



BJA Bureau of Justice Assistance

Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program Fiscal Year 1999

Program Guide and Application Kit

**U.S. Department of Justice
Office of Justice Programs**
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For grant and funding information contact
U.S. Department of Justice Response Center
1-800-421-6770

The Bureau of Justice Assistance is a component of the Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime.



**Closed-Circuit Televising
of Testimony of Children Who
Are Victims of Abuse
Grant Program
Fiscal Year 1999**

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Introduction

The Bureau of Justice Assistance (BJA) is announcing a program to provide equipment and personnel training for closed-circuit televising and videotaping of the testimony of children in criminal proceedings relating to the abuse of children. The Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program seeks to reduce the trauma to children who testify at hearings or trials. The program encourages states to pass laws that allow the use of closed-circuit televising and videotaping of testimony of children in criminal proceedings against individuals charged with violating laws relating to child abuse. Funding can be used to assist courts or other agencies in establishing procedures, obtaining equipment, and conducting the types of training necessary to televise or videotape interviews with and testimony of child victims and witnesses. The Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program is authorized by Part N of the Omnibus Crime Control and Safe Streets Act of 1968, as amended.

Purpose

The purpose of this program is to make grants to eligible states and local jurisdictions to provide equipment and personnel training for closed-circuit televising and videotaping of the testimony of children in criminal proceedings relating to the abuse of children.

The goals of this program are to:

- Demonstrate the effective and practical use of television and videotaping technology in obtaining testimony from child witnesses for use in criminal proceedings.
- Identify prototype programs.
- Encourage the replication of effective programs using television and videotaping technologies in other jurisdictions.
- Develop and provide training and technical assistance to facilitate the success and replication of programs.
- Assess the effectiveness of funded programs.

Eligibility Requirements

To be eligible to receive a grant under this program, each state or local unit of government that is applying for funds must meet the following conditions:

1. Each applicant must certify that there is a state law in effect that permits the closed-circuit televising and videotaping of testimony of children in criminal proceedings relating to the abuse of children. (See Appendix A for a Certification Statement to be completed and submitted with your application.)
2. Each applicant must attach a copy of the state law that meets the following criteria:
 - A. A judge must determine, on a case-by-case basis, that a child witness will be traumatized by the presence of the defendant.
 - B. The trauma suffered must be more than de minimis.
 - C. The child witness must give his or her statement under oath.
 - D. The child witness must submit to cross-examination.
 - E. The finder of fact must be permitted to observe the demeanor of the child witness in making his or her statement, and the defendant must be permitted to communicate with the defense attorney during testimony.

For purposes of this grant program, the term “state” refers to any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. Additionally, the term “local unit of government” refers to a town or township, village, city, county, or recognized governing body of an Indian tribe or Alaska Native village that carries out substantial governmental duties and powers.

Application Requirements

States and local units of government applying for grants under this program must complete the following:

- Short concept narrative, not to exceed six pages.
- Certification Statement for state law compliance with a copy of the state law attached.
- Application For Federal Assistance (Federal Standard Form 424).
- Standard Assurances (OJP Form 40003).
- Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (OJP Form 406 1/6).
- Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions.
- Disclosure of Lobbying Activities.
- Budget Detail Worksheet (OJP Form 7150/1).
- Signed certification that award moneys will supplement, but not supplant, state and local funds.

Concept Paper Format and Submission Criteria

A short concept narrative, **not to exceed six pages**, is required. Each concept paper must address the proposed use of the grant funds, and must follow the scoring criteria in the same order as presented below. Papers that do not address each topic in the selection criteria will be removed from the review process. If statistics, research, or other materials are used, simply cite the information source. A formal evaluation of funded projects will be conducted. Selected projects will be featured at a national conference. Concept papers will be scored using the following criteria:

1. What is your approach to implementing closed-circuit television and videotape technologies for child victim testimonies in criminal proceedings? (25 points)

This section should describe the problem to be addressed. It should also describe how the technology will be used to address the problem. We recommend concepts that involve statewide or regionwide deployment of the technologies, allowing for accessibility by a number of communities.

2. Describe your coordination activities across agencies and organizations involved in the implementation of the project. (25 points)

This section should discuss your plan to coordinate with other jurisdictions and to ensure multidisciplinary partnerships that will facilitate the broadest use of closed-circuit

televising and videotaping technologies within the state. We encourage concepts that show broad-based, multidisciplinary support from prosecutors, judges, law enforcement personnel, defense attorneys, victim and witness advocates, doctors, mental health workers, and other professionals involved with child victims and that provide mechanisms that ensure the most effective and practical use of the technologies.

