel)
	o Personnel		o Former grantee personnel
	o Inventory control/proper-		1.932 Private individual (not former employee)
	ty management		582 Informant
	o Mail service O Cash control		O Consumer complaint form
	O Cash control O Procurement - monitoring		O Unsuccessful bidder
	O Iravel		O News media
	O Administrative services		O Congressional Committee
	o Training and education		Member - Staff O Alleged victim
	O Personal property manage-		O Alleged victim628 Confession by perpetrator
	ment o Other		47 Law enforcement investi-
	O Other O Unknown		gation - state & local
			803_ Other
3.	Principal types of fraud		481 Unknown
	O Work hour abuses	7.	Estimate of amount of loss incurred
	<pre>O Private use of government</pre>	• •	by Federal Government
	property		•
			295 Less than \$100
	O Kickbacks/Bribes 12,045 False statements		3,692 \$101 - \$1,000
	O Non-performance of con-		6,205 \$1,001 - \$10,000 415 \$10,001 - \$100,000
	tract terms		<u>415</u> \$10,001 - \$100,000 <u>0</u> \$100,001 - \$500,000
	594		0 \$500,001 - \$1 million
	221 Extortion		Over \$1 million
	o Food Stamp irregulari-		783 Monetary loss - amount not
	O Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.			lent activity.
	alleged fraudulent activity took		74 5-4> 0
	place.		74 Federal Covernment employ- ees alone and with others
	1,672 Less than 3 months		
	1,392 3 to 6 months 2,327 6 months to one year		employees
	<u>2,327</u> 6 months to one year <u>2,727</u> 1 to 2 years		<pre>0 Federal contractor(s)/grant=</pre>
	4,421 More than 2 years		ee(s) personnel - other than
	609 Unknown		state and local governments

o Corporate recipient(s) of	
Federal Government finan-	Federal employee issued
cial assistance	warning letter
11.262 Individual recipient(s) of	
Federal Government finan-	letter of counseling
cial assistance	
Other individual citizens	oral warning
Other corporate or business	Federal employee demoted
entities (such as lending	O Federal employee demoted
institutions)	
O Unknown	ferred
O State and local government	O Formal loss recovery plan
employees in collusion with	_agreed to
individual recipient(s) of	O Extra duty (military)
Federal Government finan-	
cial assistance	dismissal
	O_ Other
Federal contractor(a)/	o Unknown
grantee(s) personnel -	10 • • • • • • • • • • • • • • • • • • •
(other than state and	Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, cornors,
dividual recipient(s)	tions, non-profit organizations.
of Federal Covernment	state and local governments, etc.)
financial assistance	or individuals. a/
74 Corporate recipients of	O Supported S de l'
Federal Government fi-	O Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(a) of	O Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
o Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	93 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	4,654 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	2,656 No action taken
	1,524 Negotiating reimbursement
9. Status of fraud cases.	2,653 Declared ineligible for pro-
	gram participation under
_11,657 Closed (Includes cases	etatus claimed
officially closed by	
the agency)	
1,490 Open (Includes cases under	employment/enlistment ds- nied
investigation and cases in	
which some action may have	
been taken but the cases	Unknown
were not officially closed)	17 Type of lead water
eare not officially closed)	13. Type of legal action or prosecution
	taken.
	0.11
The following statistics are for	o Civil prosecution
cases officially closed by the	636 Criminal prosecution
agency.	O Civil and criminal prosecution
	<u>147</u> Pro-trial diversion
	O Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
8,854 Administrative action only	
636 Legal action only	
147 Administrative and legal	O Unknown
action	
O Unknown	15. Outcome of criminal prosecution.
2,020 No action taken - Bus-	(Excludes court martials)
pect identified	(cyclodes contt martials)
O No action taken - sus-	a Associate 3
pect unknown	O Acquittal
. Pocc dikilitati	636 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	o Other
against Federal employees. g/	
O No action taken	a/ In many cases, federal agencies took
o Federal employee dismissed	more than one type administrative
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.
	canon die recorded Hele.