3. What is your plan to provide training and technical assistance to a broad spectrum of criminal justice professionals in the use of the technologies? (25 points)

This section should describe your plan to provide training and technical assistance to personnel, not only on the technical aspects of the use of equipment, but also on the legal requirements for using the technologies to conduct forensic interviews with child victims. The plan should outline how personnel with the responsibility for operating the equipment and interacting with child victims will be trained.

4. How will you assess the effectiveness of your project? (15 points)

This section should discuss what in-house resources will be used to measure the performance and assist in the formal evaluation of your project. An outside contractor will be secured by BJA to evaluate the effectiveness of the projects selected. Applicants whose projects are funded will be required to acknowledge their willingness to cooperate with the outside evaluator. The evaluation component will require the grantee to track the use of equipment using a data collection form designed by the evaluator and to monitor the performance of the project. Further, the grantee will be required to allow the evaluator access to case records (in accordance with laws regarding confidentiality) and to ensure that practitioners will be available for interviews with the evaluator.

5. Budget (to be submitted in an appendix). (10 points)

A budget that is reasonable and necessary for the activities proposed is required. The budget must be supported by a narrative that documents how estimated costs for budgeted items are derived and that relates those costs to the purposes of the project. **This program does not require grantees to provide matching funds, but leveraging of resources is looked upon favorably.** (See Appendix C for the Budget Detail Worksheet and Sample to assist in preparation of the budget.)

Application Guidelines

To gain the greatest benefit from the limited funding available under this program, awards will be competitively selected and issued in amounts adequate to meet program goals and objectives. Funding will be awarded to interested states and local units of governments with creative, innovative proposals. Applications will be reviewed by BJA. Applicants selected for awards will be expected to participate in a formal evaluation of the program. Funded programs may be featured at events sponsored by BJA, and grantees are expected to participate in such events when requested.

Award Period

Grantees selected for awards will be funded for a period of 12 months.

Award Amount

The total amount available for this program is \$1,000,000. Ten to fifteen grants ranging from a minimum of \$50,000 to a maximum of \$100,000 will be awarded in a simplified, but competitive, award process. Additional funding will be directed to support technical assistance and continue the evaluation components of the program.

Due Date

Applications must be postmarked no later than **July 19, 1999**.

Submission Address

All required forms and documentation must be completed and submitted by the application deadline. An original and two copies of the application must be sent to:

Bureau of Justice Assistance
c/o Program Development Division
810 Seventh Street NW.
Washington, DC 20531

Contact

For further information, contact the U.S. Department of Justice Response Center at 1-800-421-6770.

Administrative Requirements

Application for Federal Assistance (SF-424)

The Application for Federal Assistance (SF-424) is a standard form used by most Federal agencies for applications for Federal assistance. The form contains 18 items, all of which must be completed in order for your application to be reviewed. The Bureau of Justice Assistance (BJA) cannot accept the application without a completed and signed SF-424. (See Appendix B for instructions on completing this form.)

Single Point of Contact Review

Executive Order 12372 requires applicants from state and local units of government, or other organizations providing services within a state, to submit a copy of the grant application to the State Single Point of Contact (SPOC), if one exists. State SPOCs are listed in Appendix D of this application kit. Applicants must contact their SPOC to determine whether the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program has been selected for review. If it has, the date that the application was sent to the state SPOC should be entered in block 16 of SF-424. If no SPOC exists or if the program has not been selected for review, enter an X in the appropriate box in block 16 of SF-424.

Grant Funds Must Supplement and Not Supplant

A written certification, in the form of a letter addressed to the Director of the Bureau of Justice Assistance, must be provided in the application. This letter must certify that Federal funds will be used to *supplement* existing funds for program activities and will not *replace* funds that have been appropriated for the same purpose. Violations can result in a range of penalties, including suspension of future funds under this program, suspension of or debarment from obtaining Federal grants, recoupment of moneys provided under this grant, and civil and/or criminal penalties.

Organizational Capability

The applicant's potential ability to conduct the project successfully from both a programmatic and a financial position must be described. The applicant must have the management and financial capability to implement the project effectively. The application should describe any past experience in administering grants. The **Qualification of Project Staff** should be described in the application. The application should contain a description of the management structure and staffing for the project and demonstrate the ability of the staff to successfully implement and complete the project. The project director must be designated.

Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants should review and sign the certification form included in this application kit after carefully reading the instructions provided with the form. A signature on the certification form commits the applicant to compliance with the certification requirements under 28 CFR Part 69, “New Restrictions on Lobbying,” and 28 CFR Part 67, “Government-wide Debarment and Suspension (Nonprocurement and Government-wide Requirements for Drug-Free Workplace Grants).”

Civil Rights

All recipients of Federal grant funds are required to comply with nondiscrimination requirements contained in various Federal laws. Specifically, the statute that governs programs or activities funded by the Office of Justice Programs (Section 809(c), Omnibus Crime Control and Safe Streets Act of 1968, as amended, 42 U.S.C. 3789d), prohibits discrimination as follows:

No person in any state shall, on the grounds of race, color, religion, national origin, sex [or disability]* be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, or denied employment in connection with, any program or activity funded in whole or in part with funds made available under this title.

* Section 504 of the Rehabilitation Act of 1973 prohibits identical discrimination on the basis of disability.

If funded, a grantee must acknowledge that failure to submit an acceptable Equal Employment Opportunity Plan approved by the OJP Office for Civil Rights (if the grantee is required to submit one pursuant to 28 CFR 42.302) is a violation of the grantee’s certified assurances and may result in the suspension of funds.

If any court or administrative agency makes a finding of discrimination on the grounds of race, color, religion, national origin, sex, disability, or age against a recipient of funds, the recipient must agree to forward a copy of the findings to the OJP Office for Civil Rights.

Audit Information

State and local governments are governed by the Single Audit Act of 1984 and OMB Circular A-133, “Audits of State and Local Governments and Nonprofit Organizations.” Recipients who expend \$300,000 or more per year in Federal awards shall have a single or program-specific audit conducted in accordance with the provisions of this circular.

Applicants are required to provide the period of their organization's fiscal year and the name of their organization's cognizant or overseeing Federal agency in block 11 of the Application for Federal Assistance (SF-424). The cognizant or overseeing Federal agency responsible for conducting the audit is generally determined by the preponderance of Federal moneys received by the applicant from that Federal agency.

Reporting Requirements

Recipients of funding are required to submit semiannual progress reports and quarterly financial status reports. The progress reports describe the performance of activities or the accomplishment of objectives, as set forth in the approved grant award. Progress reports must be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. A final report, which provides a summary of progress toward achieving the goals of the award, is due 120 days after the end date of the grant.

Financial Status Reports (SF-269A) are required quarterly on the 45th day following the end of each calendar quarter. Even when there have been no outlays, a report containing zeros must be submitted to the Office of the Comptroller. Grantees are also required to maintain adequate documentation to provide an audit trail that substantiates the amounts reported on each SF-269A as submitted. A report must be submitted for every quarter the award is active. The financial status report is due 120 days after the ending date of the award. The Office of the Comptroller will provide a copy of this form in the award package.

Suspension or Termination of Funding

BJA may suspend (in whole or in part), terminate funding for, or impose another sanction on a recipient for any of the following reasons:

- A. Failure to substantially comply with the requirements or statutory objectives of the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program, program guidelines issued thereunder, or other provisions of Federal law.
- B. Failure to make satisfactory progress toward the goals or strategies set forth in the application.
- C. Failure to adhere to the requirements in the agreement, standard conditions, or special conditions.
- D. Proposal or implementation of substantial changes in the plan to the extent that, if the revised plan were the one originally submitted, the application would not have been selected for funding.

E. Failure to submit reports.

F. Filing of a false certification in the application or other report or document.

Before imposing sanctions, BJA will provide reasonable notice to the recipient of its intent to impose sanctions and will attempt to resolve the problem informally. Hearing and appeal procedures will follow those outlined in the U.S. Department of Justice regulations in 28 CFR, Part 18.

Appendix A

Certification Statement

Appendix B

Application Forms and Instructions

Instructions for Completion of the Application for Federal Assistance (SF 424)

The Application for Federal Assistance is a standard form used by most Federal agencies. This form contains 18 different items, which are to be completed before submission. All applications should include a completed and signed SF 424.