Department of Transportation

The following statistics are for closed cases and open cases where some admin- istrative or legal action was taken or no action was taken because no suspect		5.	 The period of time between occur- rence and discovery of the fraud- ulent activity. 	
	ld be identified.		314 Less than 3 months 45 3 to 6 months	
1.	Number of fraud or other illegal activity cases in the universe.		45 6 months to one year 53 1 to 2 years 40 More than 2 years Unknown	
	<u>548</u>	6.		
2.	functional areas in which fraud occurred.		ered.	
	26 Procurement - award Property disposition 88 Payroll		59 Routine audit, inspection, investigation, or review 34 Specially requested	
	4 Grants 4 Financial assistance to individuals (excludes		audit, inspection, or review 354 Federal employee (know-	
	loans) 14 Enforcement (i.e. Customs, IRS)		ledge gained through work) 8 Former federal employee	
	O Provision of health care or social services Loans		20 Contractor personnel Crantee personnel (excludes state or local	
	O Loan guarantees 61 Personnel 232 Inventory control/proper- ty management		government personnel) Former grantee personnel Private individual (not former employee)	
	8 Mail service 26 Cash control 18 Procurement - monitoring		14 Informant O Consumer complaint form O Unsuccessful bidder	
	57 Travel Administrative services Training and education		0 News media 0 Congressional Committee Member - Staff	
	0 Personal property manage- ment 4 Other		O Alleged victim O Confession by perpetrator Law enforcement investi-	
3.	O Unknown Principal types of fraud		gation - state & local 12 Other 28 Unknown	
	62 Work hour abuses Private use of government	7.	Estimate of amount of loss incurred by Federal Government	
	property 6 Forgery 31 Kickbacks/Bribes False statements			
	8 Non-performance of contract terms 212 Theft		61 \$1,001 - \$10,000 30 \$10,001 - \$100,000 4 \$100,001 - \$500,000	
	4 Extortion O Food Stamp irregularities		0 \$500,001 - \$1 million 0 Over \$1 million 156 Monetary loss - amount not	
4.	37 Miscellaneous fraud The period of time over which the	8.	available Participants in the alleged fraudu-	
••	alleged fraudulent activity took place.		lent activity.	
	379 Less than 3 months 33 3 to 6 months 18 6 months to one year		gg1 Federal Government employ- ees alone and with others 20 State and local government employees	
	45 1 to 2 years 36 More than 2 years 36 Unknown			

	6			31	_ rederal employee suspended
		Federal Government finan-		37	
		cial essistance			warning letter
				14	_ Federal employee issued
		Federal Government finan-			letter of counseling
		cial assistance		14	_ Federal employee issued
	2.8	Other individual citizens			oral warning
	0	Other corporate or business		6	_ Federal employee demoted
		entities (such as lending		2	
		institutions)			ferred
	87				
	0	• •		/-	formal loss recovery plan
		employees in collusion with			agreed to
				8	
		individual recipient(s) of		4	
		Federal Covernment finan-			dismiseal
		cial assistance		14	_ Other
	0	Federal contractor(s)/		68	Unknown
		grantee(s) personnel -			_
		(other than state and	1	Types of	administrative action taken
		local government(s))		against	non-federal government or-
		in collusion with in-		ganizat i	ions (contractors, corpora-
		dividual recipient(s)		tions r	non-profit organizations,
		of Federal Government		state or	nd local governments, etc.)
		financial assistance		or iodi.	iduals. a/
	_			or more	Todars. a/
	0	Corporate recipients of		0	Suspended from doing busi-
		Federal Government fi-			ness with the Federal
		nancial assistance in			
		collusion with indivi-			Government
		dual recipient(s) of		0	Debarred from doing busi-
		Federal Government fi-			ness with the Federal
		nancial assistance			Government
	0	Other corporate or busi-		2	Contract/grant cancelled
	<u>~</u>	ness entities (such as			Issued warning and they a-
					
		lending institutions)			greed to take corrective
		in collusion with indi-			action
		vidual recipient(s) of		2	·
		Federal Government fi-			agreed to
		nancial assistance		38	No action taken
					Negotiating reimbursement
9.	Status of	fraud cases.		0	Declared ineligible for pro-
•					gram participation under
	514	flosed (Includes cases			status claimed
		officially closed by		4	
					employment/enlistment de-
	2.4	the agency)			nied
	34	Open (Includes cases under		1.0	
		investigation and cases in		18	
		which some action may have		36	Unknown
		been taken but the cases			
		were not officially closed)	13		legal action or prosecution
				taken.	
T h =	fallami	no ototistico con for		0	Civil prosecution
		ng statistics are for		8	Criminal prosecution
		ially closed by the		0	
age	ncy.				Pro-trial diversion
				12	Court martial
10.	Type of a	ction taken against perpe-			
	trator.	, ,	14	. Uutcome	of civil proceedings.
	207	Administrative action only		.0	Judgment for plaintiff
					Judgment for the defendant
	4				
	16				
		action		0.1	
		Unknown	15	. Uutcome	of criminal prosecution.
	113			(Exclude:	s court martials)
		pect identified			
	83	No action taken - sus-		0	Acquittal
		pect unknown			Conviction (guilty plea, nolo
					contendre)
				1	Other
11.	Types of	administrative action taken		4	OCHG1
	against f	ederal employees.			
	116	No action taken	u /	In many ca	uses, federal agencies took
	115	Fodoral) · · ·	_	more than	one type administrative
	25	Federal employee dismissed			sinst those who commit fraud
					illegal acts. All actions
				caken are	recorded here.

Department of the Treasury

			Till a f time between occur-
bo !	following statistics are for closed	5.	The period of time between occur-
ne i	Ollowing Sederates are come odmin-		rence and discovery of the fraud-
ase	s and open cases where some admin-		1 - b antivity
ct r	ative or legal action was taken or		ulent activity.
SCI	active of logic document on curnect		
o a	ction was taken because no suspect		1 012 Less than 3 months
	d be identified.		
COOT	d be identified.		200 3 to 6 months
			217 6 months to one year
	Number of fraud or other illegal ac-		109 1 to 2 years
1.	Number of fraud of other illegal ac-		173 More than 2 years
	tivity cases in the universe.		
	CIVILY Cases in the district		283 Unknown
	1,994_	6.	How the alleged fraud was discov-
		٥.	
_			ered.
2.	Functional areas in which fraud		
	occurred.		
	occurred.		₆₅₉ Routine audit, inspec-
	B oward		tion, investigation,
	19 Procurement - award		or review
	20 Property disposition		
			126 Specially requested
	Payroll		
			audit, inspection, or
			review
	Financial assistance to		
	individuals (excludes		885. Federal employee (know-
	INGIAIGNIS (EXCIPAGE)		ledge gained through
	loans)		reage garned chrough
			work)
	784 Enforcement (i.e. Customs,		
	IRS)		, Former federal employee
			C street = =======
	O Provision of health care		15_ Contractor personner
			Grantee personnel (ex-
	or social services		
	ο Loans		cludes state or local
			qovernment personnel)
	O Loan guarantees		doner metre ber source)
			Former grantee personnel
	529 Personnel		
	309 Inventory control/proper-		
			former employee)
	ty management		
	3 Mail service		58 Informant
	27_ Cash control		
			O Unsuccessful bidder
	Procurement - monitoring		
			O News media
			Congressional Committee
	62 Administrative services		
			Member - Staff
			a Alloged wistin
	Personal property manage-		o_ Alleged victim
			Confession by perpetrator
	ment		
	a Other		O Law enforcement investi-
	o uther		gation - state & local
	o Unknown		
			₆₃ Other
7	Principal types of fraud		21 Unknown
3.	Fillicipal types of Fiedo		
	Heat, barra abrigada	_	
	_214 Work hour abuses	7.	Estimate of amount of loss incurred
			by Federal Government
			by rederat Government
	property		
	e' ' '		1 bbn- 6100
			119 Less than \$100
	429 Kickbacks/Bribes		
	571 false statements		100 \$1,001 - \$10,000
	N		
	o Non-performance of con-		20 \$10,001 - \$100,000
	tract terms		19 \$100,001 - \$500,000
	a.		
	251_ Theft		1 \$500,001 - \$1 million
			O Over \$1 million
	O Food Stamp irregulari-		654 Monetary loss - amount not
	ties		available
	336 Miscellaneous fraud		== = · = · =
	336_ Miscellaneous fraud		
		0	. Participants in the alleged fraudu-
		0	
1.	The period of time over which the		lent activity.
4.	the berion of crine over mittell the		•
	alleged fraudulent activity took		
			1,298 Federal Covernment employ-
	place.		1/200 1000101 000011110111 111011
	7		ees alone and with others
			Chata and legal apparament
	<u>182</u> 3 to 6 months		
			employees
	161 6 months to one year		5 1 1 1 t t (-) /anont
			o_ Federal contractor(s)/grant-
			ee(s) personnel - other tha
	186 More than 2 years		
			state and local governments
	204 Unknown		•