Item	Instructions
1	Type of Submission: If this proposal is not for construction or building purposes, check "Non-Construction"
2	Date Submitted: Indicate the date you sent the application to OJP. The "Application Identifier" is the number assigned by your jurisdiction, if any. If your jurisdiction does not assign an identifier number, leave this space blank.
3	Date Received by State: Leave blank. This block is completed by the State single point of contact, if applicable.
4	Date Received by Federal Agency: This item will be completed by OJP.
5	Applicant Information: The "Legal Name" is the unit of government of the parent organization. For example, the primary or parent organization of a law enforcement agency is the name of the city or township. Thus the city or township should be entered into the Legal Name box and the name of the law enforcement agency would be entered into the Organizational Unit box. Designate one person as the contact, and include their telephone number.
6	Employer Identification Number: Each employer receives an employer identification number from the Internal Revenue Service. Generally, this number can be easily obtained from your agency's accountant or comptroller.
7	Type of Applicant: Enter the appropriate letter in this space. If the applicant is representing a consortium of agencies, specify by checking Block N and entering "consortium".
8	Type of Application: Check either "new" or "continuation". Check new if this will be your first award for this purpose described in the application, even if the applicant has received prior awards for other purposes. Check "continuation", if the project will continue activities of a project, that was begun under a prior award.
9	Name of Federal Agency: Type in the name of the awarding agency, such as "Bureau of Justice Assistance"
10	Catalog of Federal Domestic Assistance Number: This would be contained in the program announcement. An example would be 16._____.
11	Descriptive Title of Applicants Project: Type in the: (1) title of the program as it appears in the solicitation or announcement; (2) name of the cognizant Federal agency, ex. U. S. Department of Education; and (3) applicant's fiscal year, i.e. twelve month audit period, ex: 10/1/95 - 9/30/96.
12	Areas Affected by Project: Identify the geographic area(s) of the project. Indicate "Statewide" or "National", if applicable.
13	Proposed Project Dates: Fill in the proposed begin and end dates of the project.
14	Congressional Districts: Fill in the Congressional Districts in which the project will be located as well as the Congressional District(s) the project will serve. Indicate "Statewide" or "National", if applicable.
15	Estimated Funding: In line "a," enter the Federal funds requested, not to exceed the dollar amount allocated in the program announcement. Indicate any other resources that will be available to the project and the source of those funds on lines "b-f," as appropriate.
16	State Executive Order 12372: Some states require you to submit your application to a State "Single Point of Contact" (SPOC) to coordinate applications for Federal funds. If your State requires a copy of your application, indicate the date submitted. If a copy is not required, indicate the reason. (Refer to the "Administrative Requirements" section of the program announcement, for more information.) The SPOC is not responsible for forwarding your application.
17	Delinquent Federal Debt: This question applies to the applicant organization. Categories of debt include delinquent audit allowances, loans, and taxes.
18	Authorized Representative: Type the name of the person legally authorized to enter into agreements on behalf of your agency. This signature on the original application must be signed in blue ink and/or stamped as "original" to help identify the original.

STANDARD ASSURANCES

OMB APPROVAL NO. 1121-0140

The applicant hereby assures and certifies compliance with all Federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars No. A-21, A-110, A-122, A-128, A-87; E.O. 12372 and Uniform Administrative Requirements for Grants and Cooperative Agreements - 28 CFR, Part 66, Common Rule, that govern the application, acceptance and use of Federal funds for this federally-assisted project. Also the applicant assures and certifies that:

1. It possesses legal authority to apply for the grant; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
2. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.
3. It will comply with provisions of Federal law which limit certain political activities of employees of a State or local unit of government whose principal employment is in connection with an activity financed in whole or in part by Federal grants. (5 USC 1501, et seq.)
4. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.
5. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
6. It will give the sponsoring agency or the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.
7. It will comply with all requirements imposed by the Federal sponsoring agency concerning special requirements of law, program requirements, and other administrative requirements.
8. It will insure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.
9. It will comply with the flood insurance purchase requirements of Section 102 (a) of the Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975, approved December 31, 1976, Section 102 (a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.
10. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 USC 470). Executive Order 11593, and the Archeological and Historical Preservation Act of 1966 (16 USC 569a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.
11. It will comply, and assure the compliance of all its subgrantees and contractors, with the applicable provisions of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, the Juvenile Justice and Delinquency Prevention Act, or the Victims of Crime Act., as appropriate; the provisions of the current edition of the Office of Justice Programs Financial and Administrative Guide for Grants, M7100.1; and all other applicable Federal laws, orders, circulars, or regulations.
12. It will comply with the provisions of 28 CFR applicable to grants and cooperative agreements including Part 18, Administrative Review Procedure, Part 20, Criminal Justice Information Systems; Part 22, Confidentiality of Identifiable Research and Statistical Information; Part 23, Criminal Intelligence Systems Operating Policies; Part 30, Intergovernmental Review of Department of Justice Programs and Activities; Part 42, Nondiscrimination/Equal Employment Opportunity Policies and Procedures; Part 61, Procedures for Implementing the National Environmental Policy Act; Part 63, Floodplain Management and Wetland Protection Procedures, and Federal laws or regulations applicable to Federal assistance programs.
13. It will comply, and all its contractors will comply, with the non-discrimination requirements of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, 42 USC 3789(d), or Victims of Crime Act (as appropriate); Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title II of the Americans with Disabilities Act (ADA) (1990); Title IX of the Education Amendments of 1972; the Age Discrimination Act of 1975; Department of Justice Non-Discrimination Regulations, 28 CFR Part 42, Subparts C, D, E, and G; and Department of Justice regulations on disability discrimination, 28 CFR Part 35 and Part 39.
14. In the event a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, sex, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, Office of Justice Programs.
15. It will provide an Equal Employment Opportunity Program if required to maintain one -- the application is for \$500,000 or more.
16. It will comply with the provisions of the Coastal Barrier Resources Act (P.L. 97-348) dated October 19, 1982 (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System

Signature

Date



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510—

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a

public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620—

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about—

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will—

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 633 Indiana Avenue, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted—

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Section 67, 630 of the regulations provides that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for Department of Justice funding. States and State agencies may elect to use OJP Form 4061/7.

Check if the State has elected to complete OJP Form 4061/7.

**DRUG-FREE WORKPLACE
(GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67; Sections 67.615 and 67.620—

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 633 Indiana Avenue, N.W., Washington, D.C. 20531.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

1. Grantee Name and Address:

2. Application Number and/or Project Name

3. Grantee IRS/Vendor Number

4. Typed Name and Title of Authorized Representative

5. Signature

6. Date



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name and Title of Authorized Representative

Signature

Date

Name of Organization

Address of Organization

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntary excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: _____ **Page** _____ **of** _____

Appendix C

Budget Detail Worksheet and Sample

Budget Detail Worksheet

Purpose: The Budget Detail Worksheet may be used as a guide to assist you in the preparation of the budget and budget narrative. You may submit the budget and budget narrative using this form or in the format of your choice (plain sheets, your own form, or a variation of this form). However, all required information (including the budget narrative) must be provided. Any category of expense not applicable to your budget may be deleted.

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Name/Position

Computation

Cost

TOTAL _____

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

Name/Position	Computation	Cost
		TOTAL _____
Total Personnel & Fringe Benefits		_____

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

<u>Purpose of Travel</u>	<u>Location</u>	<u>Item</u>	<u>Computation</u>	<u>Cost</u>
--------------------------	-----------------	-------------	--------------------	-------------

TOTAL _____

D. Equipment - List non-expendable items that are to be purchased. (Note: Organization's own capitalization policy for classification of equipment should be used.) Expendable items should be included in the "Supplies" category. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

Item	Computation	Cost
-------------	--------------------	-------------

TOTAL _____

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and other expendable items such as books, hand held tape recorders) and show the basis for computation. Generally, supplies include any materials that are expendable or consumed during the course of the project.

Supply Items	Computation	Cost
--------------	-------------	------

TOTAL _____

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category.

Purpose	Description of Work	Cost
---------	---------------------	------

TOTAL _____

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Name of Consultant	Service Provided	Computation	Cost
---------------------------	-------------------------	--------------------	-------------

Subtotal _____

Consultant Expenses: List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging, etc.)

Item	Location	Computation	Cost
-------------	-----------------	--------------------	-------------

Subtotal _____

Contracts: Provide a description of the product or services to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

Item	Cost
-------------	-------------

Subtotal _____

TOTAL _____

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, and provide a monthly rental cost and how many months to rent.

Description	Computation	Cost
-------------	-------------	------

TOTAL _____

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval, (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in the direct costs categories.

Description	Computation	Cost
-------------	-------------	------

TOTAL _____

Budget Summary - When you have completed the budget worksheet, transfer the totals for each category to the spaces below. Compute the total direct costs and the total project costs. Indicate the amount of Federal requested and the amount of non-Federal funds that will support the project.

Budget Category	Amount
A. Personnel	
B. Fringe Benefits	_____
C. Travel	_____
D. Equipment	_____
E. Supplies	_____
F. Construction	_____
G. Consultants/Contracts	_____
H. Other	_____
Total Direct Costs	_____
I. Indirect Costs	_____
TOTAL PROJECT COSTS	_____

Federal Request _____

Non-Federal Amount _____

Budget Detail Worksheet

Purpose: The Budget Detail Worksheet may be used as a guide to assist you in the preparation of the budget and budget narrative. You may submit the budget and budget narrative using this form or in the format of your choice (plain sheets, your own form, or a variation of this form). However, all required information (including the budget narrative) must be provided. Any category of expense not applicable to your budget may be deleted.