Corporate recipient(s) of Federal Covernment finan-	103 Federal employee suspended 256 Federal employee issued
cial assistance	warning letter
cial assistance	letter of counseling
232 Other individual citizens	Federal employee issued oral warning
174 Other corporate or business	29 Federal employee demoted
entities (such as lending	Federal employee trans-
institutions) Unknown	ferred
State and local government	82 Formal loss recovery plan
employees in collusion with	agreed to O Extra duty (military)
individual recipient(a) of	
Federal Government finan- cial assistance	dismissal
O Federal contractor(s)/	Other
grantee(s) personnel -	OUnknown
(other than state and	12. Types of administrative action taken
local government(s))	against non-Federal novernment or-
in collusion with in-	ganizations (contractors, cornors,
dividual recipient(s) of Federal Government	tions, non-profit organizations
financial assistance	state and local governments, etc.) or individuals. a/
o Corporate recipients of	-
Federal Government fi-	Suspended from doing busi- ness with the Federal
nancial assistance in collusion with indivi-	
dual recipient(s) of	Covernment
Federal Covernment fi⊸	
nancial assistance	Government
Other corporate or busi- ness entities (such as	o Contract/grant cancelled
lending institutions)	O Issued warning and they a-
in collusion with indi-	greed to take corrective action
vidual recipient(a) of	Formal loss recovery plan
Federal Government fi- nancial assistance	agreed to
HOWELST SESTECTION	351 No action taken0 Negotiating reimbursement
9. Status of fraud cases.	O Declared ineligible for pro-
1,852 Closed (Includes cases	gram participation under status claimed
officially closed by	
the agency)	employment/enlistment de-
<u>142</u> Open (Includes cases under investigation and cases in	nied
which some action may have	
been taken but the cases	
were not officially closed)	Type of legal action or prosecution
	taken.
The following statistics are for	O Civil prosecution
cases officially closed by the	Criminal prosecution
agency.	o Civil and criminal prosecution
	O Court martial
10. Type of action taken against perpe-	
trator.	Outcome of civil proceedings.
Administrative action only	o_ Judgment for plaintiff
124 Legal action only	O Judgment for the defendant
7 Administrative and legal	O Unknown
action o Unknown	N. O. I
729 No action taken - sus-	 Outcome of criminal prosecution. (Excludes court martials)
pect identified	(Excludes court martials)
232 No action taken - sus-	23 Acquittal
pect unknown	Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	O Other
against Federal employees.	
602 No action taken	a/ In many cases, federal agencies took
: 119 Federal employee dismissed	more than one type administrative
	action against those who commit fraud or other illegal acts. All actions
	taken are recorded here.

Veterans Administration

case istr	following statistics are for closed s and open cases where some admin- ative or legal action was taken or ction was taken because no suspect	5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
coul	d be identified.		339 Less than 3 months
			3 to 6 months 6 months to one year
			340 6 months to one year 1 to 2 years.
1.	Number of fraud or other illegal ac-		512 More than 2 years
	tivity cases in the universe.		255 Unknown
	1,996		
	1,000	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		
			<u>424</u> Routine audit, inspec-
	O Procurement - award		tion, investigation,
	2 Property disposition		or review
	2 Payroll		Specially requested
	O Grants		audit, inspection, or
	Financial assistance to		review
	individuals (excludes		382 Federal employee (know-
	loans)		ledge gained through
	O Enforcement (i.e. Customs,		work)
	IRS)		o Former federal employee
	59 Provision of health care		29 Contractor personnel
	or social services		O Grantee personnel (ex- cludes state or local
	12 Loans		government personnel)
	1,249 Loan guarantees		Former grantee personnel
	Personnel		Datumba individual /ask
	Inventory control/proper- ty management		former employee)
			1-6
			Consumer complaint form
			Unsuccessful bidder
			Q News media
	31 Iravel Administrative services		O Congressional Committee
	38 Training and education		Member - Staff
	O Personal property manage-		o Alleged victim
	ment		23 Confession by perpetrator
	o Other		225 Law enforcement investi-
	0 Unknown		gation - state & local
			<u>139</u> Other
3.	Principal types of fraud		466 Unknown
	6 Work hour abuses	7.	Estimate of amount of loss incurred
	4 Private use of government		by Federal Government
	property		
	254 Forgery		67 Less than \$100
	o Kickbacks/Bribes		<u>148</u> \$101 - \$1,000
	1,555 False statements Non-performance of con-		<u>600</u> \$1,001 - \$10,000
	tract terms		<u>160</u> \$10,001 - \$100,000
	61 Theft		<u>o</u> \$100,001 - \$500,000
			<u>o</u> \$500,001 - \$1 million
			Over \$1 million
	ties		160 Monetary loss - amount not
	Miscellaneous fraud		available
		8.	Participants in the alleged fraudu-
4.	The period of time over which the	0.	lent activity.
4.	alleged fraudulent activity took		Zone doctorej.
	place.		275 Federal Government employ-
			ees alone and with others
	853 Less than 3 months 99 3 to 6 months		a State and local government
	6 months to one year		employees
	301 1 to 2 years		o Federal contractor(s)/grant-
			ee(s) personnel - other than
	44 Unknown		state and local governments

o Corporate recipient(s) of Federal Government finan-	7 Federal employee suspended
cial assistance	8 Federal employee issued warning letter
	Federal employee issued
Federal Government finan- cial assistance	letter of counseling
57 Other individual citizens	Federal employee issued oral warning
164 Other corporate or business	Federal employee demoted
entities (such as lending	Federal employee trans-
institutions) 20 Unknown	ferred
O State and local government	6 Formal loss recovery plan agreed to
employees in collusion with	o Extra duty (military)
individual recipient(s) of Federal Government finan-	Employee resigned pending
cial assistance	dismissal o Other
Federal contractor(s)/	0 Unknown
grantee(s) personnel -	• • •
(other than state and	12. Types of administrative action taken
local government(s)) in collusion with in-	against non-Federal government or- ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations.
of Federal Government	state and local governments, etc.)
financial assistance Ocrporate recipients of	or individuals. <u>a</u> ∕
Federal Government fi-	Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(s) of Federal Government fi-	o Debarred from doing busi- ness with the Federal
nancial assistance	Government
678 Other corporate or busi-	
ness entities (such as lending institutions)	5 Issued warning and they a- greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	540 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	835 No action taken 58 Negotiating reimbursement
9. Status of fraud cases.	62 Declared ineligible for pro-
	gram participation under
<u>1,666</u> Closed (Includes cases officially closed by	status claimed Employee/enlistee dismissed;
the agency)	employment/enlistment de-
Open (Includes cases under	nied 75 Other
investigation and cases in which some action may have	75 Uther 33 Unknown
been taken but the cases	
were not officially closed)	Type of legal action or prosecution
	taken.
The following statistics are for	Civil prosecution
cases officially closed by the	Criminal prosecution
agency.	Civil and criminal prosecution Pre-trial diversion
	Court martial
10. Type of action taken against perpe-	
trator.	14. Dutcome of civil proceedings.
Administrative action only	5 Judgment for plaintiff
Legal action only	Judgment for the defendant
Administrative and legal	OUnknown
ection o Unknown	15. Outcome of criminal prosecution.
No action taken - sus-	(Excludes court martials)
pect identified	
20 No action taken - sus-	O Acquittal
pect unknown	Conviction (guilty plea, nolo contendre)
11 Ivnes of administration and	OOther
 Types of administrative action taken against Federal employees. a/ 	
-	a/ In many cases, federal agencies took
No action taken	<u>a/</u> In many cases, federal agencies took more than one type administrative
Federal employee dismissed	action against those who commit from
	or other illegal acts. All actions
	taken are recorded here.

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