(Example assumes a one year budget period and 25% cash match requirement)

A. Personnel - List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

Name/Position	Computation	Cost
John Smith, Investigator	$(\$50,000 \times 100\%)$	\$ 50,000
2 Investigators	$(\$50,000 \times 100\% \times 2)$	\$100,000
Secretary	$(\$30,000 \times 50\%)$	<u>\$ 15,000</u>
		\$165,000
Cost of living increase	$(\$165,000 \times 2\% \times .5\text{yr.})$	\$ 1,650
Overtime per investigator	$(\$37.50/\text{hr} \times 100 \text{ hrs.} \times 3)$	\$ 11,250

The three investigators will be assigned exclusively to homicide investigations. A 2% cost of living adjustment is scheduled for all full-time personnel 6-months prior to the end of the grant. Overtime will be needed during some investigations. A half-time secretary will prepare reports and provide other support to the unit.

TOTAL **\$177,900**

B. Fringe Benefits - Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Workman's Compensation, and Unemployment Compensation.

Name/Position	Computation	Cost
Employer's FICA	(\$177,900 x 7.65%)	\$ 13,609
Retirement	* (\$166,650 x 6%)	\$ 9,999
Uniform Allowance	(\$50 mo. x 12 mo. x 3)	\$ 1,800
Health Insurance	* (\$166,650 x 12%)	\$ 19,998
Workman's Compensation	(\$177,900 x 1%)	\$ 1,779
Unemployment Compensation	(\$177,900 x 1%)	\$ 1,779

* (\$177,900 less \$11,250)

TOTAL \$ 48,964

Total Personnel & Fringe Benefits \$226,864

C. Travel - Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X subsistence). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

<u>Purpose of Travel</u>	<u>Location</u>	<u>Item</u>	<u>Computation</u>	<u>Cost</u>
Training	Boston	Airfare	(\$150 x 2 people x 2 trips)	\$ 600
		Hotel	(\$75/night x 2 nights x 2 people x 2 trips)	\$ 600
		Meals	(\$35/day x 3 days x 2 people x 2 trips)	\$ 420
Investigations	New York City	Airfare	(\$600 average x 7)	\$4,200
		Hotel &	(\$100/day average x 7 x 3	\$2,100
		Meals	days)	

Two of the investigators will attend training on forensic evidence gathering in Boston in October and January. The investigators may take up to seven trips to New York City to follow up investigative leads. Travel estimates are based upon applicant's formal written travel policy.

TOTAL \$7,920

D. Equipment - List non-expendable items that are to be purchased. (Note: Organization's own capitalization policy for classification of equipment should be used.) Expendable items should be included in the "Supplies" category. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

Item	Computation	Cost
3 - 486 Computer w/CD ROM	(\$2,000 x 3)	\$6,000
Video Camera		1,000

The computers will be used by the investigators to analyze case and intelligence information. The camera will be used for investigative and crime scene work.

TOTAL \$7,000

E. Supplies - List items by type (office supplies, postage, training materials, copying paper, and other expendable items such as books, hand held tape recorders) and show the basis for computation. Generally, supplies include any materials that are expendable or consumed during the course of the project.

Supply Items	Computation	Cost
Office Supplies	(\$50/mo x 12 mo)	\$ 600
Postage	(\$20/mo x 12 mo)	240
Training Materials	(\$2/set x 500 sets)	1,000

Office supplies and postage are needed for general operation of the program. Training materials will be developed and used by the investigators to train patrol officers how to preserve crime scene evidence.

TOTAL **\$1,840**

F. Construction - As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category.

Purpose	Description of Work	Cost
Renovation	Add walls	\$5,000
	Build work tables	3,000
	Build evidence storage units	2,000

TOTAL **\$10,000**

The renovations are needed to upgrade the forensic lab used to analyze evidence for homicide cases.

G. Consultants/Contracts - Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project. Consultant fees in excess of \$450 per day require additional justification and prior approval from OJP.

Name of Consultant	Service Provided	Computation	Cost
John Doe	Forensic Specialist	(\$150/day x 30 days)	\$4,500
John Doe, Forensic Specialist, will be hired, as needed, to assist with the analysis of evidence in homicide cases.			
Subtotal			<u>\$4,500</u>

Consultant Expenses: List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging, etc.)

Item	Location	Computation	Cost
Airfare	Miami	(\$400 x 6 trips)	\$2,400
Hotel and Meals		(\$100/day x 30 days)	3,000
Joe Doe is expected to make up to 6 trips to Miami to consult on homicide cases.			
Subtotal			<u>\$5,400</u>

Contracts: Provide a description of the product or services to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100,000.

Item	Cost
Intelligence System Development	\$102,000

The State University will design an intelligence system to be used in homicide investigations. A sole source justification is attached. Procurement Policy is based on the Federal Acquisition Regulation.

Subtotal	<u>\$102,000</u>
TOTAL	<u>\$111,900</u>

H. Other Costs - List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, and provide a monthly rental cost and how many months to rent.

Description	Computation	Cost
Rent	(700 sq. ft. x \$15/sq. ft.) (\$875 mo. x 12 mo.)	\$10,500
This rent will pay for space for the new homicide unit. No space is currently available in city owned buildings.		
Telephone	(\$100/mo. x 12)	\$ 1,200
Printing/Reproduction	(\$150/mo. x 12)	1,800
TOTAL		<u>\$13,500</u>

I. Indirect Costs - Indirect costs are allowed only if the applicant has a Federally approved indirect cost rate. A copy of the rate approval, (a fully executed, negotiated agreement), must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in the direct costs categories.

Description	Computation	Cost
10% of personnel and fringe benefits	(\$226,864 x 10%)	\$22,686
The indirect cost rate was approved by the Department of Transportation, the applicant's cognizant Federal agency on January 1, 1994. (A copy of the fully executed, negotiated agreement is attached.)		
TOTAL		<u>\$22,686</u>

Budget Summary - When you have completed the budget worksheet, transfer the totals for each category to the spaces below. Compute the total direct costs and the total project costs. Indicate the amount of Federal requested and the amount of non-Federal funds that will support the project.

Budget Category	Amount
A. Personnel	<u>\$177,900</u>
B. Fringe Benefits	<u>48,964</u>
C. Travel	<u>7,920</u>
D. Equipment	<u>7,000</u>
E. Supplies	<u>1,840</u>
F. Construction	<u>10,000</u>
G. Consultants/Contracts	<u>111,900</u>
H. Other	<u>13,500</u>
Total Direct Costs	<u>379,024</u>
I. Indirect Costs	<u>22,686</u>
TOTAL PROJECT COSTS	<u>401,710</u>

Federal Request \$301,283

Non-Federal Amount 100,427

Appendix D

OMB State Single Point of Contact Listing

OMB State Single Point of Contact Listing*

STATES

ARIZONA

Joni Saad
Arizona State Clearinghouse
3800 North Central Avenue
14th Floor
Phoenix, AZ 85012
Phone: (602) 280-1315
Fax: (602) 280-8144

ARKANSAS

Mr. Tracy L. Copeland
Manager, State Clearinghouse
Office of Intergovernmental Services
Department of Finance and Administration
1515 West 7th Street
Room 412
Little Rock, AR 72203
Phone: (501) 682-1074
Fax: (501) 682-5206

CALIFORNIA

Grants Coordinator
Office of Planning & Research
1400 Tenth Street
Room 121
Sacramento, CA 95814
Phone: (916) 323-7480
Fax: (916) 323-3018

DELAWARE

Francine Booth
State Single Point of Contact
Executive Department
Thomas Collins Building
P.O. Box 1401
Dover, DE 19903
Phone: (302) 739-3326
Fax: (302) 739-5661

DISTRICT OF COLUMBIA

Charles Nichols
State Single Point of Contact
Office of Grants Management and
Development
717 14th Street NW.
Suite 500
Washington, DC 20005
Phone: (202) 727-6554
Fax: (202) 727-1617

FLORIDA

Florida State Clearinghouse
Department of Community Affairs
2740 Centerview Drive
Tallahassee, FL 32399-2100
Phone: (904) 922-5438
Fax: (904) 487-2899

GEORGIA

Tom L. Reid, III
Coordinator
Georgia State Clearinghouse
254 Washington Street SW.
Eighth Floor
Atlanta, GA 30334
Phone: (404) 656-3855 or
(404) 656-3828
Fax: (404) 656-7938

ILLINOIS

Virginia Bova
State Single Point of Contact
Illinois Department of Commerce and
Community Affairs
James R. Thompson Center
100 West Randolph
Suite 3-400
Chicago, IL 60601
Phone: (312) 814-6028
Fax: (312) 814-1800

INDIANA

Francis Williams
State Budget Agency
212 State House
Indianapolis, IN 46204-2796
Phone: (317) 232-5619
Fax: (317) 233-3323

IOWA

Steven R. McCann
Division for Community Assistance
Iowa Department of Economic
Development
200 East Grand Avenue
Des Moines, IA 50309
Phone: (515) 242-4719
Fax: (515) 242-4859

KENTUCKY

Kevin J. Goldsmith
Director
Intergovernmental Affairs
Office of the Governor
700 Capitol Avenue
Frankfort, KY 40601
Phone: (502) 564-2611
Fax: (502) 564-2849

MAINE

Joyce Benson
State Planning Office
184 State Street
38 State House Station
Augusta, ME 04333
Phone: (207) 287-3261
Fax: (207) 287-6489

MARYLAND

Linda Janey
Manager
Plan and Project Review
Maryland Office of Planning
301 West Preston Street
Room 1104
Baltimore, MD 21201-2365
Staff Contact: Linda Janey
Phone: (410) 767-4490
Fax: (410) 767-4480

MICHIGAN

Richard Pfaff
Southeast Michigan Council of
Governments
1900 Edison Plaza
660 Plaza Drive
Suite 1900
Detroit, MI 48226
Phone: (313) 961-4266
Fax: (313) 961-4869

MISSISSIPPI

Cathy Mallette
Clearinghouse Officer
Department of Finance and Administration
455 North Lamar Street
Jackson, MS 39202-3087
Phone: (601) 359-6762
Fax: (601) 359-6764

MISSOURI

Lois Pohl
Federal Assistance Clearinghouse
Office of Administration
Truman Building
Room 760
P.O. Box 809
Jefferson City, MO 65102
Phone: (314) 751-4834
Fax: (314) 751-7819

NEVADA

Department of Administration
State Clearinghouse
Capitol Complex
Carson City, NV 89710
Phone: (702) 687-4065
Fax: (702) 687-3983

NEW HAMPSHIRE

Jeffrey H. Taylor
Director
New Hampshire Office of State Planning
Attn: Intergovernmental Review Process
Mike Blake
2½ Beacon Street
Concord, NH 03301
Phone: (603) 271-2155
Fax: (603) 271-1728

NEW MEXICO

Robert Peters
State Budget Division
Bataan Memorial Building
Room 190
Santa Fe, NM 87503
Phone: (505) 827-3640

NEW YORK

New York State Clearinghouse
Division of the Budget
State Capitol
Albany, NY 12224
Phone: (518) 474-1605

NORTH CAROLINA

Chrys Baggett
Director
North Carolina State Clearinghouse
Office of the Secretary of Administration
116 West Jones Street
Raleigh, NC 27603-8003
Phone: (919) 733-7232
Fax: (919) 733-9571

NORTH DAKOTA

North Dakota Single Point of Contact
Office of Intergovernmental Assistance
600 East Boulevard Avenue
Department 105
Bismarck, ND 58505-0170
Phone: (701) 224-2094
Fax: (701) 224-2308

RHODE ISLAND

Daniel W. Varin
Associate Director
Department of Administration
Division of Planning
One Capitol Hill
Fourth Floor
Providence, RI 02908-5870
Phone: (401) 277-2656
Fax: (401) 277-2083
Please direct correspondence and questions
to: Review Coordinator
Office of Strategic Planning

SOUTH CAROLINA

Omeagia Burgess
State Single Point of Contact
Grant Services, Office of the Governor
1205 Pendleton Street
Room 477
Columbia, SC 29201
Phone: (803) 734-0494
Fax: (803) 734-0385

TEXAS

Tom Adams
Governors Office
Director
Intergovernmental Coordination
P.O. Box 12428
Austin, TX 78711
Phone: (512) 463-1771
Fax: (512) 463-1888

UTAH

Carolyn Wright
Utah State Clearinghouse
Office of Planning and Budget
State Capitol
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Salt Lake City, UT 84114
Phone: (801) 538-1535
Fax: (801) 538-1547

WYOMING

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* In accordance with Executive Order Number 12372, "Intergovernmental Review of Federal Programs," this listing represents the designated State Single Points of Contact. The following jurisdictions, which are not listed, no longer participate in the intergovernmental review process, but *may* still apply for grants: Alabama, Alaska, American Samoa, Colorado, Connecticut, Hawaii, Idaho, Kansas, Louisiana, Massachusetts, Minnesota, Montana, Nebraska, New Jersey, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Vermont, Virginia, and Washington. This listing is based on the most current information provided by the States. Changes to the list will be made only upon formal notification by the State. The listing is published biannually in the *Catalog of Federal Domestic Assistance*.